



# Part 3

## Lecture 3/3: Recent algorithmic developments

The descent step

New algorithm setting

New tools

Complexity

Conclusion

# Plan

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# Problem statement

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How do we compute individual logarithms ?

- Relation collection + linear algebra have provided us the virtual logarithms of all factor base primes (and prime ideals).
- Let  $h$  represent a target element in  $\mathbb{F}_p$ . How do we find a special pair  $(a, b)$  such that:

$$a - bm = h \times \{\text{product of factor base primes}\};$$

$$a - b\alpha = \{\text{product factor base prime ideals}\};$$

If we can do this, we complete the computation.

- Alternative: be less ambitious.
  - Do not aim at factor base bound at first. It would be too much to ask. Accept intermediary-sized  $p$  and  $\mathfrak{p}$ .
  - Recurse.

# The descent

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Assumption: we have precomputed log's of factor base elements.  
The **descent** is the art of turning the DLP computation into a recursive problem.

## One descent step

**Input:** a challenge discrete logarithm to compute for an element of some given size.

**Output:** an identity which allows to derive the desired log from log's of smaller elements.

Historical notes:








- Coppersmith84 was the first algorithm featuring a descent.
- Many of the NFS-DL/FFS papers from 1993 to 2006 introduced new (not always correct) variations for the descent.

# Example

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Start with  $h =$   (some integer).

- Start with a relation involving  $h$ :

$$\begin{aligned} a - bm &= \text{} \times \text{} \times \cdots \times \text{} \times \text{,} \\ (a - b\alpha) &= \text{} \times \cdots \times \text{} \times \text{}.\end{aligned}$$


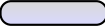





- Then find relations killing all the outstanding terms:

# Example





















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# Tools for the descent

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We may consider several "tools" for performing decent steps.

- Euclidean algorithm;
- Classical descent;
- Small characteristic: descent with Gröbner bases.
- Small characteristic: quasi-polynomial algorithm.

Present the first two in an NFS-DL context.



# Euclid algorithm (again)

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There's a trivial way to rewrite  $h$  as a product of smaller elements.  
Use Euclid's algorithm to write

$$h \equiv u/v \pmod{p}$$

with  $u \approx v \approx \sqrt{p}$ .

# Improving over the Euclid step

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Improved in [JL03]

- take two successive  $(u, v)$  as given by the Euclid algorithm:

$$a \equiv \frac{u_0}{v_0} \equiv \frac{u_1}{v_1} \pmod{p};$$

- for  $\lambda, \mu$  not too large, remark that:

$$a \equiv \frac{\lambda u_0 + \mu u_1}{\lambda v_0 + \mu v_1} \pmod{p}.$$

- Using [sieving](#), we can force some factors in both numerator and denominator.
- Significant win in practice. No effect asymptotically. Not enough anyway.

# How can we go this way ?

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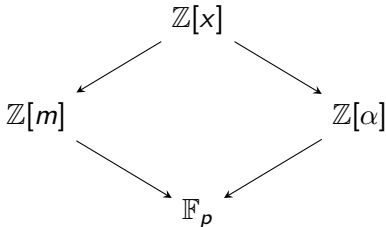
This method can only work for an **initial step**.

- Whatever we do, numerator and denominator have size  $L[1]$ .
- In time  $L[1/3]$ , all we can hope for is  $L[2/3]$ -smoothness.
- Checking for  $L[2/3]$ -smoothness is not trivial to do in complexity  $L[1/3]$  (need ECM !)

## Classical descent step

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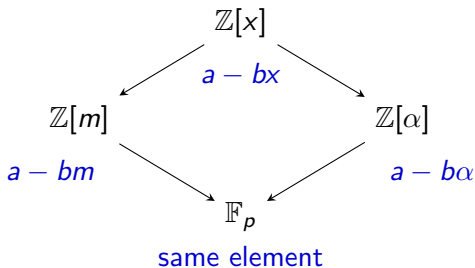
Consider  $Q$  a prime appearing in factorizations obtained so far.  
We may suppose  $Q \leq L[2/3]$ .



