

# Geometry and Topology of Mapping Class Groups; Jing Tao

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## Lecture 1

The following sections will be a reminder of Topology of Surfaces.

### 0.1 Classification of Surfaces

Any compact oriented connected surface, possibly with boundary is homeomorphic to  $S^2 \# T^2 \# \dots \# T^2$  of genus  $g$  ( $g$ -copies of  $T^2$ ) with  $b$  open disk removed.

**Definition 1.** A finite-type surface: is a connected, compact, oriented surface  $S$  with  $n$  points removed (punctures).

*Remark 2.* Sometimes we can think in marked points instead of removed points.

*Remark 3.* • Surfaces can be endowed with a differential structure.

- $\chi(S) \leq 0$ , which means  $\partial S = \emptyset$ .
- Any  $S$  can be endowed with a Riemannian metric (complete of finite area) with constant curvature.

$S$	Euler Characteristic	$k$
$S^2$	$\chi(S) > 0$	+1
$T^2$	$\chi(S) = 0$	0
else	$\chi(S) < 0$	-1

We have to remark that in this classification we are thinking that  $S$  is not the annulus or the disk.

Put surface image.

**Definition 4.** A closed curve on  $S$  is a continuous map  $\alpha : S' \rightarrow S$ . We will say that  $\alpha$  is simple if it has no self-intersections.

We often work with free homotopy class of  $\alpha$ . We will say that  $\alpha$  is essential if its not null-homotopic.

Some facts: Every continuous curve is freely homotopic to a smooth curve. Transversality, can put curves in general position. We understand general position for  $\alpha_1, \dots, \alpha_n$ , if their intersections are transverse, are isolated and no triple intersections allowed.

**Definition 5.** Let  $a, b$  two free homotopic class of  $\alpha$  and  $\beta$ . The geometric intersection number of  $a$  and  $b$  is defined as:

$$0 \leq i(a, b) = \min\{\alpha \cap \beta : \alpha \in a, \beta \in b\} < \infty$$

It is clear by definition that  $i(a, a) = 0$ .

**Definition 6.** Let  $\vec{a}, \vec{b}$  two oriented curves. The algebraic intersection number is defined as

$$\hat{i}(\vec{a}, \vec{b}) = \sum_{p \in \vec{a} \cap \vec{b}} \text{index}(p)$$

where  $\text{index}(p)$  is equal to 1 if the oriented pair of velocity vectors agree with surface orientation and -1 otherwise. For free homotopic classes  $\hat{i}(a, b) = \hat{i}(\vec{a}, \vec{b})$  and is independent of choice of representatives.

**Exercise 1.** Prove the following equations

1.  $i(a, b) = i(b, a)$ .
2.  $\hat{i}(a, b) = -\hat{i}(b, a)$ .
3.  $i(a, b) \geq |\hat{i}(a, b)|$ .
4.  $i(a, b) = \hat{i}(a, b) \pmod{2}$ .

**Definition 7.** Let  $\alpha, \beta$  representatives of  $a$  and  $b$ . We say that  $\alpha$  and  $\beta$  are in minimal position if  $i(\alpha, \beta) = i(a, b)$

How ca tell if two curves are in minimal position? The Bigon criterion is one who tells.

**Lemma 8.** *Let  $\alpha, \beta$  two curves, they are in minimal position if and only if there are no bigons.*

Put image of Bigon criterion

**Definition 9** (Change of Coordinate Principle). Suppose we are given 2 sets of curves  $A = \{\alpha_1, \dots, \alpha_n\}$  and  $B = \{\beta_1, \dots, \beta_n\}$ . Suppose after cutting  $S$  along  $A$  and cutting  $S$  along  $B$ . We see that the complementary regions “match up” Then there exists an orientation-preserving homeomorphism of  $S$  taking the set  $A$  to  $B$ .

put image of 3-genus surface cutting in one handle equator and other handle anulli.

*Remark 10.* • Modulo the following examples: disk, annulus, disk with one puncture, open disk, open disk with one puncture. Homotopic homeomorphisms are isotopic [Baer].

- Every homeomorphism is homotopic to a diffeomorphism [Munkres, Smale,...].

