## From geodesic flow to wave dynamics on an Anosov manifold

Based on arxiv:2102.11196 about contact Anosov flows, (and "work in progress" for some consequences for Anosov geodesic flows). Slides (and videos) are on my web-page.<br>F. Faure (Grenoble) with M. Tsujii (Kyushu),

2024, February 2th, collège de France

## Definition

On $(\mathcal{N}, g)$ closed Riemannian manifold, the geodesic flow $\phi^{t}: T^{*} \mathcal{N} \backslash\{0\} \circlearrowleft$ is generated by the vector field $X$, defined by $\Omega(X,)=.d H$ with Hamiltonian function $H(q, p)=\|p\|_{g_{q}}$ with $p \in T_{q}^{*} \mathcal{N} \backslash\{0\}$.

- In local coord. $(q, p) \in T^{*} \mathbb{R}^{d+1}=\mathbb{R}^{d+1} \times \mathbb{R}^{d+1}$ we have $X=\left(\frac{\partial H}{\partial p_{j}},-\frac{\partial H}{\partial q_{j}}\right)_{j=0 . . . d}$.

- Energy shell $M:=T_{1}^{*} \mathcal{N}=\left\{(q, p),\|p\|_{g_{q}}=1\right\}$ is invariant.
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Anosov property: if curvature $\kappa<0$ then $T T_{1}^{*} \mathcal{N}=\mathbb{R} X \oplus E_{u} \oplus E_{s}$. called "sensitivity to initial conditions" in physics.

## Observation of the geodesic flow dynamics

The geodesic vector field $X=\sum_{j} X_{j}(x) \frac{\partial}{\partial x_{j}}$ on $M=T_{1}^{*} \mathcal{N}$ is a derivation operator, generator of the pull back of functions $v$ by the flow $\phi^{t}, t \in \mathbb{R}$ :

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u_{t}=u \circ \phi^{t}=e^{t X} u \quad \Leftrightarrow \quad \frac{d u_{t}}{d t}=X u_{t}
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$$
\left(e^{t X}\right)^{*} \delta_{m}=\delta_{\phi^{t}(m)} \quad: \text { particle dynamics }
$$

## Observation of the geodesic flow dynamics

video bolza 1 particle, video bolza rays, video bolza 1e6 particles, video circle on the Bolza billiard


- Mixing property: $\forall u \in C^{\infty}\left(T_{1}^{*} \mathcal{N}\right), v \in C^{\infty}\left(T_{1}^{*} \mathcal{N} ; \operatorname{det}(T M)\right)$,

$$
\left\langle v \mid u \circ \phi^{t}\right\rangle \underset{t \rightarrow+\infty}{\rightarrow}\langle v \mid 1\rangle\left\langle\left.\frac{1}{\operatorname{Vol}\left(T_{1}^{*} \mathcal{N}\right)} \right\rvert\, u\right\rangle+O_{u, v}\left(e^{-t / 2}\right) \text { (for Bolza) }
$$

## Observation of the geodesic flow dynamics

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- Question: What is in the remainder $O_{u, v}\left(e^{-t / 2}\right)$ ?
- Can we describe the "fluctuations" around equilibrium? (idem waves and storms on a deep ocean)
- On $(\mathcal{N}, g)$ closed, let $\pi: M=T_{1}^{*} \mathcal{N} \rightarrow \mathcal{N}$,
- Pull back by $\pi$ : for $u \in C^{\infty}(\mathcal{N})$, let $v=\left(\pi^{\circ} u\right)=u \circ \pi \in C^{\infty}\left(T_{1}^{*} \mathcal{N}\right)$
- Pull-back by the flow: for $v \in C^{\infty}\left(T_{1}^{*} \mathcal{N}\right), w=e^{t x} v=v \circ \phi^{t} \in C^{\infty}\left(T_{1}^{*} \mathcal{N}\right)$
- Average on fibers: for $w \in C^{\infty}\left(T_{1}^{*} \mathcal{N}\right),\left(\left(\pi^{\circ}\right)^{\dagger} w\right)(q)=\int_{\pi^{-1}(q)} w \in C^{\infty}(\mathcal{N})$


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"Spherical mean". For $t>0$, let $\mathcal{L}_{t}$ defined by

$\left(\mathcal{L}_{t} u\right)(q)=\int_{C_{q, t}} u$ with induced measure.


