A Campedelli surface with torsiongroup Z/2

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ABSTRACT

A compact complex surface of general type is constructed, having invariants $p_g = q = 0$, $c_1^2 = 1$, $Tor(Pic) = \mathbb{Z}/2$.

INTRODUCTION

In this note we construct a surface Y of general type having invariants $p_g(Y) = q(Y) = 0$, $c_1^2(Y) = 1$ and with Tor(Pic Y) $\cong \mathbb{Z}/2$. Here, as usual, $p_g(Y) = h^{0,2}(Y)$, $q(Y) = h^{0,1}(Y)$, and $c_1^2(Y)$ is the Chern number, which can be identified with the self intersection number of the canonical divisor K_Y on Y.

The notion "of general type" means that the Kodaira dimension is two (cf. [7], pp. 125 and 250; cf. [8], p. 500-02). References for facts on surfaces with $p_g(Y) = q(Y) = 0$ can be found in [3], [7] and [8]. In particular, if $c_1^2(Y) = 1$, $|\text{Tor}(\text{Pic }Y)| \le 5$ and M. Reid [6] has given a complete description of the cases where Y has torsion $\mathbb{Z}/5$, $\mathbb{Z}/4$ and $\mathbb{Z}/3$. The group $\mathbb{Z}/2 \oplus \mathbb{Z}/2$ does not occur as torsion group and we know of no example without torsion.*

We notice that in case a plane curve of degree ten could be constructed having certain singularities and decomposing into curves of certain degrees our construction would provide a surface of general type with $p_g(Y) = q(Y) = 0$, $c_1^2(Y) = 1$ and without torsion. Related constructions can be found in [2]. This explains why we call the resulting surfaces "Campedelli surfaces".

^{* (}Added in proof). In the meantime M. Reid informed us of a construction of such a surface by Rebecca Barlow.

Throughout this note we shall use $'\sim'$ to mean linear equivalence of divisors and |...| will be used to denote a complete linear system of divisors. We work over the complex numbers. All curves considered will consist of components of multiplicity one (i.e. we only consider reduced curves).

1. A PLANE CURVE OF DEGREE 10

We construct a (reducible) plane curve C of degree ten consisting of two cubics C_1, C_2 and two conics Q_1 and Q_2 . The curves C_1, Q_1 and Q_2 will be smooth, while C_2 has a unique node at P; the equations for these curves are given at the end of this section. Here an affine coordinate system (X, Y) is chosen and $\alpha \in \mathbb{C}$, $\alpha \neq 0$. At P the four tangents to the branches of C are distinct. The points P_2, \ldots, P_5 are triple points resolving into ordinary triple points after one σ -process, while P_1 is a triple point resolving into a node and a smooth branch after two times blowing up.

We take for C_1 the curve with equation

$$Y^2 + X(X-1)(X-\mu) = 0$$
, shortly $C_1 = 0$.

In order that C_1 be smooth we must have:

$$(1.1)$$
 $\mu \neq 0,1.$

The curve C_1 passes through P_1 . Intersect C_1 with the line $X - \alpha Y = 0$. We obtain (0,0), P_2 and P_3 . Observe that $\alpha^2 C_1 + (X-1)(X-\alpha Y)^2$ is divisible by X, thus of the form XQ_1 , for some quadratic form Q_1 . The corresponding conic passes through P_1 , P_2 and P_3 by construction; in particular it cannot be reducible. Also, by construction $C_1 \cdot Q_1 = 2(P_1 + P_2 + P_3)$.

Replacing Y by -Y we obtain a conic Q_2 through P_1, P_4 and P_5 . For the equations of Q_1 and Q_2 we find:

$$Q_1 = \{\alpha^2 Y^2 + (X-1)[(\alpha^2+1)X - \alpha^2 \mu - 2\alpha Y] = 0\}$$

and

$$Q_2 = \{\alpha^2 Y^2 + (X-1)[(\alpha^2+1)X - \alpha^2\mu + 2\alpha Y] = 0\}.$$

From these equations it follows that Q_1 and Q_2 intersect only on the X-axis, i.e. in P_1 and $P:=(\alpha^2\mu/(1+\alpha^2), 0)$. At P the tangent to Q_1 is neither horizontal, nor vertical, so, by symmetry, it is different from the tangent to Q_2 at P. By Bezout, $i(Q_1, Q_2; P_1) = 3$.