Let  $S = S(g, n, b)$  of finite-type. Let

$$\text{Homeo}^+(S, \partial S) = \{g : S \rightarrow S : \text{is a orientation-preserving homeomorphism } g(\partial S) = \partial S\}$$

It is a group under composition and a topological group with the compact-open topology.

**Definition 11** (Mapping Class Groups).

$$\begin{aligned} \text{MCG}(S) &= \pi_0(\text{Homeo}^+(S, \partial S)) \\ &= \text{Homeo}^+(S, \partial S) / \text{Homeo}_0(S, \partial S) \\ &= \text{Homeo}^+(S, \partial S) / \text{isotopy} \\ &= \text{Homeo}^+(S, \partial S) / \text{path component of identity} \end{aligned}$$

*Remark 12.* Can replace Homeo by Diffeo in the definition of  $\text{MCG}(S)$ . Also  $\text{MCG}(S)$  is discrete.

**Example 13.** Some examples of elements of the  $\text{MCG}(S)$  are:

1. Any homeomorphism, i.e., change of coordinate homeomorphism.
2. Symmetries
3. Dehn twists
4. Pseudo-Anosovs.

**Example 14.** 1.  $S = \mathbb{R}^2, S^2, \mathbb{R}^2 \setminus \{p\}$ ,  $\text{MCG}(S) = 1$ .

2.  $S = D^2, D^2 \setminus \{p\}$ ,  $\text{MCG}(S) = 1$  by Alexander lemma.

3.  $S = S^2 \setminus \{p, q\}$ ,  $\text{MCG}(S) = \mathbb{Z}/2$ .
4.  $S = A$ ,  $\text{MCG}(S) = \mathbb{Z}$ .
5.  $S = T^2, T^2 \setminus \{p\}$ ,  $\text{MCG}(S) = \text{SL}_2\mathbb{Z}$ .

**Definition 15.** Consider the annulus  $A = I \times \mathbb{R}$ . Let  $T : A \rightarrow A$  the map given by  $T(a)$  intersecting  $b$  transversally will give a “turn”. We will call this map  $T$  the left Dehn twist about  $b$ .

Let  $S$  be a surface and  $b$  a curve in  $S$ . Let  $N_b$  be a cylindrical neighbourhood and  $\phi : A \rightarrow N_b$  an homeomorphism. Define  $T_b(x) = \phi T \phi^{-1}(x)$  if  $x \in N_b$  and identity otherwise.

*Remark 16.* 1.  $T_\alpha$  is non-trivial in  $\text{MCG}(S)$ .

2.

**Theorem 17.**  $\text{MCG}(T^2) \rightarrow \text{Aut}^+(H_1(T^2)) \simeq \text{SL}_2\mathbb{Z}$ .

*Remark 18.* Some elements in  $\text{MCG}(T^2)$  are of the form

Type	Example	Trace
Finite order	$\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$ Change-orientation	$ \text{tr}(A)  < 2$
Reducible	$\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$ Dehn Twist	$ \text{tr}(A)  = 2$
Anosov	$\begin{bmatrix} 3 & 1 \\ 2 & 1 \end{bmatrix}$ , $\lambda^\pm, e^\pm$ eigenvalues and eigenvectors	$ \text{tr}(A)  > 2$

## Lecture 2

We will remember that for a surface  $S_{g,n,b}$ , for  $\text{Homeo}^+(S, \partial S)$  the set with elements  $f : S' \rightarrow S'$  preserving orientation such that  $f(x) = x$  for every  $x \in \partial S'$  where  $S'$  is the surface  $S_{g,0,b}$  and the set of puncture is preserved.

**Exercise 2.** Prove that there exists a short exact sequence of the form

$$1 \rightarrow \text{PMCG}(S) \rightarrow \text{MCG}(S) \rightarrow \Sigma_n \rightarrow 1$$

where  $\Sigma_n$  is the permutation group of  $n$ -elements and  $\text{PMCG}(S)$  is the pure mapping class group.

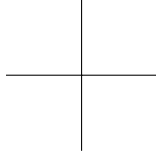


Figure 1: Iteration of Dehn twists

## 0.2 Basic Facts about Dehn Twists

Let  $a, b$  curves and  $f \in \text{MCG}(S)$ .

