From geodesic flow to wave dynamics on an Anosov manifold

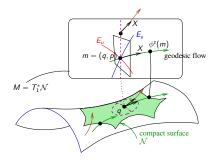
Based on arxiv:2102.11196 about contact Anosov flows, (and "work in progress" for some consequences for Anosov geodesic flows). Slides (and videos) are on my web-page.

F. Faure (Grenoble) with M. Tsujii (Kyushu),

2024, February 2th, collège de France

On (\mathcal{N}, g) closed Riemannian manifold, the **geodesic flow** $\phi^t : T^*\mathcal{N} \setminus \{0\} \circlearrowleft$ is generated by the **vector field** X, defined by $\Omega(X, .) = dH$ with Hamiltonian function $H(q, p) = \|p\|_{g_q}$ with $p \in T^*_q \mathcal{N} \setminus \{0\}$.

• In local coord. $(q,p) \in T^* \mathbb{R}^{d+1} = \mathbb{R}^{d+1} \times \mathbb{R}^{d+1}$ we have $X = \left(\frac{\partial H}{\partial p_j}, -\frac{\partial H}{\partial q_j}\right)_{j=0...d}$.



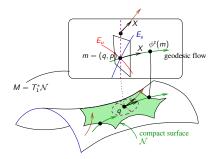


• Energy shell $M := T_1^* \mathcal{N} = \left\{ (q, p), \|p\|_{g_q} = 1 \right\}$ is invariant.

• "geodesic flow = motion of a free particle or adhesive tape" **Anosov property:** if curvature $\kappa < 0$ then $TT_1^*\mathcal{N} = \mathbb{R}X \oplus E_u \oplus E_s$. called "sensitivity to initial conditions" in physics.

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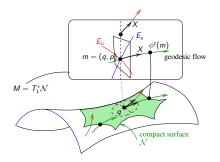


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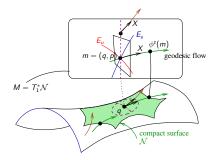


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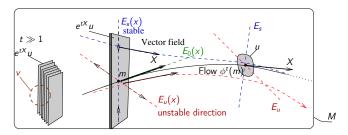


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The geodesic vector field $X = \sum_{j} X_{j}(x) \frac{\partial}{\partial x_{j}}$ on $M = T_{1}^{*} \mathcal{N}$ is a derivation operator, generator of the **pull back** of functions *v* by the flow $\phi^{t}, t \in \mathbb{R}$:

$$u_t = u \circ \phi^t = e^{tX} u \qquad \Leftrightarrow \qquad \frac{du_t}{dt} = X u_t.$$

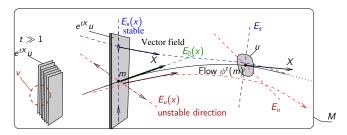


Its dual $(e^{tX})^*$ called "**Ruelle transfer operator**", transports probabilities, e.g.

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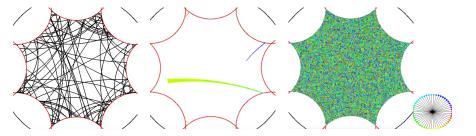
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video bolza 1 particle, video bolza rays, video bolza 1e6 particles, video circle on the Bolza billiard

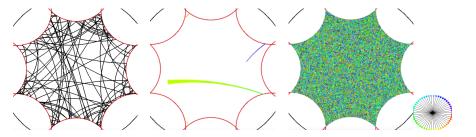


• Mixing property: $\forall u \in C^{\infty}(T_1^*\mathcal{N}), v \in C^{\infty}(T_1^*\mathcal{N}; \det(TM)),$

$$\langle v | u \circ \phi^t \rangle \xrightarrow[t \to +\infty]{} \langle v | 1 \rangle \langle \frac{1}{\operatorname{Vol}\left(\mathcal{T}_1^* \mathcal{N}\right)} | u \rangle + O_{u,v}\left(e^{-t/2}\right)$$
 (for Bolza)

