Hodge Theory of *p*-adic analytic varieties

Wiesława Nizioł

CNRS, IMJ-PRG

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Based on a joint work with Pierre Colmez

Algebraic comparison theorem Notation: K - cdvf, char (0, p), $\mathscr{G}_K = \text{Gal}(\overline{K}/K)$, $C = \widehat{\overline{K}}$, $K \supset \mathscr{O}_K \to k$, k - perfect, F = W(k).

Theorem (Algebraic comparison theorem) X/K – algebraic variety. There exists a natural **B**_{st}-linear, \mathscr{G}_{K} -equivariant period isomorphism ($r \geq 0$)

$$\begin{array}{ll} \alpha_{pst} : & H_{\text{\'et}}^{r}(X_{\overline{K}}, \mathbf{Q}_{p}) \otimes_{\mathbf{Q}_{p}} \mathbf{B}_{\text{st}} \simeq H_{\text{HK}}^{r}(X_{\overline{K}}) \otimes_{F^{\text{nr}}} \mathbf{B}_{\text{st}}, & (\varphi, N, \mathscr{G}_{K}), \\ \alpha_{\text{dR}} : & H_{\text{\'et}}^{r}(X_{\overline{K}}, \mathbf{Q}_{p}) \otimes_{\mathbf{Q}_{p}} \mathbf{B}_{\text{dR}} \simeq H_{\text{dR}}^{r}(X_{\overline{K}}) \otimes_{\overline{K}} \mathbf{B}_{\text{dR}}, & Fil, \end{array}$$

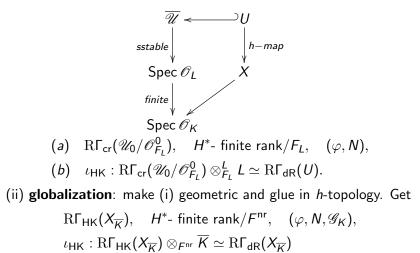
where $\alpha_{dR} = \alpha_{pst} \otimes \mathbf{B}_{dR}$.

Here:

(1) $H'_{dR}(X_{\overline{K}})$ – Deligne de Rham cohomology (uses resolution of singularities) (2) $H'_{HK}(X_{\overline{K}})$ – Beilinson Hyodo-Kato cohomology (uses de Jong's alterations)

Hyodo-Kato theory for algebraic varieties Based on crystalline cohomology: Hyodo-Kato, Beilinson X/K -algebraic variety (sic !)

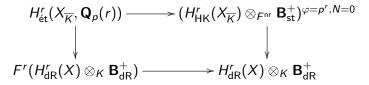
(i) **locally**: in *h*-topology alterations allow



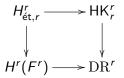
Restated algebraic comparison theorem (i) de Rham-to-étale comparison:

 $H^{r}_{\text{\'et}}(X_{\overline{K}}, \mathbf{Q}_{\rho}) \simeq (H^{r}_{\text{HK}}(X_{\overline{K}}) \otimes_{F^{\text{nr}}} \mathbf{B}_{\text{st}})^{\varphi = 1, N = 0} \cap F^{0}(H^{r}_{\text{dR}}(X) \otimes_{K} \mathbf{B}_{\text{dR}}), \quad \mathscr{G}_{K},$

or: we have a bicartesian diagram $(r \ge 0)$



We will write it as (upper index refers to cohomology degree)



or: there exists an exact sequence

$$0 \to H^r_{\text{\'et},r} \to H^r(F^r) \oplus \mathsf{HK}^r_r \to \mathrm{DR}^r \to 0$$

(ii) étale-to-de Rham comparison:

$$\begin{split} & \operatorname{Hom}(H^{r}_{\operatorname{\acute{e}t}}(X_{\overline{K}}, \mathbf{Q}_{\rho}), \mathbf{B}_{\operatorname{st}})^{\mathscr{G}_{K} - sm} \simeq H^{r}_{\operatorname{HK}}(X_{\overline{K}})^{*}, \quad (\varphi, N, \mathscr{G}_{K}), \\ & \operatorname{Hom}_{\mathscr{G}_{K}}(H^{r}_{\operatorname{\acute{e}t}}(X_{\overline{K}}, \mathbf{Q}_{\rho}), \mathbf{B}_{\operatorname{dR}}) \simeq H^{r}_{\operatorname{dR}}(X_{\overline{K}})^{*}, \quad \operatorname{\it Fil} \end{split}$$