1. For  $k \in \mathbb{Z}$ ,  $i(T_a^k(b), b) = |k|i(a, b)^2$ .

**Corollary 19.**  $\langle T_a \rangle = \mathbb{Z}$ .

This image present the idea of the proof.

*Remark 20.* It follows that  $\hat{i}(T_a^k(b), b) = k\hat{i}(a, b)$ .

2.  $T_a = T_b$  if an only if  $a = b$
3.  $fT_af^{-1} = T_{f(a)}$ .
4.  $fT_a = T_af$  if and only if  $f(a) = a$ .

*Proof.* We have that  $fT_af^{-1} = T_a$  and by the previous,  $T_{f(a)} = T_a$  and by (1),  $f(a) = a$ .  $\square$

5.  $i(a, b) = 0$  if and only if  $T_aT_b = T_bT_a$ . Equivalently  $T_a(b) = b$ .
6. If  $i(a, b) = 1$  then  $T_aT_bT_a = T_bT_aT_b$ .

*Proof.* It is easy to convince that  $T_aT_b(a) = b$ , from the fact that the intersection number is 1 we have that the curves are in a subsurface which is a torus.

If we take  $(T_aT_b)T_a(T_aT_b)^{-1} = T_b$ .  $\square$

**Exercise 3.** Prove that the converse is true.

7. Alexander's Method

Recall that  $\text{MCG}(D^2, \partial D) = \{1\}$  and  $\text{MCG}(D^2 \setminus \{p\}, \partial D) = \{1\}$ .

draw picture of Dehn twist iteration

**Theorem 21.** *Let  $S$  be a surface of finite type and  $\{\alpha_1, \dots, \alpha_n\}$  a collection of simple closed curves and proper arcs on  $S$  that fill  $S$  (complementary regions are disk or once-puncture disks) which are all in minimal position (no triangles allowed). Suppose  $f \in \text{MCG}(S)$  that fixes the oriented homotopy class of each  $\alpha_i$ . Then  $f = \text{Id}$ .*

*Remark 22.* Real application often set oriented homotopy for free.

8. Center of  $\text{MCG}(S)$

**Proposition 23.** *If  $g \geq 3$ , then  $Z(\text{MCG}(S_g))$  is trivial.*

*Proof.* By the property 4, if  $f \in Z(\text{MCG}(S_g))$ , then  $f(a) = a$  for all unoriented class of curves. Assume that there is a set of essential curves where  $\alpha$  belongs, even more, assume that we can set that  $\alpha$  be have more curves on one side of  $\alpha$ .

Up to homotopy,  $f$  fixes a graph (made with a set of essential curves, acting as an automorphism of the graph. But this graph has no automorphism that change the orientation of  $\alpha$  where alpha is a curve in the graph.

Propagating this,  $f$  fixes the oriented orientations of every curve. Now apply Alexander's method and  $f = \text{Id}$ .

If  $f$  is the hyperelliptic involution such that  $f(a) = a$  but  $f(\vec{a}) = \overleftarrow{a}$ . In this case the center is non-trivial and is of the form

$S = S_{g,b,n}$	$Z(\text{MCG}(S))$
$b = 0$ then $(g, n) = (0, 2), (1, 0), (1, 1), (1, 2), (2, 0)$	$\mathbb{Z}/2$
$(0, 4)$	$\mathbb{Z}/2 \times \mathbb{Z}/2$

□

### 0.3 Finite Generation

Observe that a Dehn twist cannot permute punctures.