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- Question: What is in the remainder $O_{u,v}(e^{-t/2})$?
- Can we **describe the "fluctuations"** around equilibrium? (idem waves and storms on a deep ocean)

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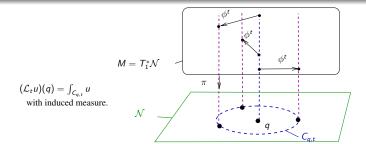
• On (\mathcal{N}, g) closed, let $\pi : M = T_1^* \mathcal{N} \to \mathcal{N}$,

- Pull back by π : for $u \in C^{\infty}(\mathcal{N})$, let $v = (\pi^{\circ}u) = u \circ \pi \in C^{\infty}(T_{1}^{*}\mathcal{N})$
- Pull-back by the flow: for $v \in C^{\infty}(T_1^*\mathcal{N})$, $w = e^{tX}v = v \circ \phi^t \in C^{\infty}(T_1^*\mathcal{N})$
- Average on fibers: for $w\in C^\infty\left(\mathcal{T}_1^*\mathcal{N}
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Definition

"Spherical mean". For t > 0, let \mathcal{L}_t defined by

$$\mathcal{L}_t := (\pi^\circ)^\dagger e^{tX} \pi^\circ \quad : L^2\left(\mathcal{N}
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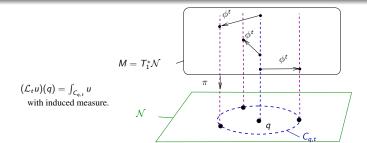


• Mixing for Bolza gives (Ratner 87): $\mathcal{L}_t = 1 \langle \frac{1}{\operatorname{Vol}(\mathcal{N})} | . \rangle + O_{L^2 \to L^2} \left(e^{-t/2} \right)$. but what is in this remainder $O_{L^2 \to L^2} \left(e^{-t/2} \right)$? Video: spherical mean of u of non zero average, Video: mean of u of zero, average * exp(t/2), V_{2.3.0}

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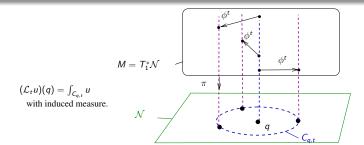


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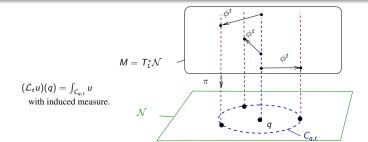


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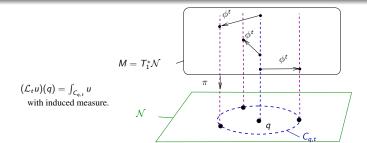


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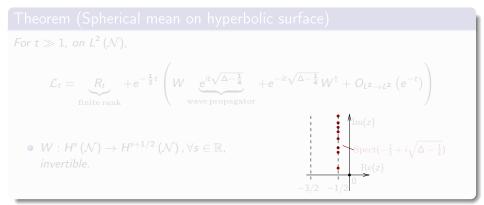
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On hyperbolic surfaces (special case)

On an hyperbolic surface $\mathcal{N}=\Gamma\backslash\mathrm{SL}_2\mathbb{R}/\textit{SO}_2,$ with co-compact $\Gamma,$



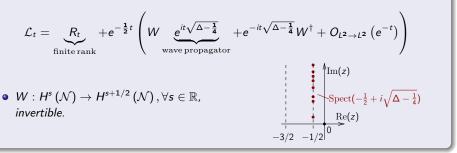
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Theorem (Spherical mean on hyperbolic surface)

For $t \gg 1$, on $L^2(\mathcal{N})$,



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 Proof: use representation theory, principal series of sl₂ℝ. (similar to Guillemin 77, Flaminio Forni 2002, Anantharaman 2023)