Analytic varieties

- X/K smooth rigid analytic variety
- Case 1 : X proper,

(A) Scholze:

- (i) $H_{\text{\'et}}^r(X_C, \mathbf{Q}_p)$ is finite rank over \mathbf{Q}_p :
 - Artin-Schreier to pass to coherent cohomology
- Cartier-Serre argument for finitness of coherent cohomology
 (ii) Hodge-de Rham spectral sequence degenerates
 ⇒ get de Rham comparison isomorphism:

$$lpha_{\mathsf{dR}}: \quad \mathit{H}^{\mathsf{r}}_{\mathsf{\acute{e}t}}(X_{\mathcal{C}}, \mathbf{Q}_{\mathcal{P}}) \otimes_{\mathbf{Q}_{\mathcal{P}}} \mathbf{B}_{\mathsf{dR}} \simeq \mathit{H}^{\mathsf{r}}_{\mathsf{dR}}(X) \otimes_{\mathcal{K}} \mathbf{B}_{\mathsf{dR}}, \quad \mathit{Fil},$$

(B) Colmez-Nizioł: Algebraic comparison theorem holds

(1) X/K – smooth, proper, rigid analytic

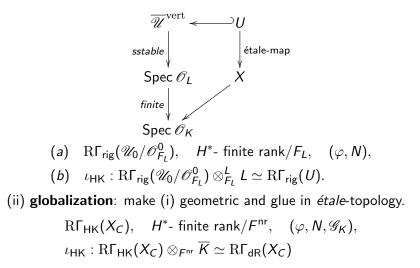
- $\alpha_{pst}: \quad H_{\text{\'et}}^{r}(X_{C}, \mathbf{Q}_{p}) \otimes_{\mathbf{Q}_{p}} \mathbf{B}_{\text{st}} \simeq H_{\text{HK}}^{r}(X_{C}) \otimes_{F^{\text{nr}}} \mathbf{B}_{\text{st}}, \quad (\varphi, N, \mathscr{G}_{K}),$
- $\alpha_{\mathsf{dR}}: \quad H^r_{\mathsf{\acute{e}t}}(X_{\mathcal{C}}, \mathbf{Q}_p) \otimes_{\mathbf{Q}_p} \mathbf{B}_{\mathsf{dR}} \simeq H^r_{\mathsf{dR}}(X) \otimes_{\mathcal{K}} \mathbf{B}_{\mathsf{dR}}, \quad \textit{Fil}.$

(2) X/C – smooth, proper, rigid analytic

- $\alpha_{pst}: \quad H^r_{\text{\'et}}(X, \mathbf{Q}_p) \otimes_{\mathbf{Q}_p} \mathbf{B}_{\text{st}} \simeq H^r_{\text{HK}}(X) \otimes_{\check{C}} \mathbf{B}_{\text{st}}, \quad (\varphi, N),$
- $\alpha_{\mathsf{dR}}: \quad H^r_{\mathsf{\acute{e}t}}(X, \mathbf{Q}_p) \otimes_{\mathbf{Q}_p} \mathbf{B}_{\mathsf{dR}} \simeq H^r_{\mathsf{dR}}(X/\mathbf{B}^+_{\mathsf{dR}}), \quad \textit{Fil}.$

Hyodo-Kato theory for analytic spaces

Grosse-Klönne; X/K -smooth dagger variety (i) **locally**: in *étale*-topology alterations allow



Case 2:

X/C Stein, smooth:

1. there exists an admissible covering by affinoids

 $\cdots \Subset U_n \Subset U_{n+1} \Subset \cdots$

- 2. $H^i(X, \mathscr{F}) = 0$, \mathscr{F} -coherent, i > 0
- 3. $\mathrm{R}\Gamma_{\mathrm{pro\acute{e}t}}(X, \mathbf{Q}_p) \simeq \mathrm{R} \lim_{n} \mathrm{R}\Gamma_{\mathrm{\acute{e}t}}(U_n, \mathbf{Q}_p)$
- 4. $H_{\text{proét}}^r$ is infinite dimensional
- 5. Hodge- de Rham spectral sequence does not degenerate