- We want to use **special- $q$ 's** in this context, so as to **force** the appearance of  $Q$  in  $a - bm$ .
- Recursion will need to consider  $Q$  on the  $\alpha$  side. This works the same way.

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# Complexity of classical descent steps

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Forcing a factor of size  $L_p[2/3]$  in  $a - bm$  or  $a - b\alpha$  has a significant cost.

- The value  $\text{Norm}(a - b\alpha)$  becomes large;
- It is not possible to ask for  $L[1/3]$  smoothness immediately.

It is possible (but not trivial) to have the following bounds:  $L[2/3]$ ,  $L[1/2]$ ,  $L[5/12]$ ,  $L[3/8]$ , converging to  $L[1/3]$ .

The bit size (or degree) is transformed by a **progression**:  $x \rightarrow \sqrt{\beta x}$ .

# How far can classical descent go ?

---

The classical descent is expensive.

- Converging to  $L[1/3]$  costs  $L[1/3]$ .
- One may converge to  $L[1/2]$  in time  $L[1/4]$ .
- One may converge to  $L[c]$  in time  $L[(1 - c)/2]$ .
- There is *no way* to go below  $L[1/3]$ .

Still, it's a tool which works well in practice at least down to some bound; and software is available.

## Wouldn't it be nice if ?

---

The classical descent is impaired by the fact that the bit-size (or degree) decreases in the form  $x \rightarrow \sqrt{\beta x}$ , with  $\beta$  which we cannot get rid of.

What if we could transform the degree with the rule  $x \rightarrow x/2$  instead ?



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- and \$10 000 just as prize money ?

This would give a **quasi-polynomial** algorithm.

# The new descent methods

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The **small characteristic case** has two novel descent methods

- First method using Gröbner bases [Jou13], which almost gives the result, except for the cost for each step. This yields  $L[1/4]$  **complexity** and works well in practice.
- Second method which does have the desired polynomial complexity at each step, yielding to a **quasi-polynomial complexity** in the end [BGJT14] (but practicality is less clear).

# Plan

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The descent step

New algorithm setting

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# Christmas break improvements

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Christmas break in 2012 was **very productive** (healthy food?).

- Stream of a dozen computational records between Dec. 25th, 2012 and Spring 2013, and we keep going.
- **New algorithms.**
- This work: the most effective (and simplest!) to date. Found in March 2013, preprint in June 2013; to be presented at Eurocrypt 2014 [BGJT14].

We will not cover all the recent algorithmic advances here [GGMZ13, Jou13, Jou13b, AMORH14, AMORH14b, GKZ14, GKZ14b].

# Problem sizes considered

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- $q \approx k$  does not pass basic type checks...
- To fit within this setting, a field must have a subfield of appropriate size.
- Not-too-adapted case is  $\mathbb{F}_{2^p}$  for prime  $p$ .



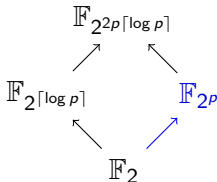
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- Not-too-adapted case is  $\mathbb{F}_{2^p}$  for prime  $p$ .

Yet, working in  $\mathbb{F}_{2^{2p \lceil \log p \rceil}}$  is viable.



- If one can solve DLP in (subgroups of)  $\mathbb{F}_{2^{2p \lceil \log p \rceil}}$ , then so holds for  $\mathbb{F}_{2^p}$ ;
- It may even yield a better complexity in the end.

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- To fit within this setting, a field must have a **subfield of appropriate size**.
- Not-too-adapted case is  $\mathbb{F}_{2^p}$  for prime  $p$ .
- A more adapted case is that of **small extensions of  $\mathbb{F}_{2^p}$** , as provided by pairing-based crypto.

Example: pairing over some curve defined over  $\mathbb{F}_{2^{239}}$  takes values in  $\mathbb{F}_{2^{239*4}}$ .

- $\mathbb{F}_{2^{239*4}}$  has  $\mathbb{F}_{2^{239}}$  as a subfield, but its index is too small.
- $\mathbb{F}_{2^{239*16}}$  is well amenable to computation by the new algorithm, The inherent "**\*4**" made part of the way for us.