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$$\mathcal{L}_{t} = \underbrace{R_{t}}_{\text{finite rank}} + e^{-\frac{1}{2}t} \left(\mathcal{W} \underbrace{e^{it\sqrt{\Delta - \frac{1}{4}}}}_{\text{wave propagator}} + e^{-it\sqrt{\Delta - \frac{1}{4}}} \mathcal{W}^{\dagger} + O_{L^{2} \to L^{2}} \left(e^{-t} \right) \right)$$
$$\mathcal{W} : H^{s} \left(\mathcal{N} \right) \to H^{s+1/2} \left(\mathcal{N} \right), \forall s \in \mathbb{R},$$
invertible.

Rem: R_t = 1⟨1/Vol(N)|.⟩ + other terms (compl. and discrete series),
 Rem: u_t = e^{±it√Δ-¼}/₄u₀ implies ∂²/_{2t} = -(Δ - ¼) u_t : "wave equation"

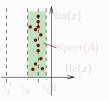
- Let (\mathcal{N}, g) be a closed Riemanian manifold with an Anosov geodesic flow e^{tX} on $M = (T\mathcal{N})_1$ $(TM = E_u \oplus E_s \oplus \mathbb{R}X)$
- Recall the **spherical mean** $\mathcal{L}_t = (\pi^\circ)^{\dagger} e^{tX} \pi^\circ$ bounded on $L^2(\mathcal{N}), \forall t \in \mathbb{R}$,
- For $k \in \mathbb{N}$, let $\mathcal{F}_k = |\det E_s|^{-1/2} \otimes \operatorname{Pol}_k(E_s) \to M$ and define $\gamma_k^{\pm} := \lim_{t \to \pm \infty} \log \left\| e^{tX_{\mathcal{F}_k}} \right\|_{L^{\infty}(M;\mathcal{F}_k)}^{1/t} < 0$. Rem: $\gamma_k^{+} \underset{k \to \infty}{\to} -\infty$. (for hyp. surf. $\gamma_1^{\pm} = -\frac{3}{2}, \gamma_0^{\pm} = -\frac{1}{2}, \gamma_k^{\pm} = -\frac{1}{2} k$.)

Theorem (Spherical mean on Anosov manifold (F.T. 21 and in progress)

With pinching conditions $\gamma_1^+ < \gamma_0^- \le \gamma_0^+$ (explained later), for $t \gg 1$,

$$\mathcal{L}_{t} = \underbrace{R_{t}}_{\text{finite rank}} + W e^{tA} + e^{tA^{\dagger}} W^{\dagger} + O_{L^{2} \to L^{2}} \left(e^{\left(\gamma_{1}^{+} + \forall \epsilon\right)t} \right)$$

- $W: H^{s}(\mathcal{N}) \to H^{s+1/2}(\mathcal{N}), \forall s \in \mathbb{R}, \text{ invertible.}$
- $A = i\sqrt{\Delta} + O_{L^2 \to L^2} \left(H^s \to H^{s-\frac{1}{2}} \right)$
- $\begin{array}{l} \bullet \quad \forall \epsilon > 0, \exists C > 0, \forall t \geq 0, \\ \left\| e^{tA} \right\|_{L^2} \leq c_e^{t\left(\gamma_0^+ + \epsilon\right)}, \left\| e^{-tA} \right\|_{L^2}^{-1} \geq \frac{1}{C} e^{t\left(\gamma_0^- \epsilon\right)}, \left\| e^{itA} \right\|_{L^2} \leq c_e^{t\left(\gamma_0^- \epsilon\right)} \end{array}$
- Operators W, A are **unique** (up to finite rank, given later).