**Theorem 24.**  *$\text{PMCG}(S)$  is generated by finitely many Dehn twists.*

**Corollary 25.**  *$\text{MCG}(S)$  is countable and is generated by finitely many Dehn twists and half twists.*

*Remark 26.* Using the capping homeomorphism we can forget about  $\partial S$

$$1 \rightarrow \prod_{c \in \partial S} \langle T_c \rangle \rightarrow \text{PMCG}(S_{g,n,b}) \rightarrow \text{PMCG}(S_{g,n+b}) \rightarrow 1$$

**Lemma 27.** *Suppose that  $G$  acts on a connected graph  $X$  by automorphisms transitively on edges and vertices. Let  $v, w \in X^{(\cdot)}$  is connected by an edge and if  $h \in G$  such that  $h(w) = v$ . Then  $G = \langle G_v, h \rangle$ .*

**Definition 28.** The curve complex  $\mathcal{C}(S)$ , is the graph with set of vertices the homotopy classes of simple closed curves, it will be an edge between two vertices is  $i(a, b) = 0$ . The  $n$ -simplex is the set of  $n + 1$  disjoint curves.

**Theorem 29** (Harvey). *Let  $\zeta(S_{g,n}) = 3g - 3 + n \geq 2$  then  $\mathcal{C}(S)$  is connected. Where  $\zeta(S_{g,n})$  is the complexity of the surface.*

**Definition 30.**  $S$  is a surface with a marked point  $*$  and possibly with other punctures. There is a homomorphism  $\text{MCG}(S, *)$ .

$$\text{push} : \pi_1(S, *) \rightarrow \text{MCG}(S, *).$$

**Exercise 4.**  $\text{push}(\alpha) = T_{\alpha_1} T_{\alpha_2}^{-1}$ .

**Theorem 31** (Birman). *Let  $\text{forget} : \text{MCG}(S, *) \rightarrow \text{MCG}(S)$  the map given by  $f$  maps to the homotopy class of  $f$  not fixing  $*$ . Then the following is a short exact sequence*

$$1 \longrightarrow \pi_1(S, *) \xrightarrow{\text{push}} \text{MCG}(S, *) \xrightarrow{\text{forget}} \text{MCG}(S) \longrightarrow 1$$

## Lecture 3

*Proof of theorem 31.* Base Steps:

1. For  $g = 0, n = 3$ , we have

$$1 \longrightarrow \pi_1(S_{0,3}) \longrightarrow \text{PMCG}(S_{0,4}) \longrightarrow \text{PMCG}(S_{0,3}) \longrightarrow 1,$$

but  $\pi_1(S_{0,3}) = F_2$  and  $\text{PMCG}(S_{0,3}) = 1$ . So we claim the  $\text{PMCG}(S_{0,4})$  is finitely generated and apply Birman exact sequence assure the claim for  $n \geq 4$ .

2. For  $g = 1, n = 0$ , we have  $\text{PMCG}(T^2) = \text{MCG}(T^2) = \text{SL}_2(\mathbb{Z})$  which is finitely generated. For the case  $g = 1, n = 1$ ,  $\text{PMCG}(T^2, *)$  is also equal to  $\text{SL}_2(\mathbb{Z})$ .

Now we can induct for  $g$  and  $n$  with all  $g \geq 2$ . □

*Remark 32.* The previous proof is constructive. In particular there are Dehn twists and half-twists on  $\text{MCG}(S_{g,n,b})$ .

For a closed surface of genus  $g$ , there are  $3g - 1$  non-separating curves known as the Lickorish generators. We can reduce this set of generators to  $2g - 1$ , known as Humphries generators.

## 0.4 Some Relations

1. If  $f, g \in \text{Homeo}^+(S)$  with disjoint support, then their classes commute.

2. If  $i(a, b) = 1$ , then  $T_a T_b T_a = T_b T_a T_b$

3. Lantern Relation For  $a, b, c, d, x, y, z$  we have that  $T_x T_y T_z = T_a T_b T_c T_d$ .

We can apply the Lantern relation to prove that  $\text{MCG}(S_g)^{ab} = 1$  for  $g \geq 3$  and  $G^{ab} = G/[G, G]$ .

4. Root of Dehn Twists: Assume that  $i(a, b) = 1$ . This means that we can obtain  $T^2$  with a boundary component inside our surface. Then  $(T_a T_b)^6 = T_c$ .

The proof is inspired in the fact that if we change the boundary component by a once-punctured torus.  $T_a$  and  $T_b$  are triangular matrices such that  $T_a T_b$  has order six.