Theorem (Colmez-Dospinescu-N) X/C Stein smooth rigid space (or a dagger affinoid). There exists a map of exact sequences (all cohomologies are of X)

$$\begin{array}{c} 0 \to \Omega^{r-1} / \ker d \to H^{r}_{\mathrm{pro\acute{e}t}}(\mathbf{Q}_{p}(r)) \to (H^{r}_{\mathrm{HK}}\widehat{\otimes}^{R}_{\mathsf{C}}\mathbf{B}^{+}_{\mathrm{st}})^{\varphi = p^{r}, N = 0} \to 0 \\ \\ \\ \| & \downarrow^{\alpha} & \downarrow^{\iota_{\mathrm{HK}} \otimes \theta} \\ 0 \to \Omega^{r-1} / \ker d \longrightarrow \Omega^{r, d = 0} \longrightarrow H^{r}_{\mathrm{dR}} \longrightarrow 0 \end{array}$$

Main theorem

Theorem (Colmez-N) X/K smooth dagger variety. (i) **de Rham-to-étale**: there exists a bicartesian diagram

(ii) étale-to-de Rham: $([K : \mathbf{Q}_{p}] < \infty)$ $\operatorname{Hom}(H^{r}_{\operatorname{pro\acute{e}t}}(X_{C}, \mathbf{Q}_{p}), \mathbf{B}_{\operatorname{st}})^{\mathscr{G}_{K} - \operatorname{prosm}} \simeq H^{r}_{\operatorname{HK}}(X_{C})^{*} \quad (\varphi, N, \mathscr{G}_{K}),$ $\operatorname{Hom}_{\mathscr{G}_{K}}(H^{r}_{\operatorname{pro\acute{e}t}}(X_{C}, \mathbf{Q}_{p}), \mathbf{B}_{\operatorname{dR}}) \simeq H^{r}_{\operatorname{dR}}(X)^{*}, \quad \operatorname{Fil???}$

Remark (i) holds also for X/C.

Remarks

(1) X is **proper** then (degeneration of Hodge-de Rham sp. seq.)

$$H^{r}(F^{r}(\mathrm{R}\Gamma_{\mathsf{dR}}(X)\widehat{\otimes}_{K}^{R}\mathbf{B}_{\mathsf{dR}}^{+}))\simeq F^{r}(H^{r}_{\mathsf{dR}}(X)\widehat{\otimes}_{K}^{R}\mathbf{B}_{\mathsf{dR}}^{+})$$

and the horizontal arrows are injective

(2) X is **Stein or an affinoid** then the two horizontal arrows are surjective and their kernels are $\Omega^{r-1}(X_C)/\ker d$.

(3) **Topology**: We work in the category of locally convex spaces (quasi-abelian).

- Tensor products are projective (commute with limits) and (right) derived.
- Overconvergence implies "good properties":
 - 1. higher derived functors of tensor products vanish,
 - 2. cohomology is "classical".

Digression: Banach-Colmez spaces

$$H^n_{\mathrm{\acute{e}t}}(X_{\overline{K}}, \mathbf{Q}_{\mathcal{P}}) \simeq (H^n_{\mathsf{HK}}(X_{\overline{K}}) \otimes_{{\mathcal{K}}^{\mathrm{nr}}} \mathbf{B}_{\mathrm{st}})^{\mathbf{N}=0, \varphi=1} \cap F^0(H^n_{\mathsf{dR}}(X_{\overline{K}}) \otimes_{\overline{K}} \mathbf{B}_{\mathsf{dR}})$$

What structure can we put on:

$$(H^n_{\mathsf{HK}}(X_{\overline{K}}) \otimes_{{\mathcal{K}}^{\mathsf{nr}}} \mathbf{B}^+_{\mathsf{st}})^{\mathbf{N}=0, \varphi=1} \simeq (H^n_{\mathsf{HK}}(X_{\overline{K}}) \otimes_{{\mathcal{K}}^{\mathsf{nr}}} \mathbf{B}^+_{\mathsf{cr}})^{\varphi=1}$$