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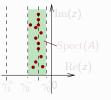
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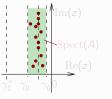
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$$k \in \mathbb{N}$$
, let $\mathcal{F}_k = |\det E_s|^{-1/2} \otimes \operatorname{Pol}_k(E_s) \to M$ and define $\gamma_k^{\pm} := \lim_{t \to \pm \infty} \log \left\| e^{tX_{\mathcal{F}_k}} \right\|_{L^{\infty}(M;\mathcal{F}_k)}^{1/t} < 0$. Rem: $\gamma_k^{+} \underset{k \to \infty}{\to} -\infty$. (for hyp. surf. $\gamma_1^{\pm} = -\frac{3}{2}, \gamma_0^{\pm} = -\frac{1}{2}, \gamma_k^{\pm} = -\frac{1}{2} - k$.)

Theorem (Spherical mean on Anosov manifold (F.T. 21 and in progress))

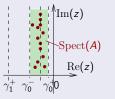
With pinching conditions $\gamma_1^+ < \gamma_0^- \le \gamma_0^+$ (explained later), for $t \gg 1$,

$$\mathcal{L}_{t} = \underbrace{R_{t}}_{\text{finite rank}} + W e^{tA} + e^{tA^{\dagger}} W^{\dagger} + O_{L^{2} \to L^{2}} \left(e^{\left(\gamma_{1}^{+} + \forall_{\epsilon}\right)t} \right)$$

•
$$W: H^{s}(\mathcal{N}) \rightarrow H^{s+1/2}(\mathcal{N}), \forall s \in \mathbb{R}, invertible$$

•
$$A = i\sqrt{\Delta} + O_{L^2 \to L^2} \left(H^s \to H^{s-\frac{1}{2}} \right)$$

- $\begin{aligned} \bullet \quad \forall \epsilon > 0, \exists C > 0, \forall t \ge 0, \\ \left\| e^{tA} \right\|_{L^{2}} &\leq C_{e}^{t\left(\gamma_{0}^{+} + \epsilon\right)}, \left\| e^{-tA} \right\|_{L^{2}}^{-1} \geq \frac{1}{C} e^{t\left(\gamma_{0}^{-} \epsilon\right)}, \left\| e^{itA} \right\|_{L^{2}} \leq C \end{aligned}$
- Operators W, A are unique (up to finite rank, given later).



• Let (\mathcal{N}, g) be a closed Riemanian manifold with an Anosov geodesic flow e^{tX}

Theorem (Spherical mean on Anosov manifold (F.T. 21 and in progress))

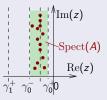
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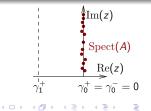
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- by twisting with the bundle $F = |\det E_s|^{1/2}$, we get $\gamma_1^+ < \gamma_0^\pm = 0$ (F.-Tsujii 2013)
- More internal bands: assuming $\gamma_{K+1}^+ < \gamma_K^-$, we can get remainder $O_{L^2 \to L^2} \left(e^{\left(\gamma_{K+1}^+ + \forall \epsilon\right)t} \right)$, $\forall K \in \mathbb{N}$.



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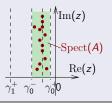
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- Eigenfunctions of A are in $C^{\infty}(\mathcal{N})$. We will see that Spect(A) = first band of **Ruelle spectrum** of X (discrete poles of $(z - X)^{-1} : C^{\infty}(M) \to \mathcal{D}'(M)$).(Ruelle, Baladi-, sujii, Gouezel, Liverani, ...)
- So discrete Ruelle spectrum has an intrinsic existence and manifestation in L² (N) (no anisotropic Sobolev space here).

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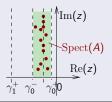
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• From Atiyah-Bott trace formula, Spect(A) are **zeroes of a semi-classical zeta function** determined from the periodic orbits (Giulietti-Liverani-Pollicott 2012, Dyatlov-Zworski 2013, F.-Tsujii 2013).