We next try to construct C_2 through $P_2, ..., P_5$ such that

- (i) $i(Q_1, C_2; P_j) = 2, j = 2, 3$ and $i(Q_2, C_2; P_j) = 2$ for j = 4, 5,
- (ii) C_2 has a node at P.

Observe that, since $(C_2, Q_1) = 6$, these demands automatically imply that the four tangents to the branches in P_6 are distinct. Together with the above remarks this finishes the construction of C.

Notice that setting $Y^2 = X^2/\alpha$ in $C_1 = 0$ gives the vertical lines X = 0, M = 0 and M' = 0. This means that

(1.2)
$$\alpha^2 M M' = \alpha^2 X^2 + (1 - \alpha^2 \mu - \alpha^2) X + \alpha^2 \mu$$

and we take for C_2 the curve defined by $(\beta - \alpha^4 X)C_1 + (\alpha^2 MM')^2 = 0$, which in fact is a cubic, since the two terms involving X^4 cancel. Clearly, letting R = (0:1:0), we have $C_1 \cdot C_2 = 2(P_2 + P_3 + P_4 + P_5) + R$. So for all choices (α, β, μ) the cubic C_2 satisfies (i). We show that for some choice of these parameters also (ii) can be satisfied. E.g. take $\alpha = 1$ and substitute in (1.2): $(\beta - X)C_1 + (X^2 - \mu X + \mu)^2 = 0$ defines C_2 . We let f(X) be the polynomial resulting from the left hand side of this equation after substituting Y = 0. One checks:

(ii)' $P = (\mu/2, 0) \in C_2 \Leftrightarrow f(\mu/2) = 0$,

(ii)" C_2 has a node at P if $f'(\mu/2) = 0$, but $f''(\mu/2) \neq 0$.

From (ii)' we find that $\beta = \mu/2 + \frac{1}{2}(\mu - 4)^2/(\mu - 2)$ ($\mu \neq 2$), while $f'(\mu/2) = 0$ if $\beta = \mu - 1$, so $\mu = 3$ and $\beta = 2$. Since f''(3/2) = -25/4 we see that (ii)" is satisfied. Since also (1.1) is satisfied the curve C_1 is smooth for $\mu = 3$ and our construction is completed.

Equations for the curves constructed:

$$Q_1: Y^2 + (X-1)(2X-3-2Y) = 0,$$

$$Q_2: Y^2 + (X-1)(2X-3+2Y) = 0,$$

$$C_1: Y^2 + X(X-1)(X-3) = 0,$$

$$C_2: (2-X)C_1 + (X^2-3X+3)^2 = 0$$

(for the values $\alpha = 1$, $\beta = 2$, $\mu = 3$).

The singular points of $C = Q_1Q_2C_1C_2$ are:

point	component of Γ through this point	type of singularity	
$P_1 = (1,0)$	Q_1,Q_2,C_1	(3; 3; 2,1; 1, 1)*	Q_1 Q_2 C_1 Q_2 Q_1
$ \begin{array}{c} P_{2} = \\ P_{3} = \\ P_{4} = \\ P_{5} = \end{array} \left(\frac{3 \pm \sqrt{-3}}{2}, \frac{3 \pm \sqrt{-3}}{2}\right) $	Q_1, C_1, C_2 Q_1, C_1, C_2 Q_2, C_1, C_2 Q_2, C_1, C_2	(3; 3; 1, 1, 1)	X
P = (3/2,0)	Q_1,Q_2,C_2	(4; 1, 1, 1, 1)	
R = (0:1:0)	C_1, C_2	(2; 1, 1)	\times

^{*} Cf. § 2 for an explanation of this notation.