Example

• $H^n_{\mathsf{HK}}(X_{\overline{K}}) \simeq K^{\mathsf{nr}}\{-1\} \Rightarrow (H^n_{\mathsf{HK}}(X_{\overline{K}}) \otimes_{K^{\mathsf{nr}}} \mathbf{B}^+_{\mathsf{cr}})^{\varphi=1} \simeq \mathbf{B}^{+,\varphi=p}_{\mathsf{cr}}.$ Have:

$$0
ightarrow \mathbf{Q}_{p} t
ightarrow \mathbf{B}_{cr}^{+, arphi = p}
ightarrow C
ightarrow 0$$

So
$$\mathbf{B}^{+, \varphi = p}_{cr} \sim C \oplus \mathbf{Q}_{p}$$
.

• More generally, we have $\mathbf{B}_{cr}^{+,\varphi=p^m} \sim C^m \oplus \mathbf{Q}_p$ because:

$$(FES): \quad 0 \to \mathbf{Q}_{\rho} t^{m} \to \mathbf{B}_{cr}^{+,\varphi=\rho^{m}} \to \mathbf{B}_{dR}^{+}/t^{m}\mathbf{B}_{dR}^{+} \to 0$$

Digression, cont.

In which reasonable category

$$\mathbf{B}^{+,arphi=p^m}_{\mathsf{cr}}\sim \mathcal{C}^m\oplus \mathbf{Q}_{p}$$

Remark The category of topological vector spaces is not good: $C \oplus \mathbf{Q}_p \simeq C$!

Colmez, **Fontaine**: \exists abelian category \mathscr{BC} of Banach-Colmez vector spaces \mathbb{W} :

- $\mathbb{W} \simeq C^n \pm \mathbf{Q}_p^m$
- $\operatorname{Dim}(\mathbb{W}) := (\operatorname{\mathsf{dim}}_{\mathcal{C}} \mathbb{W}, \operatorname{\mathsf{dim}}_{\mathbf{Q}_{p}} \mathbb{W})$
- $\operatorname{Dim}(\mathbb{W})$ is additive on short exact sequences

Example

- 1. \mathbf{B}_{dR}^+/t^m is \mathbb{B}_m with $\operatorname{Dim}(\mathbb{B}_m) = (m, 0)$.
- 2. $\mathbf{B}_{cr}^{+,\varphi^a=p^b}$ is $\mathbb{U}_{a,b}$ with $Dim(\mathbb{U}_{a,b})=(b,a)$.
- 3. C/\mathbf{Q}_p has Dim = (1, -1).

Digression, qBC spaces

qBC space: A Vector Space $\mathbb W$ of the form

$$0 \to \mathbb{W}_0 \to \mathbb{W} \to \mathbb{W}/\mathbb{W}_0 \to 0$$

such that:

- \mathbb{W}_0 is a \mathbb{B}_m -module, $m \geq 0$,
- \mathbb{W}/\mathbb{W}_0 is a BC space

Typical example of \mathbb{B}_m -module: $(\mathrm{R}\Gamma_{\mathrm{dR}}(X)\otimes_{\mathcal{K}}\mathbf{B}_{\mathrm{dR}}^+)/F^m$

Everything stated below for BC spaces extends to qBC spaces

Proof of the main theorem

Step 1: equip everything in sight with BC structure **Step 2**: reduce to *X* quasi-compact: write

 $X = \cup_n U_n, \quad U_n \subset U_{n+1}, \quad U_n$ -quasi-compact

 $C(X): \quad 0 \to H^r_{\mathsf{pro\acute{e}t},r}(X_C) \to H^r(F^r)(X_C) \oplus \mathsf{HK}^r_r(X_C) \to \mathrm{DR}^r(X_C) \to 0$

Claim Have $C(X) = \varprojlim_n C(U_n)$: to control $\mathbb{R}^1 \varprojlim_n$ use:

(i) Mittag-Leffler in BC category and

(ii)Mittag-Leffler in loc. conv. top. vs for coh. cohomology

Step 3: Assume *X* quasi-compact

Lemma Main Theorem is equivalent to the following:

- 1. The pair $(H_{HK}^r(X_C), H_{dR}^r(X_C))$, $r \ge 0$, is acyclic.
- 2. $H_{\text{pro\acute{e}t}}^r(X_C, \mathbf{Q}_p)$ is effective, i.e., has curvature ≥ 0 , for all r.