Some related works

• Emergence of quantum dynamics, band structure of Ruelle spectrum:

- ▶ for contact extension of linear cat map on T² (F. 2006) (this is a "normal form", and shows the main mechanism with symplectic spinors)
- ▶ for contact extension of symplectic Anosov diffeom. (F.-Tsujii 2012)
- for geodesic flow on hyperbolic manifolds (Dyatlov-F-Guillarmou 2014, Hilgert-Weich 2016)
- ▶ for contact Anosov flows (F-Tsujii 2016, 2021, Guillarmou-Cekic 2020)

- Spherical mean
 - on Euclidean space with obstacles (Dang, Léautaud, Riviere 2022)

▶ ...

Quantization Op (.), (e.g. Op (p_j) = -i ∂/∂q_j) applied to the geodesic flow gives the "wave operator" √Δ ≈ Op (||p||_g) (with the Hodge Laplacian Δ = d[†]d), that generates the wave equation, for u_t ∈ C[∞] (N), t ∈ ℝ:

$$\partial_t u_t = i \sqrt{\Delta} u_t \implies \qquad \partial_t^2 u_t = -\Delta u_t$$

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• Semi-classical analysis (WKB theory, Egorov's Theorem etc) shows that for small wave-length $\lambda \ll 1$, function u_t is approximately transported by the geodesics:

wave equation
$$\underset{t \; \mathrm{fixed}, \lambda
ightarrow 0}{\Longrightarrow}$$
 geodesic flow

• Ex: geometrical optics is a limit of wave optics with $\lambda \approx 0.5 \mu m$. Classical Newtonian mechanics is a limit of quantum Schrödinger mechanics. movie of wave packet



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• Curiously, Thm 4 concerns the **opposite direction**:

geodesic flow
$$\implies_{t\gg 1}$$
 wave equation

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What does it mean?

• Quantization is not unique: many quantum operators (PDO) have the same classical limit (principal symbol) but have different spectra.

- For example with Weyl quantization, the operator depends on the choice of coordinates. In geometric quantization, the operator depends on the choice of polarization.
- Hence the classical dynamics does not determine the quantum spectrum in general.
- The operator A in Thm 4 is one quantization among others but uniquely defined from the Anosov geodesic flow and has therefore special properties w.r.t. the dynamics, e.g. almost exact Trace formula, Van-Vleck formula (remainders are $O_h(e^{-Ct}) \rightarrow 0$)
 - We expect that this quantization may be specially interesting to study "quantum chaos".

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Physical meaning? (informal discussion)

Let us observe the following similarities:

- Thm 4 shows that the propagation of probability measures under a deterministic but chaotic dynamics (Anosov geodesic flow) is an equilibrium measure + small fluctuations governed by the Schrödinger wave equation, i.e. "quantum dynamics emerges".
- (a) In physics, **experimental phenomena** are explained by **"quantum waves formalism" with a probabilistic interpretation**: $p(x) dx = |\psi(x)|^2 dx$. Physicists wonder if there is a underlying deterministic model for this.

Question: are there relationship between 1) and 2)? Does it suggest a deterministic underlying model in physics from which the quantum formalism emerges?

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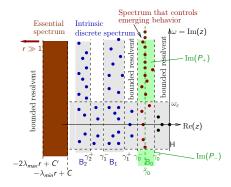
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Ingredients of proof of thm 4



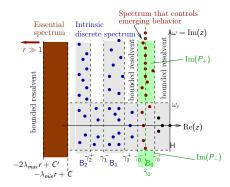
Based on:

arxiv:2102.11196, with M. Tsujii that concerns contact Anosov flows

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(Work in progress) "spherical mean" for geodesic Anosov flows

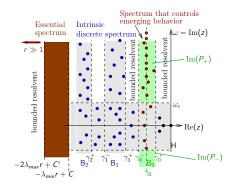
Ingredients of proof of thm 4



• Step 1: "discrete Ruelle spectrum with bands".

- Anisotropic Sobolev spaces $\mathcal{H}_W(M)$ (from a weight function W on $T^*T_1^*\mathcal{N}$ adapted to the dynamics)
- We deduce **discrete Ruelle spectrum of** X in $\mathcal{H}_W(M)$, with gaps if $\gamma_1^+ < \gamma_0^-$.