- Mixing for Bolza gives (Ratner 87): $\mathcal{L}_{t}=1\left\langle\left.\frac{1}{\operatorname{Vol}(\mathcal{N})} \right\rvert\, \cdot\right\rangle+O_{L^{2} \rightarrow L^{2}}\left(e^{-t / 2}\right)$ but what is in this remainder $O_{L^{2} \rightarrow L^{2}}\left(e^{-t / 2}\right)$ ?
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Video: spherical mean of $u$ of non zero average, Video: mean of $u$ of zero average * $\exp (t / 2), V_{\rho}^{\prime}, Q \subset$


## On hyperbolic surfaces（special case）

On an hyperbolic surface $\mathcal{N}=\Gamma \backslash \mathrm{SL}_{2} \mathbb{R} / \mathrm{SO}_{2}$ ，with co－compact $\Gamma$ ，

## Theorem（Spherical mean on hyperbolic surface）

For $t \gg 1$ ，on $L^{2}(\mathcal{N})$ ，


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On an hyperbolic surface $\mathcal{N}=\Gamma \backslash \mathrm{SL}_{2} \mathbb{R} / \mathrm{SO}_{2}$, with co-compact $\Gamma$,

## Theorem (Spherical mean on hyperbolic surface)

For $t \gg 1$, on $L^{2}(\mathcal{N})$,

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\mathcal{L}_{t}=\underbrace{R_{t}}_{\text {finite rank }}+e^{-\frac{1}{2} t}(W \underbrace{e^{i t \sqrt{\Delta-\frac{1}{4}}}}_{\text {wave propagator }}+e^{-i t \sqrt{\Delta-\frac{1}{4}}} W^{\dagger}+O_{L^{2} \rightarrow L^{2}}\left(e^{-t}\right))
$$

- $W: H^{s}(\mathcal{N}) \rightarrow H^{s+1 / 2}(\mathcal{N}), \forall s \in \mathbb{R}$, invertible.

- Proof: use representation theory, principal series of $s / 2 \mathbb{R}$. (similar to Guillemin 77, Flaminio Forni 2002, Anantharaman 2023)


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- Rem: $R_{t}=1\left\langle\left.\frac{1}{\operatorname{Vol}(\mathcal{N})} \right\rvert\,.\right\rangle+$ other terms (compl. and discrete series),
- Rem: $u_{t}=e^{ \pm i t \sqrt{\Delta-\frac{1}{4}}} u_{0}$ implies $\frac{\partial^{2} u_{t}}{\partial t^{2}}=-\left(\Delta-\frac{1}{4}\right) u_{t}$ : "wave equation"

On Anosov manifold (more general case)

- Let $(\mathcal{N}, g)$ be a closed Riemanian manifold with an Anosov geodesic flow $e^{t X}$ on $M=(T \mathcal{N})_{1}\left(T M=E_{u} \oplus E_{s} \oplus \mathbb{R} X\right)$
- Recall the spherical mean $\mathcal{L}_{t}=\left(\pi^{\circ}\right)^{\dagger} e^{t X} \pi^{\circ}$ bounded on $L^{2}(\mathcal{N}), \forall t \in \mathbb{R}$,
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## Theorem (Spherical mean on Anosov manifold (F.T. 21 and in progress))

With pinching conditions $\gamma_{1}^{+}<\gamma_{0}^{-} \leq \gamma_{0}^{+}$(explained later), for $t \gg 1$,

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- $W: H^{s}(\mathcal{N}) \rightarrow H^{s+1 / 2}(\mathcal{N}), \forall s \in \mathbb{R}$, invertible.
- $A=i \sqrt{\Delta}+O_{L^{2} \rightarrow L^{2}}\left(H^{s} \rightarrow H^{s-\frac{1}{2}}\right)$
- $\forall \epsilon>0, \exists C>0, \forall t \geq 0$,


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- by twisting with the bundle $F=\left|\operatorname{det} E_{s}\right|^{1 / 2}$, we get $\gamma_{1}^{+}<\gamma_{0}^{ \pm}=0$ (F.-Tsujii 2013)
- More internal bands: assuming $\gamma_{K+1}^{+}<\gamma_{K}^{-}$, we can get remainder $O_{L^{2} \rightarrow L^{2}}\left(e^{\left(\gamma_{K+1}^{+}+{ }^{\forall} \epsilon\right) t}\right)$, $\forall K \in \mathbb{N}$.