3. For all r,

$$\operatorname{ht}(H^r_{\operatorname{pro\acute{e}t}}(X_C, \mathbf{Q}_p)) = \dim_{\mathcal{K}} H^r_{\operatorname{dR}}(X).$$

Acyclicity and curvature

An (M, M_K) - filtered (φ, N) -module is called **acyclic** if (equivalently):

- the associated vector bundle $\mathscr E$ on X_{FF} is acyclic, i.e., $H^1(X_{\rm FF}, \mathscr E)=0$
- \mathscr{E} has HN slopes ≥ 0
- $(M \otimes \mathbf{B}_{st})^{\varphi=1, N=0} \to (M \otimes \mathbf{B}_{dR})/F^0$ is surjective

Remark If (M, M_K) is a weakly admissible filtered (φ, N) -module then it is acyclic: all Harder-Narasimhan slopes of \mathscr{E} are 0.

(1) Curvature BC \mathbb{W} has curvature:

• > 0 if $\operatorname{Hom}(\mathbb{W}, \mathbb{V}^1) = 0$; $\leftarrow H^1(X_{FF}, \mathscr{E})$, \mathscr{E} a vector bundle

- = 0 if it is affine, i.e., it is a successive extension of \mathbb{V}^1 ; think $H^0(X_{FF}, \mathscr{F}_{\infty})$, \mathscr{F}_{∞} coherent sheaf, supported at ∞ , torsion
- < 0 if it injects into \mathbf{B}_{dR}^d ; think $H^0(X_{FF}, \mathscr{E})$.

Example (i) \mathbb{V}^1 curvature 0 and height 0 (ii) $\mathbb{V}^1/\mathbf{Q}_p$ curvature > 0 and height -1 < 0(iii) $\mathbb{U} = (\mathbf{B}_{cr}^+)^{\varphi=p}$ curvature < 0 and height 1 (iv) Compare:

- $\mathbb{U}/\mathbf{Q}_{p}t\simeq\mathbb{V}^{1}$ curvature 0 and height 0
- if $x \in \mathbb{U}(C) \setminus \mathbf{Q}_p t$ then $\mathbb{U}/\mathbf{Q}_p x$ curvature > 0 and height 0

Fact: Every BC space \mathbb{W} has a unique filtration

$$\mathbb{W}_{>0}\subset\mathbb{W}_{\geq0}\subset\mathbb{W}$$

such that

- $\mathbb{W}_{>0}$ has curvature > 0,
- $\mathbb{W}_{\geq 0}/\mathbb{W}_{>0}$ has curvature 0,
- $\mathbb{W}/\mathbb{W}_{\geq 0}$ has curvature < 0

Curvature and Harder-Narasimhan filtration

(v) Le Bras: category \mathscr{BC} is Harder-Narasimhan:

• there is a notion of slope $\mu(\mathbb{W})$ such that $\mu(\mathbb{U}_{\lambda}) = -1/\lambda$ (if $\lambda = d/h$ in lowest terms then $\mathbb{U}_{\lambda} := \mathbb{U}_{h,d}$). Have:

$$\mathbb{U}_{\lambda} = egin{cases} \mathbb{H}^{0}(X_{\mathrm{FF}}, \mathscr{O}(\lambda)), & ext{if } \lambda \geq \mathsf{0}, \ \mathbb{H}^{1}(X_{\mathrm{FF}}, \mathscr{O}(\lambda)), & ext{if } \lambda < \mathsf{0} \end{cases}$$

• there is canonical HN filtration; it splits (nocanonically):

$$\mathbb{W} = \mathbb{U}_{-1/\lambda_1} \oplus \cdots \oplus \mathbb{U}_{-1/\lambda_r} \oplus (\oplus_x \mathbb{H}^0(X_{\mathrm{FF}}, \mathscr{F}_x)),$$

where \mathscr{F}_x is a torsion sheaf supported on x (and $\mathbb{H}^0(X_{\mathrm{FF}}, \mathscr{F}_x)$ is of slope 0).