Put the  
Lantern  
relation  
picture

**Theorem 33** (McCool).  $\text{MCG}(S)$  is finitely presentable.

## 0.5 Nielsen-Thurston Classification of Mapping Classes

Our goal is find a nice normal form for an element of Mapping class group.

### 0.5.1 Structures on Surface

**Definition 34.** An hyperbolic structure on a surface  $S_g$  with  $g \geq 2$  is:

1. an atlas of charts to  $\mathbb{H}^2$  which a transitions maps isometries of  $\mathbb{H}^2$ .
2. There are a complete Riemann metric with constant curvature  $\kappa = 1$ .
3. is homeomorphic to  $\mathbb{H}^2/\Gamma$  where  $\Gamma$  is a discrete group of isometries of  $\mathbb{H}^2$  and  $\Gamma \simeq \pi_1(S)$ .

*Remark 35.* The previous are equivalent definitions.

**Definition 36.** A complex structure on a surface  $S$  is an atlas of charts to  $\mathbb{C}$  with transitions maps are biholomorphisms.



*Remark 37.* The Hyperbolic structure on a surface induce a complex structure because  $\mathbb{H}^2 \subset \hat{\mathbb{C}}$  and isometries of  $\mathbb{H}^2$  are biholomorphism of the Riemann sphere.

**Definition 38.** A measured foliation on a surface  $S$  is an atlas of charts on  $S \setminus P$  to  $\mathbb{R}^2$  which transitions maps preserve vertical lines and spacing between them, where  $P$  are “singular points”.

By this we mean, that the pull back of  $x = a$  gives a foliation  $F$  on  $S$ . Pull back of  $|dx|$  give a measure on arcs transverse to  $F$ . At a point  $p \in P$  the foliation have a  $k$ -prong singularity with  $k \geq 3$ .

**Definition 39.** A half-translation structure on a surface  $S$  are charts on  $S \setminus P$  to  $\mathbb{R}^2 = \mathbb{C}$ , which transitions maps are  $z \mapsto \pm z + c$ .

By this we mean, that the transitions maps preserve a pair of measured foliation (vertical-horizontal). The singularities look like two singular points of measured foliations. The previous definition is often known as a quadratic differential on  $S$ .

Some connections about the previous structures over surfaces. There is an equivalence between hyperbolic structures and complex structure. In the case to obtain an hyperbolic structure based on a complex structure is due to the Riemann uniformization theorem. Also given an measured lamination we can obtain a measured foliation and viceversa.

**Theorem 40** (Nielsen-Thurston). *Let  $f \in \text{MCG}(S)$ . Then one of the following situations occurs:*

1.  $f$  is periodic / finite order.
2.  $f$  is reducible, i.e., there exists a multicurve  $C$  on  $S$  such that  $f(C) = C$  setwise.
3.  $f$  is homotopic to a pseudo-Anosov homeomorphism  $\phi$ , that is, there exists  $\lambda > 1$  and 2 tranverse measured foliation  $F^s, F^u$  on  $S$  such that:

$$(a) \quad \phi(F^s) = \frac{1}{\lambda} F^s.$$

$$(b) \quad \phi(F^u) = \lambda F^u.$$

*Remark 41.* If  $f$  is of type 3, then cannot be of types 1 and 2.

## Lecture 4

### 0.6 Teichmüller Space

Teichmüller space or Deformation space of hyperbolic structures. We have to think in deformation of a “fundamental domain”.

**Definition 42.** Let  $S_g$  a surface of  $g \geq 2$ . The Teichmüller space of  $S_g$  is the set

$$\mathcal{T}(S_g) = \{\text{Hyperbolic structure}\}/\text{Diffeo}_0(S)$$

An equivalent definition

$$\mathcal{T}(S) = \{(X, f) : X \text{ hyperbolic surface, } f : S \rightarrow X \text{ homeomorphism}\} / \sim$$

where  $(X, f) \sim (Y, g)$  if there exists an isometry  $I : X \rightarrow Y$  such that  $I \sim gf^{-1}$ .

If we ask that  $X$  be a Riemann surface and  $I$  be a biholomorphism, we obtain the Deformation of Riemann structure on  $S$ .