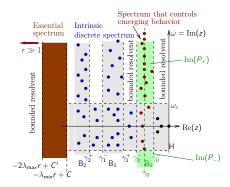
Ingredients of proof of thm 4



- We use microlocal analysis of X using symplectic geometry,
- At the heart of the proof: **symplectic spinors** and **emergence of quantum dynamics** for the bundle of linear symplectic maps

$$d\left(d\phi^{t}\right)^{*}$$
: $TT^{*}M$ (5)

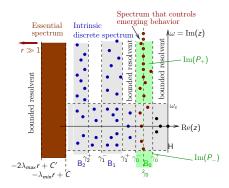
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• Step 2: "spherical mean". Deduce asymptotics $t \gg 1$ of

$$\mathcal{L}_t = (\pi^\circ)^\dagger e^{tX} \pi^\circ$$

• Use that the vertical direction $V = \text{Ker}(d\pi)$ is transverse to E_u, E_s (Klingenberg 74). Hence averaging erases the wave-front set of Ruelle distributions.



• Define a spectral (bounded) projector for the first band

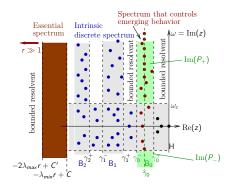
$$P_{\pm}:\mathcal{H}_{W}\left(M
ight)
ightarrow \mathrm{Im}\left(P_{\pm}
ight) \subset\mathcal{H}_{W}\left(M
ight) .$$

• From transversality, $V \perp (E_u, E_s)$, the **pull back is Fredholm**:

$$B_{\pm} := P_{\pm}\pi^{\circ} : L^{2}(\mathcal{N}) \to \operatorname{Im}(P_{\pm})$$

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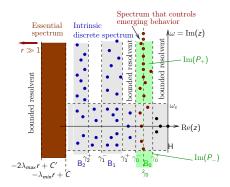
Then (roughly),

$$\mathcal{L}_t = \left(\pi^\circ
ight)^\dagger e^{tX}\pi^\circ = \mathcal{L}_t^+ + \mathcal{L}_t^- + R_t + O\left(e^{\gamma_1^+ t}
ight)$$

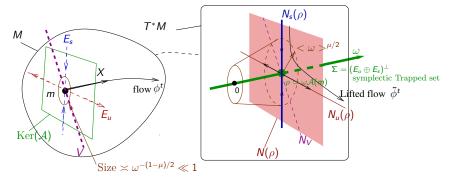
with $B_{\pm} := P_{\pm}\pi^{\circ}$, $A_{\pm} := B_{\pm}^{-1}XB_{\pm}$, $W_{\pm} = (\pi^{\circ})^{\dagger}B_{\pm}$,

$$\mathcal{L}_{t}^{\pm} = (\pi^{\circ})^{\dagger} e^{tX} P_{\pm} \pi^{\circ} = (\pi^{\circ})^{\dagger} B_{\pm} B_{\pm}^{-1} e^{tX} B_{\pm} = W_{\pm} e^{tA_{\pm}}$$

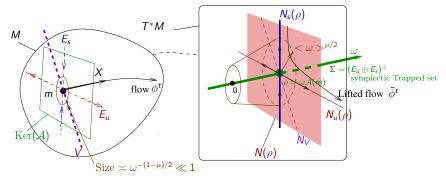
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• Rem: for $a \in C^{\infty}(M)$, we have $e^{tX}\mathcal{M}_{a}e^{-tX} = \mathcal{M}_{a\circ\phi^{t}}$. Define $\operatorname{Op}(a) := B^{-1}\mathcal{M}_{a}B : L^{2}(\mathcal{N}) \to L^{2}(\mathcal{N})$. Then $e^{tA}\operatorname{Op}(a) e^{-tA} = (B^{-1}e^{tX}B)(B^{-1}\mathcal{M}_{a}B)(B^{-1}e^{-tX}B)$ $= \operatorname{Op}(a \circ \phi^{t}) : \operatorname{Exact}\operatorname{Egorov}$