2. THE SURFACE $Y(\Gamma)$ AND ITS INVARIANTS

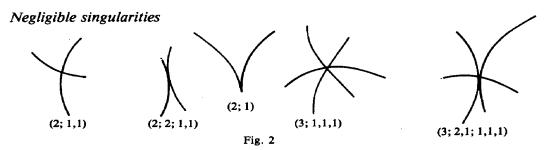
Let there be given a plane curve Γ of even degree. We recall the construction of obtaining a smooth model of the double covering of \mathbb{P}^2 branched along Γ (cf. [4]).

A curve C on a surface X is an effective reduced divisor on X. Their singularities we describe by means of repeated blowings up as follows. Let $P \in C$, put $C_0 = C$, $X_0 = X$ and $Y_{0,1} = P$ and inductively define $Y_{i,j} \in C_i \subset X_i$ as follows:

$$\pi_{i+1}: X_{i+1} \to X_i, i \ge 0$$

is the blowing up of X_i at all points $P_{i,j}$ singular on C_i and C_{i+1} is the strict transform of C_i . Finally we write $P_{i+1,j}$ for the singular points of the reduced curve $\pi_{i+1}^{-1}(C_i)$ lying above P. We write $r_{i,j}$ for the multiplicities of $P_{i,j}$ on C_i and we end the process if all $r_{i,j}$ are 1. (This happens notably after finitely many steps). In this way the singularity $P \in C$ can be characterized by the sequence $(r_{0,1}; r_{1,1}...; r_{2,1}...; 1, ..., 1)$. For example

We write (3; 3; ...) or (4; ...) etc. if the remaining symbols are 2 or 1 (e.g. (3; 3; 2, 1; 1, 1) is a special case). We say that $P \in C$ is a negligible singularity (cf. [5], p. 39, Remark 4) if it is of the form (...) or (3; ...). Cf. fig. 2 for examples.



Let $\Gamma = \Gamma_0$ be a curve on $X_0 = \mathbb{P}^2$. We define $\Gamma_i \subset X_i$ inductively as follows. Let $\{P_{0,i}\}$ be the set of singular points on Γ_0 and let

$$\pi_{i+1}: X_{i+1} \rightarrow X_i$$

be the blowing up at the singular points $P_{i,j}$ of X_i and denoting $E_{i,j} = \pi_{i+1}^{-1}$, we define

$$\Gamma_{i+1} = \pi'_{i+1}(\Gamma_i) + \sum s_{i,j} E_{i,j}$$

where $s_{i,j} = 0$, resp. 1 if mult $(P_{i,j}; \Gamma_i)$ is even, resp. odd (here π'_{i+1} denotes "strict" transform).

Note that $\Gamma_i \sim 2B_i$ on X_i implies $\Gamma_{i+1} \sim 2B_{i+1}$ for some divisor B_{i+1} on X_{i+1} , so starting with a plane curve Γ of even degree we end up with a smooth curve Γ_n on X_n such that for some divisor B_n we have $\Gamma_n \sim 2B_n$ and we may form the double covering

$$\varrho: Z \to X_n$$

branched along Γ_n with smooth Z (one takes Z to be the pull back to the total space of the line bundle $[B_n]$ of the section of $[B_n]^{\otimes 2}$ vanishing simply on Γ_n). Blowing down the exceptional curves of Z successively we obtain the minimal surface $Y = Y(\Gamma)$ which we call "Campedelli surface associated to Γ ".

PROPOSITION (2.1). Suppose $\deg(\Gamma) = 10$ and suppose Γ has singularities P_i of type (3; 3; ...) i = 1, ..., 5, one singularity P of type (4; ...) and further at most negligible singularities. Suppose moreover that $P_1, ..., P_5, P$ do not ly on a conic. Then $Y = Y(\Gamma)$ is of general type and $P_g(Y) = q(Y) = 0, c_1^2(Y) = 1$.