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- Eigenfunctions of $A$ are in $C^{\infty}(\mathcal{N})$.

We will see that $\operatorname{Spect}(\mathrm{A})=$ first band of Ruelle spectrum of $X$ (discrete poles of $\left.(z-X)^{-1}: C^{\infty}(M) \rightarrow \mathcal{D}^{\prime}(M)\right)$.(Ruelle, Baladi-, sujii, Gouezel, Liverani, ...)

- So discrete Ruelle spectrum has an intrinsic existence and manifestation in $L^{2}(\mathcal{N})$ (no anisotropic Sobolev space here).


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- From Atiyah-Bott trace formula, Spect(A) are zeroes of a semi-classical zeta function determined from the periodic orbits
(Giulietti-Liverani-Pollicott 2012, Dyatlov-Zworski 2013, F.-Tsujii 2013).


## Some related works

- Emergence of quantum dynamics, band structure of Ruelle spectrum:
- for contact extension of linear cat map on $\mathbb{T}^{2}$ (F. 2006)
(this is a "normal form", and shows the main mechanism with symplectic spinors)
- for contact extension of symplectic Anosov diffeom. (F.-Tsujii 2012)
- for geodesic flow on hyperbolic manifolds (Dyatlov-F-Guillarmou 2014, Hilgert-Weich 2016)
- for contact Anosov flows (F-Tsujii 2016, 2021, Guillarmou-Cekic 2020)
- Spherical mean
- on Euclidean space with obstacles (Dang, Léautaud, Riviere 2022)
- ...


## General remarks on "quantization" in mathematics

- Quantization $\mathrm{Op}($.$) , (e.g. \mathrm{Op}\left(p_{j}\right)=-i \frac{\partial}{\partial q_{j}}$ ) applied to the geodesic flow gives the "wave operator" $\sqrt{\Delta} \approx \operatorname{Op}\left(\|p\|_{g}\right)$ (with the Hodge Laplacian $\Delta=d^{\dagger} d$ ), that generates the wave equation, for $u_{t} \in C^{\infty}(\mathcal{N}), t \in \mathbb{R}$ :

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- Semi-classical analysis (WKB theory, Egorov's Theorem etc) shows that for small wave-length $\lambda \ll 1$, function $u_{t}$ is approximately transported by the geodesics:

$$
\text { wave equation } \underset{t \text { fixed }, \lambda \rightarrow 0}{\Longrightarrow} \text { geodesic flow }
$$

- Ex: geometrical optics is a limit of wave optics with $\lambda \approx 0.5 \mu \mathrm{~m}$. Classical Newtonian mechanics is a limit of quantum Schrödinger mechanics. movie of wave packet



## General remarks on "quantization" in mathematics

- Quantization $\mathrm{Op}($.$) , (e.g. \mathrm{Op}\left(p_{j}\right)=-i \frac{\partial}{\partial q_{j}}$ ) applied to the geodesic flow gives the "wave operator" $\sqrt{\Delta} \approx \operatorname{Op}\left(\|p\|_{g}\right)$ (with the Hodge Laplacian $\left.\Delta=d^{\dagger} d\right)$, that generates the wave equation, for $u_{t} \in C^{\infty}(\mathcal{N}), t \in \mathbb{R}$ :

$$
\partial_{t} u_{t}=i \sqrt{\Delta} u_{t} \quad \Longrightarrow \quad \partial_{t}^{2} u_{t}=-\Delta u_{t}
$$

- Semi-classical analysis (WKB theory, Egorov's Theorem etc) shows that for small wave-length $\lambda \ll 1$, function $u_{t}$ is approximately transported by the geodesics:

$$
\text { wave equation } \underset{t \text { fixed, } \lambda \rightarrow 0}{\Longrightarrow} \text { geodesic flow }
$$

- Curiously, Thm 4 concerns the opposite direction:

$$
\text { geodesic flow } \underset{t \gg 1}{\Longrightarrow} \text { wave equation }
$$

What does it mean?