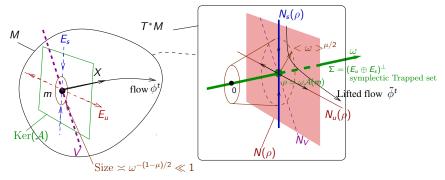






- e^{tX} is a Fourier integral operator: in the limit of high frequencies,
- its action is well described on the cotangent bundle T^*M with the induced flow $\tilde{\phi}^t := (d\phi^t)^*$, $t \in \mathbb{R}$.

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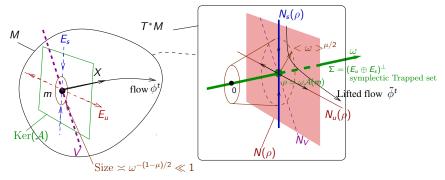
- Introduce a **Hörmander metric** g on T^*M , Ω -compatible.
- define an L²-isometric "wave-packet transform"

$$\mathcal{T}: C^{\infty}(M; F) \to \mathcal{S}(T^*M; F)$$

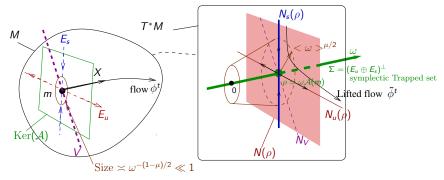
to use **micro-local analysis** on T^*M for the pull back operator e^{tX} .

• The unit boxes for the metric g correspond to the effective size of wave-packets and reflect the **uncertainty principle**.

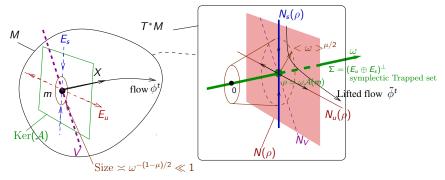
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- The dynamics $\tilde{\phi}^t$ is a "scattering dynamics" on the trapped set $\Sigma = \mathbb{R}^* \mathscr{A} \subset T^*M$ (Liouville 1-form)
- Σ is symplectic and normally hyperbolic.
- In the outer part of Σ , we put a weight W such that $W\left(\tilde{\phi}^t\left(\rho\right)\right)$ decays with $t \to +\infty$. Hence the operator e^{tX} has a negligible contribution in some anisotropic Sobolev space \mathcal{H}_W .
- So only the dynamics in a vicinity of Σ plays a role for our purpose. Ξ

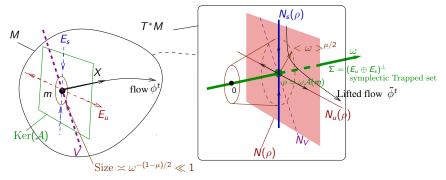


- We consider a vicinity of Σ of a given g-size ω^{μ/2}, at ρ = ωA(m) ∈ Σ, with some 0 < μ < 1.
- The projection on *M* has size $\simeq \omega^{-(1-\mu)/2} \ll 1$ if $\omega \gg 1$.
- This will allow us to use the linearization of the dynamics $\tilde{\phi}^t$ as a local approximation.



At ρ = ωA (m) ∈ Σ, there is a micro-local decoupling (idem symplectic spinors)

$$T_{\rho}T^*M = \underbrace{T_{\rho}\Sigma}_{\text{Tangent}} \stackrel{\perp}{\oplus} \underbrace{(N_u(\rho) \oplus N_s(\rho))}_{\text{normal}} \quad : \text{ invariant decomp.}$$



- The dynamics on the normal direction N is hyperbolic and responsible for the emergence of polynomial functions along the stable direction $N_s \equiv E_s$ idem $V = -x \frac{d}{dx}$, $Vx^k = (-k) x^k$ on \mathbb{R} .
- What remains for large time, is an **effective Hilbert space** of functions (or quantum waves) that live on the trapped set Σ , valued in the vector bundle $\mathcal{F}_k = |\det E_s|^{-1/2} \otimes \operatorname{Pol}_k(E_s).$
- We deduce band structure of X and other properties.