# Comparison with older stuff

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NFS and FFS are hairy algorithms. In a nutshell, their workplan is:

- Collect multiplicative relations between elements of (some structures which map to)  $\mathbb{F}_q^\times$ .

Example:  $a_{31763} \times \cdots \times a_{98231} = 1$  in  $\mathbb{F}_q$ ,

whence  $\log(a_{31763}) + \cdots + \log(a_{98231}) = 0 \pmod{q-1}$ .

- Solve the resulting linear system.  
 $\Rightarrow$  we get log's of the elements involved.
- Relate any arbitrary element to the set of elements with known logs (descent).

NFS/FFS habit: rel. collec.  $\approx$  lin. alg..

For the new algorithm: the descent is hard, the rest is trivial.

# Setting

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Let  $K = \mathbb{F}_{q^{2k}}$ , with  $k \lesssim q$ .

The field  $\mathbb{F}_{q^2}$  is represented in any usual way.

Elements of  $K$  are **polynomials** over  $\mathbb{F}_{q^2}$   
(understood **modulo an irreducible polynomial** defining  $\mathbb{F}_{q^{2k}}$ ).

The definition polynomial is constructed as follows:

- Take  $h_0, h_1 \in \mathbb{F}_{q^2}[x]$ , of small degree (2 should be ok).
- Let  $\Phi(x) = h_1(x)x^q - h_0(x)$ .
- Until  $\Phi(x)$  has an irreducible factor  $f(x)$  of degree  $k$ .

## Heuristic 1

Taking  $h_0, h_1$  of degree bounded by a constant always suffices to find a suitable  $f$ .  
Let  $\delta$  be this constant.

# Plan

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The descent step

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# Key tool

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Statement of DLP in  $\mathbb{F}_{q^{2k}}$ :

- Input:  $P(x) \in \mathbb{F}_{q^{2k}}$ , of  $D < k$ .
- Ultimate goal:  $\log P(x)$ , modulo some  $\ell \mid q - 1$ .
- **Intermediary goal**, called **one descent step**:  
Rewrite  $P$  as a product of **smaller and smaller polynomials**.

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## Useful descent tools

- It is trivial to rewrite  $P(x) = A(x)/B(x)$  with  $\deg A, B < k/2$ .
- All the algorithmic background from NFS/FFS can do some steps of descent, **but only to a limited extent**.
- We present a **new descent procedure** which is conceptually simpler and asymptotically faster.



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- We present a **new descent procedure** which is conceptually simpler and asymptotically faster. In practice it's a nightmare.

# A starting relation

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What does  $h_1(x)x^q - h_0(x) \equiv 0 \pmod{f(x)}$  give us ?

$$x^q - x = \prod_{\alpha \in \mathbb{F}_q} (x - \alpha),$$
$$h_0(x) - xh_1(x) \equiv h_1(x) \prod_{\alpha \in \mathbb{F}_q} (x - \alpha).$$

LHS is very small, hence factors into small degree polynomials.

This is [one relation](#). Next step: turn it into a proper descent tool.

# Plan

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## New tools

Descent with Gröbner bases

Quasi-polynomial descent

## More relations

---

We like to view (momentarily) the equation as **bivariate**:

$$X^q Y - XY^q = \prod_{(\alpha:\beta) \in \mathbb{P}^1(\mathbb{F}_q)} (\beta X - \alpha Y)$$

where any appropriate set of representatives is chosen for  $\mathbb{P}^1(\mathbb{F}_q)$ .  
Replace  $(X : Y)$  by  $(ax + b : cx + d)$ , for  $a, b, c, d$  all in  $\mathbb{F}_{q^2}$ .

$$\begin{aligned} & (a^q h_0 + b^q h_1)(cx + d) - (ax + b)(c^q h_0 + d^q h_1) \\ & \equiv h_1 \prod_{(\alpha:\beta) \in \mathbb{P}^1(\mathbb{F}_q)} (\beta(ax + b) - \alpha(cx + d)). \end{aligned}$$

- Degrees of LHS and RHS are exactly as before.
- Only the **set of terms in the RHS** varies.

# More relations

---

When  $a, b, c, d$  run through  $\mathbb{F}_{q^2}$ , we get distinct relations.