General remarks on quantization(s) in mathematics

- Quantization is not unique: many quantum operators (PDO) have the same classical limit (principal symbol) but have different spectra.
coordinates. In geometric quantization, the operator depends on the choice polarization.
- Hence the classical dynamics does not determine the quantum spectrum in general
- The operator $A$ in Thm 4 is one quantization among others but uniquely defined from the Anosov geodesic flow and has therefore special properties w.r.t. the dynamics, e.g. almost exact Trace formula, Van-Vleck formula (remainders are $O_{\hbar}\left(e^{-C t}\right) \rightarrow 0$ )
- We expect that this quantization may be specially interesting to study 'quantum chaos'


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Physical meaning? (informal discussion)

Let us observe the following similarities:
(1) Thm 4 shows that the propagation of probability measures under a deterministic but chaotic dynamics (Anosov geodesic flow) is an equilibrium measure + small fluctuations governed by the Schrödinger wave equation, i.e. "quantum dynamics emerges".
(3) In physics, experimental phenomena are explained by "quantum waves formalism" with a probabilistic interpretation: $p(x) d x=|\psi(x)|^{2} d x$. Physicists wonder if there is a underlying deterministic model for this.

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## Ingredients of proof of thm 4



Based on:
(1) arxiv:2102.11196, with M. Tsujii that concerns contact Anosov flows
(2) (Work in progress) "spherical mean" for geodesic Anosov flows

## Ingredients of proof of thm 4



- Step 1: "discrete Ruelle spectrum with bands".
- Anisotropic Sobolev spaces $\mathcal{H}_{W}(M)$ (from a weight function $W$ on $T^{*} T_{1}^{*} \mathcal{N}$ adapted to the dynamics)
- We deduce discrete Ruelle spectrum of $X$ in $\mathcal{H}_{W}(M)$, with gaps if $\gamma_{1}^{+}<\gamma_{0}^{-}$.


## Ingredients of proof of thm 4



- We use microlocal analysis of $X$ using symplectic geometry,
- At the heart of the proof: symplectic spinors and emergence of quantum dynamics for the bundle of linear symplectic maps

$$
d\left(d \phi^{t}\right)^{*}: T T^{*} M
$$

## Steps of the proof



- Step 2: "spherical mean". Deduce asymptotics $t \gg 1$ of

$$
\mathcal{L}_{t}=\left(\pi^{\circ}\right)^{\dagger} e^{t X} \pi^{\circ}
$$

- Use that the vertical direction $V=\operatorname{Ker}(d \pi)$ is transverse to $E_{u}, E_{s}$ (Klingenberg 74). Hence averaging erases the wave-front set of Ruelle distributions.


## Steps of the proof



- Define a spectral (bounded) projector for the first band

$$
P_{ \pm}: \mathcal{H}_{w}(M) \rightarrow \operatorname{Im}\left(P_{ \pm}\right) \subset \mathcal{H}_{w}(M) .
$$

- From transversality, $V \perp\left(E_{u}, E_{s}\right)$, the pull back is Fredholm:

$$
B_{ \pm}:=P_{ \pm} \pi^{\circ}: L^{2}(\mathcal{N}) \rightarrow \operatorname{Im}\left(P_{ \pm}\right)
$$

## Steps of the proof



Then（roughly），

$$
\mathcal{L}_{t}=\left(\pi^{\circ}\right)^{\dagger} e^{t x} \pi^{\circ}=\mathcal{L}_{t}^{+}+\mathcal{L}_{t}^{-}+R_{t}+O\left(e^{\gamma_{\mathbf{1}}^{+} t}\right)
$$

with $B_{ \pm}:=P_{ \pm} \pi^{\circ}, A_{ \pm}:=B_{ \pm}^{-1} X B_{ \pm}, W_{ \pm}=\left(\pi^{\circ}\right)^{\dagger} B_{ \pm}$，

$$
\mathcal{L}_{t}^{ \pm}=\left(\pi^{\circ}\right)^{\dagger} e^{t X} P_{ \pm} \pi^{\circ}=\left(\pi^{\circ}\right)^{\dagger} B_{ \pm} B_{ \pm}^{-1} e^{t X} B_{ \pm}=W_{ \pm} e^{t A_{ \pm}}
$$