The mapping class group  $\text{MCG}(S)$  acts on  $\mathcal{T}$  by change of marking, i.e.,  $\phi \cdot (X, f) = (X, f\phi^{-1})$ .

**Definition 43.** The Riemann’s Moduli Space is the set

$$\mathcal{M}(S) = \mathcal{T}(S)/\text{MCG}(S).$$

*Remark 44.*  $\mathcal{T}(S)$  is manifold,  $\text{MCG}(S)$ –action is properly discontinuous, not-free, and  $\mathcal{M}(S)$  is an orbifold.

**Example 45.** Let  $S = T^2$ ,  $\mathcal{T}(S) = \mathbb{H}^2$ ,  $\text{MCG}(S) = \text{SL}_2\mathbb{Z}$ . and the action is by linear fractional transformations.

The space  $\mathcal{M}(S)$  is the modular orbifold.

#### 0.6.1 Topology on $\mathcal{T}(S)$

Let  $\mathcal{S} = \{\text{set of simple closed curves on } S \text{ up to free homotopy}\}$ . For  $a \in \mathcal{S}$ , define  $l_a : \mathcal{T}(S) \rightarrow \mathbb{R}_{>0}$  given by  $(X, f) \mapsto l_a(X)$  which is the length of the geodesic representing of  $f(a)$  in the hyperbolic metric on  $X$ .

We can obtain an embedding from  $\mathcal{T}(S) \rightarrow \mathbb{R}_{>0}^{\mathcal{S}}$  with the weak topology.

**Theorem 46.** *The image of the embedding is homeomorphic to  $\mathbb{R}^{6g-6}$ .*

In the case of measured foliations structure.

**Definition 47.**

$\mathcal{MF}(S) = \{\text{Measured foliations on } S\} / \{\text{isotopy and whitehead moves}\}.$

As in the hyperbolic case, we can embed  $\mathcal{MF}(S)$  on  $\mathbb{R}_{>0}^{\mathcal{L}}$  given by  $F \mapsto (\alpha \rightarrow i(\alpha, F))$ , where  $i(\alpha, F)$  is the arc length measured with transverse measure of  $F$ .

**Theorem 48** (Thurston).  $\mathcal{MF}(S) \cong \mathbb{R}^{6g-6}$  and  $\text{PMF}(S) \cong \mathbb{S}^{6g-7}$ , where  $\text{PMF}(S)$  is the projectivization of  $\mathcal{MF}(S)$ .

**Theorem 49** (Thurston). *The following are true:*

1. The closure  $\overline{\mathcal{T}(S)} = \mathcal{S} \cup \text{PMF}(S)$ .
2. The action of  $\text{MCG}(S)$  on  $\mathcal{T}(S)$  extends continuously to  $\overline{\mathcal{T}(S)}$ .

**Corollary 50.** *Every  $\phi \in \text{MCG}(S)$  must have a fixed point in  $\overline{\mathcal{T}(S)}$ .*

In higher genus, Thurston:  $\varphi \in \text{MCG}(S)$  is not periodic or reducible if and only if  $\varphi$  has exactly 2 fixed points  $[F^+], [F^-]$  such that  $F^s$  and  $F^u$  together give a half-translation on  $S$ .

**0.6.2 Tiechmuller Metric on  $\mathcal{T}(S)$**

Let  $X, Y$  two Riemann surfaces, and let  $h : X \rightarrow Y$  an orientation preserving diffeomorphism. Let  $p \in X$ , we have that  $(D_h)_p : T_p(X) \rightarrow T_{h(p)}(Y)$  is an  $\mathbb{R}$ -linear map. By the Singular Value decomposition, we can rewrite  $(D_h)_p$  as  $r\text{Diag}(a, b)s$  where  $r, s$  are rotations of  $\mathbb{R}^2$ .

**Definition 51.** For  $h$  and  $p$  as in the previous paragraph. Let

$$(K_h)_p = \frac{\max\{a, b\}}{\min\{a, b\}}.$$

The dilatation of  $h$  is defined as  $K_h = \sup(K_h)_p \geq 1$ .

*Remark 52.*

1.  $K_{h^{-1}} = K_h$ .
2.  $K_{hg} \leq K_h K_g$ . The equality holds if and only if  $s = (r')^{-1}$  in the SVD.