- These relations link together the log's of elements of degree 1 in  $K$ .
- In polynomial time ( $O(q^5)$ ), we can obtain the log's of elements of degree 1.
- We may even do the same for degree 2 in  $O(q^7)$ , up to degree  $k$  in  $O(q^{2k+1})$ .
- We have sort of made trivial part of the relation collection, but will it blend for making a descent procedure ?

## A descent ?

---

Recall that we would like to **force** a factor  $Q$  in the relation. Say  $Q$  has degree  $D$ .

$$X^q Y - XY^q = \prod_{(\alpha:\beta) \in \mathbb{P}^1(\mathbb{F}_q)} (\beta X - \alpha Y)$$

Replace  $(X : Y)$  by  $(au(x) + bv(x) : cu(x) + dv(x))$ :

- Take  $u$  and  $v$  polynomials of prescribed degree.

$$\text{LHS} = u(x)^q v(x) - u(x)v(x)^q,$$

which can be rewritten using  $x^q \equiv \frac{h_0(x)}{h_1(x)}$ .

- Coefficients of the LHS are bilinear expressions in the sets of variables forming the coefficients of  $u$  and  $v$ .
- When is the LHS divisible by  $Q$  ? When some **polynomial system** has solutions !

# The polynomial system arising

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We write the following equation as a polynomial system:

$$u(x)^q v(x) - u(x)v(x)^q \equiv 0 \pmod{Q}$$

- Variables split into two sets:
  - Set1: coefficients of  $u$  (2 coeffs over  $\mathbb{F}_q$  for each).
  - Set2: coefficients of  $v$  (2 coeffs over  $\mathbb{F}_q$  for each).
- Equations: cancellation of the coefficients of LHS mod  $Q$ .
- Equations are **bilinear**. This is a **bilinear system**.

## Complexity of GB for bilinear systems

Spaenlehauer: complexity of bilinear GB computation depends on the min of the numbers of variables.

All in all, we see that we can achieve this descent step in complexity  $L[1/4]$ .

# Plan

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Descent with Gröbner bases

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## Some rewriting: part 1

---

We like to view (momentarily) the equation as **bivariate**:

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where any appropriate set of representatives is chosen for  $\mathbb{P}^1(\mathbb{F}_q)$ .  
Substitute polynomials  $P$  and 1 in place of  $X$  and  $Y$ .

- $P^q$  is something special for  $P \in \mathbb{F}_{q^2}[x]$ .
- For  $P(x) = \sum a_i x^i$ , let  $\tilde{P}(x) = \sum a_i^q x^i$  so that  $P(x)^q = \tilde{P}(x^q)$ .

$$\tilde{P}(x^q) - P(x) = \prod_{(\alpha:\beta) \in \mathbb{P}^1(\mathbb{F}_q)} (\beta P(x) - \alpha),$$

$$h_1^D \times \left( \tilde{P}(h_0/h_1) - P \right) \equiv h_1^D \prod_{(\alpha:\beta) \in \mathbb{P}^1(\mathbb{F}_q)} (\beta P - \alpha).$$

## Examining the terms

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$$h_1^D \times \left( \tilde{P}(h_0/h_1) - P \right) \equiv h_1^D \prod_{(\alpha:\beta) \in \mathbb{P}^1(\mathbb{F}_q)} (\beta P - \alpha).$$

The LHS has degree at most  $(\delta + 1)D$ .

- $\delta$  being a constant, there is constant chance, as  $P$  varies, that LHS factors in polynomials of degree, say,  $D/2$ .
- The RHS has many factors of degree equal to  $D$   
(and  $P$  itself is among them).

This is a neat relation, BUT:

- This is just **one** relation.
- Anyway, we have some chance to rewrite  $P$  as product of polynomials of the same size, so what ?