## Steps of the proof


－Rem：for $a \in C^{\infty}(M)$ ，we have $e^{t X} \mathcal{M}_{a} e^{-t X}=\mathcal{M}_{\text {aod }}$ ．Define $\mathrm{Op}(a):=B^{-1} \mathcal{M}_{a} B: L^{2}(\mathcal{N}) \rightarrow L^{2}(\mathcal{N})$ ．Then

$$
\begin{aligned}
e^{t A} \mathrm{Op}(a) e^{-t A} & =\left(B^{-1} e^{t X} B\right)\left(B^{-1} \mathcal{M}_{a} B\right)\left(B^{-1} e^{-t X} B\right) \\
& =\mathrm{Op}\left(a \circ \phi^{t}\right): \text { Exact Egorov }
\end{aligned}
$$

## Steps of the proof



## Steps of the proof



- $e^{t X}$ is a Fourier integral operator: in the limit of high frequencies,
- its action is well described on the cotangent bundle $T^{*} M$ with the induced flow $\tilde{\phi}^{t}:=\left(d \phi^{t}\right)^{*}, t \in \mathbb{R}$.


## Steps of the proof



- Introduce a Hörmander metric $g$ on $T^{*} M, \Omega$-compatible.
- define an $L^{2}$-isometric "wave-packet transform"

$$
\mathcal{T}: C^{\infty}(M ; F) \rightarrow \mathcal{S}\left(T^{*} M ; F\right)
$$

to use micro-local analysis on $T^{*} M$ for the pull back operator $e^{t X}$.

- The unit boxes for the metric $g$ correspond to the effective size of wave-packets and reflect the uncertainty principle.


## Steps of the proof



- The dynamics $\tilde{\phi}^{t}$ is a "scattering dynamics" on the trapped set $\Sigma=\mathbb{R}^{*} \mathscr{A} \subset T^{*} M$ (Liouville 1-form)
- $\Sigma$ is symplectic and normally hyperbolic.
- In the outer part of $\Sigma$, we put a weight $W$ such that $W\left(\tilde{\phi}^{t}(\rho)\right)$ decays with $t \rightarrow+\infty$. Hence the operator $e^{t X}$ has a negligible contribution in some anisotropic Sobolev space $\mathcal{H}_{w}$.
- So only the dynamics in a vicinity of $\Sigma$ plays a role for cu: purpose.


## Steps of the proof



- We consider a vicinity of $\Sigma$ of a given $g$-size $\omega^{\mu / 2}$, at $\rho=\omega \mathcal{A}(m) \in \Sigma$, with some $0<\mu<1$.
- The projection on $M$ has size $\asymp \omega^{-(1-\mu) / 2} \ll 1$ if $\omega \gg 1$.
- This will allow us to use the linearization of the dynamics $\tilde{\phi}^{t}$ as a local approximation.


## Steps of the proof



- At $\rho=\omega \mathcal{A}(m) \in \Sigma$, there is a micro-local decoupling (idem symplectic spinors)

$$
T_{\rho} T^{*} M=\underbrace{T_{\rho} \Sigma}_{\text {Tangent }} \underbrace{\stackrel{1}{\oplus}}_{\text {normal }}\left(N_{u}(\rho) \oplus N_{s}(\rho)\right) \quad \text { : invariant decomp. }
$$

## Steps of the proof



- The dynamics on the normal direction $N$ is hyperbolic and responsible for the emergence of polynomial functions along the stable direction $N_{s} \equiv E_{s}$ idem $V=-x \frac{d}{d x}, V x^{k}=(-k) x^{k}$ on $\mathbb{R}$.
- What remains for large time, is an effective Hilbert space of functions (or quantum waves) that live on the trapped set $\Sigma$, valued in the vector bundle $\mathcal{F}_{k}=\left|\operatorname{det} E_{s}\right|^{-1 / 2} \otimes \operatorname{Pol}_{k}\left(E_{s}\right)$.
- We deduce band structure of $X$ and other properties.

What is the meaning of going beyond the equilibrium description for the Ruelle spectrum?
Illustration: the ocean is quite, deep, flat, gentle $\equiv$ Equilibrium state, but with a better look, the behavior at the surface may be furious, wavy, and never stop to move. Are they neglictible?