**Definition 53.** Let  $(X, f), (Y, g) \in \mathcal{T}(S)$ . Define

$$d(X, Y) = \frac{1}{2} \inf \{K_h : h \sim gf^{-1}\}$$

**Definition 54.** Let  $h : X \rightarrow Y$  is called a Teichmüller map if there exists a half-translation structure  $q_X$  on  $X$  and  $q_Y$  on  $Y$  such that

$$x + iy \mapsto Kx + \frac{1}{K}y.$$

**Theorem 55** (Bers). *Given  $(X, f), (Y, g) \in \mathcal{T}(S)$*

1. *There exists a Teichmüller map  $h : X \rightarrow Y$  such that  $h \sim gf^{-1}$ .*
2. *For all  $h \sim h'$ ,  $K_{h'} \geq K_h$  and equality holds if and only if  $h = h'$ .*

## Lecture 5

**Theorem 56** (Grölsch, Baby Case of Teichmüller's Extremal Thm). *Suppose  $R$  and  $R'$  are rectangles, with sides  $(a, b)$  and  $(a', b')$ , and  $h : R \rightarrow R'$  taking the sides of  $R$  to sides of  $R'$ . Then  $K_h \geq K_{h'}$  where  $h' = \text{Diag}(\frac{a}{a'}, \frac{b}{b'})$  and equality holds if and only if  $h = h'$ .*

**Definition 57.** Let  $X$  be a metric space,  $g \in \text{Isom}(X)$  and  $\tau_g = \inf_{x \in X} d_X(x, gx)$ . Then:

1.  $g$  is called elliptic if  $\tau_g$  is realized.
2.  $g$  is called parabolic if  $\tau_g$  is not realized.
3.  $g$  is called hyperbolic if  $\tau_g$  is positive and realized.

**Theorem 58** (Bers). *For  $g \in \text{MCG}(S)$ , we have that the following are true:*

1. *if  $g$  is elliptic, then  $g$  is of finite order.*
2. *if  $g$  is parabolic, then  $g$  is reducible.*
3. *if  $g$  is hyperbolic, then  $g$  is pseudo-Anosov.*

The following are preliminary facts that we will need in order to prove the classification theorem.

**Theorem 59** (Collar Lemma). *There exists  $\epsilon_0$  such that for all hyperbolic surface  $X$  and all single closed curves  $\alpha, \beta$  on  $X$ . If  $\ell_x(\alpha)$  and  $\ell_x(\beta)$  are less or equal than  $\epsilon_0$ , then  $i(\alpha, \beta) = 0$ .*

**Theorem 60** (Wolpert's Lemma). *Let  $h : X \rightarrow X$  a  $k$ -casi-conformal map, then*

$$\frac{1}{k} \leq \frac{\ell_Y(\alpha)}{\ell_X(\alpha)} \leq k$$

for all  $\alpha$  single closed curve on  $X$ .

**Definition 61.** Let  $\mathcal{M}(S)$ . The  $\epsilon$ -thick part  $\mathcal{M}_\epsilon(S) = \{X \in \mathcal{M}(S) : \text{the length of the shortest s.c.c. is } \geq \epsilon\}$ .

**Theorem 62** (Mumford's Compactness Theorem).  $\mathcal{M}_2(S)$  is compact.

*Proof of Theorem 58. (2).*

Suppose  $g$  is parabolic, and  $X_n \in \mathcal{T}(S)$  such that  $d(X_n, gX_n) \rightarrow \tau_g$  but not realizing.

We have that  $\overline{X_n} \in \mathcal{M}(S)$  must exit every compact set. Then we can choose for any sufficiently small  $\epsilon \ll \epsilon_0$  and  $X = X_n$  on which there exists  $\alpha$  that is  $\epsilon$ -short and moreover, the lengths of  $\{\alpha, g\alpha, \dots, g^{3g-3}\alpha\}$  are still bounded and by the Collar lemma we have that they are all simultaneously disjoint. But there are at most  $3g - 3$  simultaneously disjoint curves on a surface of genus  $g$ . So for some  $i$  and  $j$  we have that  $g^i(\alpha) = g^j(\alpha)$  and the set curve  $C = \{\alpha, g\alpha, \dots, g^{i-j}\alpha\}$  must be a reducible curve. Therefore  $g(C) = C$ .