(vi) Curvature and Harder-Narasimhan filtration :

•
$$\mathbb{W}_{>0} \simeq (\oplus_{\lambda_i > 0} \mathbb{U}_{-1/\lambda_i}) \oplus (\oplus_{x \neq \infty} \mathbb{H}^0(X_{\mathrm{FF}}, \mathscr{F}_x)),$$

•
$$\mathbb{W}_{\leq 0} \simeq (\bigoplus_{\lambda_i < 0} \mathbb{U}_{-1/\lambda_i}) \oplus \mathbb{H}^0(X_{\mathrm{FF}}, \mathscr{F}_{\infty}),$$

•
$$\mathbb{W}_{<0} \simeq (\bigoplus_{\lambda_i < 0} \mathbb{U}_{-1/\lambda_i}),$$

•
$$\mathbb{W}_{=0} \simeq \mathbb{H}^0(X_{\mathrm{FF}}, \mathscr{F}_\infty).$$

Proof of the main theorem

We will prove claim (3) of the lemma: X quasi-compact over K. For all r,

$$\operatorname{ht}(H^{r}_{\operatorname{pro\acute{e}t}}(X_{C}, \mathbf{Q}_{p})) = \dim_{K} H^{r}_{\operatorname{dR}}(X).$$

(i) Note that this is true for affinoids.

(ii) We will show that it is true for a union of two affinoids (the general case is similar). So, assume that U_1, U_2 are affinoids, let

$$U=U_1\cup U_2, \quad U_{12}=U_1\cap U_2.$$

Note that

$$\operatorname{ht}(\mathsf{HK}_r^r) = \dim_{\mathcal{K}} H^r_{\mathsf{dR}}(X)$$

 \Rightarrow it suffices to show that

$$\operatorname{ht}(H^r_{\operatorname{pro\acute{e}t},r}) = \operatorname{ht}(\mathsf{HK}^r_r).$$

Comparison theorem

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(iii) Consider the map
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$$g: H^r_{\mathsf{pro\acute{e}t},r} o \mathsf{HK}^r_r$$

and let us pretend that

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\operatorname{ht}:BC spaces \rightarrow an abelian category
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that is exact.

Show that

$$\operatorname{ht}(g):\operatorname{ht}(H^r_{\operatorname{pro\acute{e}t},r})\to\operatorname{ht}(\mathsf{HK}^r_r)$$

is an isomorphism.

It is clear what to do: Mayer-Vietoris yields the following map of exact sequences

$$\operatorname{ht}_{\operatorname{\acute{e}t}}^{r-1}(U_1 \oplus U_2) \rightarrow \operatorname{ht}_{\operatorname{\acute{e}t}}^{r-1}(U_{12}) \rightarrow \operatorname{ht}_{\operatorname{\acute{e}t}}^{r}(U) \rightarrow \operatorname{ht}_{\operatorname{\acute{e}t}}^{r}(U_1 \oplus U_2) \rightarrow \operatorname{ht}_{\operatorname{\acute{e}t}}^{r}(U_{12})$$

$$\langle g \qquad \langle g$$

Use five lemma.

(iv) But ht does not have these properties so we consider a partial Categorification of height

Consider $h: BC \rightarrow C(\mathbf{B}_{dR} - modules)$,

 $\mathbb{W}\mapsto \mathsf{Hom}(\mathbb{W}, \boldsymbol{B}_{\mathsf{dR}}).$

Facts:

(1) if \mathbb{W} is effective then

 $\operatorname{rk}(h(\mathbb{W})) = \operatorname{ht}(\mathbb{W});$

in general

$$\operatorname{rk}(h(\mathbb{W})) = \operatorname{ht}(W) + \operatorname{rk}(\operatorname{Ext}(\mathbb{W}, \mathbf{B}_{\mathsf{dR}})).$$

(2) h is an exact functor on effective BC's.

 (v) It suffices to show that everything in sight is effective:

- we know it for all the affinoids
- it is clear for $HK_r^r(U)$
- for $H_{\text{pro\acute{e}t}}^r(U_C)$ we argue by induction on r using the fact

acyclicity of $(H^{r-1}_{HK}(X), H^{r-1}_{dR}(X_K)) \Rightarrow$ effectiveness of $H^r_{\text{pro\acute{e}t}}(U_C)$ \Rightarrow acyclicity of $(H^r_{HK}(X), H^r_{dR}(X_K)).$ Comparison theorem

Thank you !