PROOF. We first compute K_Z . We denote by $F_i \subset X_2$ the exceptional divisor lying over $P_i \in \Gamma \subset X_0 = \mathbb{P}^2$ obtained by the blowing up $X_2 \to X_1$, i.e. if $l_i \in \Gamma_1$ is the singular point of Γ_1 with $\pi_1(l_i) = P_i$, then $F_i = \pi_2^{-1}(l_i)$ (i = 1, ..., 5). Furthermore we write $F = \pi_1^{-1}(P) \subset X_1$. We use the same symbols E_i and F for their inverse images on X_n . As in [5], p. 36 we find

(2.1)
$$K_Z \sim \varrho^*(2H - \sum_{i=1}^5 F_i - F),$$

where H stands for the total transform of a line on \mathbb{P}^2 . Since $F_i^2 = F^2 = -1$ we find $K_Z^2 = 2(4-6) = -4$. The curves $\varrho^{-1}(X_n \to X_1)'(\pi_1^{-1}(P_i)) = : A_i \subset Z$ are exceptional on Z and blowing them down we obtain a surface Y' with $c_1^2(Y') = +1$. (See [5], pp. 36/37).

We claim:

(2.2)
$$P_2(Z) = P_2(Y') \ge 2$$
 and Y' is minimal.

From this, together with Kodaira's classification theory of surfaces (see e.g. [7], p. 251, Table 20.9) it follows that $Y' = Y(\Gamma)$ is of general type. It then only remains to prove that $p_g(Y) = 0$, since then automatically q(Y) = 0. This together with the inequality $P_2(Y') \ge 2$ follows from a familiar:

LEMMA (2.3). If G is a line bundle on X_n , then $H^0(Z, \varrho *G) \cong H^0(X_n, G) \oplus H^0(X_n, G - B_n)$ is the splitting corresponding to σ -invariant and anti-invariant sections ($\sigma: Z \to Z$ is the involution defining ϱ).

Indeed, applying this lemma firstly to $G := 2H - \sum F_i - F$ we find that $h^0(X_n, G) = 0 = h^0(X_n, G - B_n)$, since |G| corresponds to conics through $P_1, ..., P_5$ and P. Secondly we apply it to $4H - 2\sum F_i - F$ and we find that

$$|2K_Z| = \varrho * |4H - 2\sum F_i - 2F|$$
.

Let |D'| be the system of quartics $D' \subset \mathbb{P}^2$ having a double point at P and touching P_i at L_i , the tangent cone of $\Gamma_0 \subset \mathbb{P}^2$ at P_i (i = 1, ..., 5). We verify that

$$(X_n \to X_0)'|D'| + \sum_{i=1}^5 E_i = |4H - 2\sum F_i - 2F|,$$

where $E_i = (X_n \to X_1)'(\pi_1^{-1}(P_i))$. So $|2K_Z| = \varrho^*(|D|) + 2\sum_{i=1}^5 A_i$ where

$$D = (X_n \to X_0)'D'$$

and $A_i := \varrho^{-1}(E_i)$ as before.

The system $\varrho^*|D|$ might contain fixed components, namely possibly in case P_i or P is more complicated than (3; 3; 1, 1, 1) resp. (4; 1, 1, 1, 1). In this case the fixed components do not cut A_i and all have selfintersection ≤ -2 , so they do not become exceptional on Y' and Y' must be minimal, because each exceptional curve on Y' has to appear as a fixed component of $|2K_{Y'}|$, the image of $\varrho^*(|D|)$. So $c_1^2(Y') = c_1^2(Y) = 1$. Since $P_2(Y') = \dim |D| + 1 \geq 2$, the assertion (2.2) is proven (in fact we have equality) and the proposition follows.

3. THE TORSION GROUP

We start with a general and more or less well known lemma:

LEMMA (3.1). Let U be a (possible non-complete) smooth algebraic variety and let σ : $U \rightarrow U$ be an involution without fixed points. Denote by V the quotient-variety and let ϱ : $U \rightarrow V$ be the canonical projection. There is an exact sequence

$$0 \rightarrow \mathbb{Z}/2 \rightarrow \text{Pic } V \xrightarrow{\varrho^*} (\text{Pic } U)^{\sigma} \rightarrow 0.$$

PROOF. The first direct image sheaf $\varrho_*(\ell_U)$ is a locally free rank 2 sheaf with σ -action. The splitting in ± 1 eigensheaves represents this as a direct sum

$$\varrho_* \mathscr{O}_U = \mathscr{O}_V \oplus \mathscr{L}, \ \mathscr{L}^{\otimes 2} \cong \mathscr{O}_V, \ \varrho^* \mathscr{L} \cong \mathscr{O}_U.$$

Thus \mathcal{L} generates Ker ϱ^* , and

$$\operatorname{Ker} \rho^* \cong \mathbb{Z}/2$$
.