Some very speculative question: can quantum dynamics in the physics world emerges from an underlying chaotic deterministic yet unknown system?

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Thank you for your attention!

- Local flow box coordinates on $M$ : $y=(x, z) \in \mathbb{R}^{n} \times \mathbb{R}$ s.t. $X=\frac{\partial}{\partial z}$ and dual coordinates $\eta=(\xi, \omega) \in \mathbb{R}^{n} \times \mathbb{R}$ on $T_{y}^{*} M$.

- Let $\frac{1}{2} \leq \alpha<1$ and $0<\delta \ll 1$. Wave packet function is:

- Metric $g$ on $T^{*} M$, compatible with $\Omega=d y \wedge d \eta$ :

$$
g_{y, \eta}=\left(\frac{d x}{\langle\eta\rangle^{-\alpha}}\right)^{2}+\left(\frac{d \xi}{\langle\eta\rangle^{\alpha}}\right)^{2}+\left(\frac{d z}{\delta}\right)^{2}+\left(\frac{d \omega}{\delta-1}\right)^{2}
$$

- Rem: $\alpha \geq \frac{1}{2} \Leftrightarrow g$ remains equivalent uniformly/ $\eta$ after change of flow box coordinates.
- Local flow box coordinates on $M: y=(x, z) \in \mathbb{R}^{n} \times \mathbb{R}$ s.t. $X=\frac{\partial}{\partial z}$ and dual coordinates $\eta=(\xi, \omega) \in \mathbb{R}^{n} \times \mathbb{R}$ on $T_{y}^{*} M$.

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$$
\varphi_{(y, \eta)}\left(y^{\prime}\right) \underset{|\eta| \gg 1}{\approx} a \exp \left(i \eta \cdot y^{\prime}-\left|\frac{x^{\prime}-x}{\langle\eta\rangle^{-\alpha}}\right|^{2}-\left|\frac{z^{\prime}-z}{\delta}\right|^{2}\right), \quad\left\|\varphi_{(y, \eta)}\right\|_{L^{2}(M)} \underset{|\eta| \gg 1}{\approx} 1
$$

- Metric $g$ on $T^{*} M$, compatible with $\Omega=d y \wedge d \eta$ :

- Rem: $\alpha \geq \frac{1}{2} \Leftrightarrow g$ remains equivalent uniformly/ $\eta$ after change of flow box


## (*) Wave packets

- Local flow box coordinates on $M: y=(x, z) \in \mathbb{R}^{n} \times \mathbb{R}$ s.t. $X=\frac{\partial}{\partial z}$ and dual coordinates $\eta=(\xi, \omega) \in \mathbb{R}^{n} \times \mathbb{R}$ on $T_{y}^{*} M$.

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(*) Wave packet transform (or FBI, wavelet, Bargmann, Anti-Wick... transform)
(Abuse of notations that forget charts and partitions of unity.)

$$
\mathcal{T}: \begin{cases}C^{\infty}(M) & \rightarrow \mathcal{S}\left(T^{*} M\right) \\ u\left(y^{\prime}\right) & \rightarrow(\mathcal{T} u)(y, \eta):=\left\langle\varphi_{y, \eta}, u\right\rangle_{L^{2}(M)}\end{cases}
$$

## Lemma (fundamental 1. "Resolution of identity")

$$
\mathcal{T}^{*} \circ \mathcal{T}=\mathrm{Id}
$$



Remarks: $\forall u \in C^{\infty}(M), \quad u\left(y^{\prime}\right)=\int_{T^{*} M} \varphi_{y, \eta}\left(y^{\prime}\right)\left\langle\varphi_{y, \eta}, u\right\rangle \frac{d y d \eta}{(2 \pi)^{n+1}}$. $\mathcal{T}: L^{2}(M) \rightarrow \operatorname{Im}(\mathcal{T}) \subset L^{2}\left(T^{*} M\right)$ is an isomorphism. Hence we "lift the analysis to $T^{*} M^{\prime \prime}$.
$\Pi=\mathcal{T} \circ \mathcal{T}^{*}: L^{2}\left(T^{*} M\right) \rightarrow \operatorname{Im}(\mathcal{T})$ is an orthogonal projector.