## Some rewriting: part 2

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$$X^q Y - X Y^q = \prod_{(\alpha:\beta) \in \mathbb{P}^1(\mathbb{F}_q)} (\beta X - \alpha Y).$$

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Now we substitute  $(aP + b)$  and  $(cP + d)$ , for  $a, b, c, d$  all in  $\mathbb{F}_{q^2}$ .

$$\begin{aligned} h_1^D \left( (a^q \tilde{P}(h_0/h_1) + b^q)(cP + d) - (aP + b)(c^q \tilde{P}(h_0/h_1) + d^q) \right) \\ \equiv h_1^D \prod_{(\alpha:\beta) \in \mathbb{P}^1(\mathbb{F}_q)} (\beta(aP + b) - \alpha(cP + d)). \end{aligned}$$

- Degrees of LHS and RHS are exactly as before.
- Only the **set of terms in the RHS** varies.

Let  $\mathcal{F}$  be the set of terms  $(P - \gamma)$  encountered (normalized multiplicatively).

# Understanding $\mathcal{F}$

---

For our easy relation, the set of terms was simply  $\{P - \alpha, \alpha \in \mathbb{F}_q\}$ .

Now for the more general case:

Let  $h$  be homography  $z \mapsto \frac{az+b}{cz+d}$ . Recall that  $a, b, c, d$  are in  $\mathbb{F}_{q^2}$ .

The terms in  $\text{RHS}_{a,b,c,d}$  are:

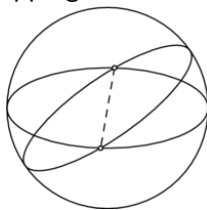
$$\{P - \text{"abscissa"} \text{ of } h^{-1} \cdot (\alpha : \beta), (\alpha : \beta) \in \mathbb{P}^1(\mathbb{F}_q)\}.$$

The possible RHS combinations are in bijective mapping with:

- All image sets of  $\mathbb{P}^1(\mathbb{F}_q)$  within  $\mathbb{P}^1(\mathbb{F}_{q^2})$  by homographies.
- The cosets of  $\text{PGL}(2, q^2)/\text{PGL}(2, q)$ .

This makes exactly  $q^3 + q$  relations;

$\#\mathcal{F} = q^2$  terms allowed to appear in the RHS.



# Outcome

---

Our formula for getting relations:

One choice of  $a, b, c, d$  (one coset in  $\text{PGL}(2, q^2)/\text{PGL}(2, q)$ )

$\leftrightarrow$

Some subset of the possible terms  $P - \gamma$  in the RHS.

We have  $q^3 + q$  potential relations, and  $q^2 + 1$  terms may appear in the RHS (each RHS has  $q + 1$  terms).

However only **some** of the LHS obtained are smooth.

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## Heuristic 2

Assume that the LHS behave like random as  $a, b, c, d$  vary.

We get  $\Theta(q^3 + q)$  relations which are  $D/2$ -smooth.



# Letting only $P$ show up

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Keep only **smooth relations**.

$$\prod (\text{polynomials smaller than } P) \equiv \prod (\text{some of the } P - \gamma), \\ \mathcal{L}_k = \mathcal{R}_k.$$

Our starting polynomial  $P$  sometimes appears in  $\mathcal{R}_k$ .

We now do a **multiplicative combination** of all relations.

$$\prod_k \mathcal{L}_k^{e_k} \equiv \prod_k \mathcal{R}_k^{e_k}.$$

- We would like to have  $\prod_k \mathcal{R}_k^{e_k} \equiv P$ .
- This is a linear system. We need the vector  $(1, \overbrace{0, \dots, 0}^{q^2})$  be in the image (assuming some adequate indexing).

# Can we solve the linear system ?

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For  $\prod_k \mathcal{R}_k^{e_k} \equiv P$  to be possible, we need:

## Heuristic 3

The linear system of size  $\Theta(q^3 + q) \times (q^2 + 1)$  has full rank.

Note: if we hadn't restricted our view to smooth relations:

- We would have a system of size  $(q^3 + q) \times (q^2 + 1)$ .
- Combinatorial design theory recognizes an **inversive plane** there. This proves that the (big) matrix has full rank.
- Yet, saying something about our few selected rows is difficult.

# Plan

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# Complexity of one descent step

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Workplan:

- Traverse representatives  $a, b, c, d$  of  $\text{PGL}(2, q^2)/\text{PGL}(2, q)$ .
  - Compute LHS. Write down RHS.
  - Test LHS for smoothness.
- Solve the linear system of size  $\Theta(q^3 + q) \times (q^2 + 1)$ , with  $q + 1$  non-zero entries per row.