Secondly we must prove that the image of ϱ^* is (Pic U) σ . Of course, if

$$\mathscr{E} \cong \rho^* \mathscr{F}$$
, then $\sigma^* \mathscr{E} \cong \mathscr{E}$,

thus Im $\varrho^* \subset (\text{Pic } U)^\sigma$. For the converse it is more convenient to view "Pic" as the group of classes of Weil-divisors with respect to linear equivalence, and to write the group-operation additively. So, suppose that

$$\sigma *D \sim D$$
.

then we have that

$$\sigma^*D - D = \operatorname{div}(f)$$
, for $f \in K_U^*$

(this is the ring of invertible rational functions on U), and

$$\sigma^*(\sigma^*D - D) = D - \sigma^*D = \text{div } (f^{-1}),$$

hence div (σ^*f) = div (f^{-1}) ; after multiplying f by a constant we may assume that $\sigma^*f = f^{-1}$. This means that f is a $\mathbb{Z}/2$ -cocycle with values in K_U^* , but since

$$H^1(\mathbb{Z}/2, K_{tt}^*) = 0$$
 (Hilbert's Theorem 90)

we see that f is a 1-coboundary i.e. $f = \sigma^* g \cdot g^{-1}$ for some $g \in K_U^*$. Replacing D by $D + \operatorname{div}(g)$ we see that we actually may assume that $\sigma^* D = D$. Writing $D = D_1 - D_2$ with D_1 and D_2 effective, without common components, it is not hard to see that $\sigma^* D_i = D_i$ (i = 1, 2). But an effective divisor, which is σ -invariant of course is the ϱ^* -image of some divisor on V. So $D = \varrho^* C$ for some $C \in \operatorname{Pic} V$. Thus ϱ^* maps $\operatorname{Pic} V$ onto $(\operatorname{Pic} U)^{\sigma}$, and the lemma is proved.

PROPOSITION (3.2) (cf. [1], Section 3, Lemma 2). Suppose $\varrho: Z \to X$ is a double covering of a surface X with Tors(Pic X) = 0. Let I be the set of components of the branch locus Γ , say

$$I = \{\Gamma_1, ..., \Gamma_r\},$$

and define

$$\alpha: \mathbb{Z}^I \to \operatorname{Pic}(X), \alpha_2: \mathbb{Z}^I/2 \cdot \mathbb{Z}^I \to \operatorname{Pic}(X/2 \cdot \operatorname{Pic}(X))$$

by associating to $\sum n_i \Gamma_i$ its class in Pic X. If $\tau = \alpha(\sum_{i=1}^r \Gamma_i)$, we have

$$\operatorname{Pic}_2 Z \cong \operatorname{Ker}(\alpha_2)/(\mathbb{Z}/2) \cdot \tau$$
,

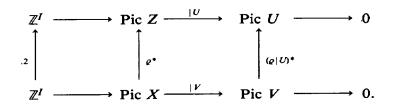
where the left-hand side is the group of 2-torsion elements in Pic Z.

PROOF. We define a map

$$\mu$$
: Ker(α_2) \rightarrow Pic₂ Z

as follows. Let $J \subset I$, and suppose $\sum_{i \in J} \Gamma_i \sim 2A_J$ for some divisor A_J on X. We write $\tilde{\Gamma}_i := \varrho^{-1}(\Gamma_i)$; note that $\sum_{i \in J} \tilde{\Gamma}_i - \varrho^* A_J$ is in Pic₂ Z since $2\tilde{\Gamma}_i \sim \varrho^* \Gamma_i$; this defines μ .