(3).

Let  $h : X \rightarrow X$  be the Teichmüller map such that  $h \sim fgf^{-1}$ , i.e., there exists  $q, q'$  half translations structures on  $X$  on which  $h = \text{Diag}(k, \frac{1}{k})$  where  $k = e^{2\tau_g}$  that send the  $q$ -coordinate to  $q'$ -coordinates.

To finish, we want  $q' = q$ , i.e.,  $h_*q = q$ .

Let  $\mathcal{G}$  be the Teichmüller geodesic through  $X$  and  $gX$ . Let  $Y$  be the morphism between  $X$  and  $gX$ , and  $gY$  between  $gX$  and  $g^2X$ . From this we have

$$\tau_g \leq d(Y, gY) \leq d(Y, gX) + d(gX, gY) = d(Y, gX) + d(X, Y) = \tau_g.$$

From the previous we can imply that  $g^2X$  must be on  $\mathcal{G}$ , and then  $h^2$  is the Teichmüller extremal map  $X$  to  $X$  in  $fg^2f^{-1}$ .

This shows  $q = q'$ .

(1).

By definition, if  $g$  is elliptic then  $g$  fixes a point  $X \in \mathcal{T}(S)$ . Therefore  $g \sim \phi \in \text{Isom}(X)$ . It is a fact that  $\text{Isom}(X)$  is a finite group of order at most  $84(g-1)$ . Thus  $g$  is represented by a finite order element, hence  $g$  is of finite order map class.  $\square$

**Exercise 5.** Prove that if  $g$  is pseudo-Anosov then  $g$  is irreducible and of finite order, then hyperbolic.

Prove that if  $g$  is periodic, then  $g$  elliptic.

**Theorem 63** (Kerckhoff). *Every finite group of  $\text{MCG}(S)$  can be realized as a subgroup of isometries of some hyperbolic surface.*

*Remark 64.* Some historical remarks about the previous paragraphs:

1. In 1959, Kravetz proved Teichmüller metric is negatively curved, and from it he derived Nielsen-Realization for finite subgroups of  $\text{MCG}(S)$ .
2. Linch discovered the proof was wrong.
3. 1975: Masur proved Teichmüller metric is in fact NOT negatively curved.
4. 1996: Minsky proved that certain parts of Teichmüller space (Thin part) actually looks like the sup metric over a product of lower dimension Teichmüller spaces. So Teichmüller metric is far from being negatively curved.

**Theorem 65** (Tits Alternative, Ivanov, McCarthy). *Every  $G < \text{MCG}(S)$  either contains  $F_2$  or is virtually abelian.*

**Q 1.**  $\text{MCG}(S)$  is linear?

There are fast algorithms to detect the Nielsen-Thurston type of  $\phi \in \text{MCG}(S)$ , we can refer to [Bestvina-Hendel], [Margalit-Strenner-et.al], [Bell-Webb] and the Bell's Flipper algorithm.

### 0.6.3 Conjugacy Problem for $\text{MCG}(S)$

Given  $f, g \in \text{MCG}(S)$  there is an algorithm to decide if they're conjugate. [Tao, et. al.]

**Q 2.** Describe complete conjugacy invariants for  $\text{MCG}(S)$ .

### 0.6.4 Connections to 3-manifolds

The Mapping Torus  $M_f = S_g \rightarrow I / \sim$  where  $(x, 0) \sim (\phi x, 1)$  and  $\phi \in \text{Homeo}^+(S)$ . The homeomorphism type only depends on mapping class of  $\phi$  (f).

**Theorem 66** (Thurston).  *$M_f$  can be equipped with a hyperbolic metric if and only if  $f$  is pseudo-Anosov.*

**Theorem 67** (Virtual Fiber Thm, Agol, Wise). *Every hyperbolic closed 3-manifold has a cover which is a mapping torus.*

**Q 3.** Does  $\text{MCG}(S)$  have (T)?