Cost:  $O(q^5)$  using sparse linear algebra ( $O(q^{2w})$  dense).

Outcome

$P$  as a product of  $q^2 D$  smaller polynomials.

# The descent tree

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Each node of the descent tree corresponds to one application of the new descent tool, hence its arity is in  $q^2 D$ .

level	deg $P_i$	breadth of tree
0	$k$	1
1	$k/2$	$q^2 k$
2	$k/4$	$q^2 k \cdot q^2 \frac{k}{2}$
3	$k/8$	$q^2 k \cdot q^2 \frac{k}{2} \cdot q^2 \frac{k}{4}$
$\vdots$	$\vdots$	$\vdots$
$\log k$	1	$\leq q^{2 \log k} k^{\log k}$

**Total number of nodes** =  $q^{O(\log k)}$ .

Each node entails a cost which is polynomial in  $q$ .

# Bottom of the tree

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At level  $\log k$ , we are below some constant degree (say 2).

Restating the descent tool shows that we obtain an **overdetermined system of equations** relating all logarithms of these small polynomials.

- Follow the descent tree so as to reduce the original DLP to many of degree 2.
- Re-do the descent tool "from 2 to 2", use the linear system to find all logs of degree 2.
- Back-substitute.

## Overall complexity

$q^{O(\log k)}$  nodes,  $O(q^5)$  per node  $\rightsquigarrow$  Complexity  $q^{O(\log k)}$ .

# Main result

## Main result

Let  $K$  be a finite field of the form  $\mathbb{F}_{q^{2k}}$ . A discrete logarithm in  $K$  can be computed in heuristic time

$$\max(q, k)^{O(\log k)}.$$

- We covered the case  $k \approx q$ .
- For  $q < k$ , we compose the field with  $\mathbb{F}_{q^c}$  with  $c = \left\lceil \frac{\log k}{\log q} \right\rceil$   
(hence the max in the complexity formula).

Example for  $K = \mathbb{F}_{2^p}$ : • We take  $q \approx k \approx p$ .

- Cost is  $p^{O(\log p)} = \exp(O((\log p)^2))$ .
- This is **quasi-polynomial**.

# Bad days for pairings

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The fields which are **most endangered** by this attack are the fields where it applies almost as-is.

**Pairings** over **small characteristic fields** provide a natural set-up.

- Let  $\mathbb{F}_q = \mathbb{F}_{2^n}$ . We have  $E[r] \times E[r] \rightarrow \mu_r \subset K = \mathbb{F}_{q^k}$ , where  $k$  is the **embedding degree**.
- DLP security in  $E$  and  $K$  should match, e.g.  $\#E \approx 2^{160}$  and  $K$  large enough. Hence  $k \geq 10$  desired.
- Disturbing belief that DLP security in  $K$  is not affected by the fact the  $[K : \mathbb{F}_2] = nk$  is composite. **Wrong**.
- For most practical cases, it appears that DLP security in  $K = \mathbb{F}_{2^{kn}}$  here is hardly better than in  $\mathbb{F}_{2^{4n}}$ , which cancels the "security improvement" by the embedding degree.

Small characteristic pairings are practically dead.



# Plan

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The descent step

New algorithm setting

New tools

Complexity

Conclusion

# Conclusion

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- New algorithm
- Quasi-polynomial complexity.
  - Relies on heuristic, experimentally checked.
  - The algorithm as a whole has not been used yet.

**Cross-over?** Right now, practicality is unclear.

- The arity  $O(q^2D)$  at each step is large !
- Fortunately some other descent tools are compatible, so that we do not have to pay arity  $O(q^2D)$  always.
- See e.g. other works like [AMORH14, GKZ14].
- Largest computed dlogs this in January 2014:  $\mathbb{F}_{2^{4 \cdot 1223}}$ ,  $\mathbb{F}_{2^{12 \cdot 367}}$  [GKZ14], and  $\mathbb{F}_{2^{9234=2 \cdot 3^5 \cdot 19}}$  [GKZ14b].

Take home:

- RIP small characteristic pairings.
- No, this does **not** affect RSA, nor large characteristic DLP.





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