What is the meaning of going beyond the equilibrium description for the Ruelle spectrum?

Illustration: **the ocean** is quite, deep, flat, gentle \equiv **Equilibrium state**, but with a better look, the behavior at the surface may be furious, wavy, and never stop to move. Are they neglictible?





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Some very speculative question: can quantum dynamics in the physics world emerges from an underlying chaotic deterministic yet unknown system?

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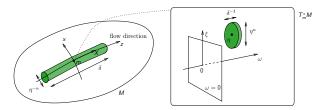
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(*) Wave packets

Local flow box coordinates on M: y = (x, z) ∈ ℝⁿ × ℝ s.t. X = ∂/∂z and dual coordinates η = (ξ, ω) ∈ ℝⁿ × ℝ on T^{*}_yM.



• Let $\frac{1}{2} \le \alpha < 1$ and $0 < \delta \ll 1$. Wave packet function is:

$$\varphi_{(y,\eta)}\left(y'\right) \underset{|\eta|\gg 1}{\approx} a \exp\left(i\eta.y' - \left|\frac{x'-x}{\langle\eta\rangle^{-\alpha}}\right|^2 - \left|\frac{z'-z}{\delta}\right|^2\right), \qquad \left\|\varphi_{(y,\eta)}\right\|_{L^2(M)} \underset{|\eta|\gg 1}{\approx} 1$$

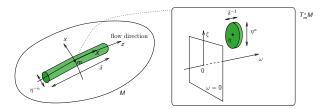
• Metric g on T^*M , compatible with $\Omega = dy \wedge d\eta$:

$$g_{y,\eta} = \left(\frac{dx}{\langle \eta \rangle^{-\alpha}}\right)^2 + \left(\frac{d\xi}{\langle \eta \rangle^{\alpha}}\right)^2 + \left(\frac{dz}{\delta}\right)^2 + \left(\frac{d\omega}{\delta^{-1}}\right)^2$$

Rem: α ≥ 1/2 ⇔ g remains equivalent uniformly/η after change of flow box coordinates.

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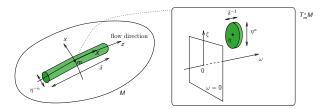
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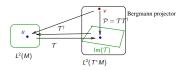
(*) Wave packet transform (or FBI, wavelet, Bargmann, Anti-Wick... transform)

(Abuse of notations that forget charts and partitions of unity.)

$$\mathcal{T}: \begin{cases} \mathcal{C}^{\infty}(M) & \to \mathcal{S}(\mathcal{T}^{*}M) \\ u(y') & \to (\mathcal{T}u)(y,\eta) := \langle \varphi_{y,\eta}, u \rangle_{L^{2}(M)} \end{cases}$$

Lemma (fundamental 1. "Resolution of identity")

 $\mathcal{T}^* \circ \mathcal{T} = \mathrm{Id}$



Remarks: $\forall u \in C^{\infty}(M)$, $u(y') = \int_{T^*M} \varphi_{y,\eta}(y') \langle \varphi_{y,\eta}, u \rangle \frac{dyd\eta}{(2\pi)^{n+1}}$. $\mathcal{T} : L^2(M) \to \operatorname{Im}(\mathcal{T}) \subset L^2(T^*M)$ is an isomorphism. Hence we "lift the analysis to T^*M ". $\Pi = \mathcal{T} \circ \mathcal{T}^* : L^2(T^*M) \to \operatorname{Im}(\mathcal{T})$ is an orthogonal projector.