Let $V:=X-\Gamma$ and $U:=Z-\varrho^{-1}(\Gamma)$. We obtain the (self explanatory) commutative diagram with exact rows



We claim that Ker $\mu = (\mathbb{Z}/2) \cdot \tau$. Since there exists a double cover branched in $\sum \Gamma_i$, there exists a divisor F on X with

$$\sum_{i \in I} \Gamma_i \sim 2F$$
;

then $\sum \tilde{\Gamma}_i \sim \varrho^* F$; hence $\mu(\tau) = 0$. Further, if

$$\sum_{i\in I} \tilde{\Gamma}_i \sim \varrho *G, \text{ then } (\varrho *G) \mid U \sim 0,$$

hence $G \mid V \in \text{Ker}((\varrho \mid U)^*)$. By (3.1) this kernel is generated by $F \mid V$, thus

$$\sum_{i \in J} \tilde{\Gamma}_i \sim \varrho^* F \sim \sum_{i \in J} \tilde{\Gamma}_i,$$

hence J = I; this proves Ker $\mu = (\mathbb{Z}/2) \cdot \tau$.

Finally we have to prove that μ is surjective. Let $D \in \text{Pic}_2 Z$. The direct image divisor $\varrho.D$ is torsion in Pic X, hence $\varrho.D \sim 0$, thus

$$\rho *(\rho . D) = D + \sigma *D$$

is linearly equivalent to zero, hence $D \sim \sigma^*D$. This also holds for the restriction $D \mid U$; we apply (3.1) which shows that there exists a divisor A on X with

$$D|U\sim \varrho^*A$$
, i.e. $D\sim \varrho^*A-\sum_{i\in J}\tilde{\Gamma}_i$.

Then

$$0 \sim 2D \sim \varrho * (2A - \sum_{i \in J} \Gamma_i);$$

because $\varrho \cdot \varrho *C = 2C$ for any divisor C on X, we obtain

$$2(2A - \sum_{i \in J} \Gamma_i) \sim 0,$$

and because Pic X has no torsion, we see that $\sum_{i \in J} \Gamma_i$ is 2-divisible on X. We conclude that μ is onto, and the proposition is proved.

COROLLARY (3.3). Let $Y = Y(\Gamma)$ be the Campedelli surface constructed in Section 2 with the help of the curve $\Gamma \subset \mathbb{P}^2$ constructed in Section 1. Then

Tor(Pic
$$Y$$
) $\cong \mathbb{Z}/2$.

PROOF. Notice that torsion in the Picard group remains unchanged under blowing up; hence it suffices to study $Tor(Pic\ Z)$ where $\varrho\colon Z\to X_n$ is the covering in the Campedelli construction given by Γ . We notice that the elements $\tilde{Q}_1+\tilde{Q}_2+\sum_{i=1}^5 E_i$ and $\tilde{C}_1+\tilde{C}_2+E_1$ both give a non-zero contribution to $Tor(Pic\ Z)$, so (helas) this group is not trivial. By (2.1) we know that Y is of general type, and we know some of its numerical invariants. In the terminology of [4], page 101 therefore it is a "numerical Godeaux surface". By the results of Miyaoka therefore, cf. [4], page 106, Theorem 2' and Remark, the corollary is proved if we can prove that

 $|3K_Y|$ is without base points.

This we prove as follows. We have written $G := 6H - \sum_{i=1}^{5} 3F_i - 3F_i$, so that $3K_Z \sim \varrho *G$. Since $B \sim 5H - \sum_{i=1}^{5} (E_i + 3F_i) - 2F - F_7$ (where F_7 comes from (0:1:0)) we have that $G-B\sim H+\sum_{i=1}^5 E_i+G-F$ and $|3K_Z|$ contains the "antiinvariant divisors" consisting of the branch-locus and the inverse image of a line through P. (Use (2.3).) So the variable part of $|3K_Z|$ can have base points only on the branch locus. Now the "invariant" divisors in $|3K_Z| = |\varrho *G|$ come from sextics having a triple point at P and double points at $P_1, ..., P_5$ with one branch touching the branch locus. This is a bundle of sextics having two base points. Letting $\{Q_3 = 0\}$ be the unique conic through $P, P_2, ..., P_5$ one of those sextics is $Q_1Q_2Q_3$. Another one is found by seeking the unique conic Q_4 through P_2, P_3, P_4, P_5 and P_1 which must touch the branch locus at P_1 . The sextic $[Q_4C_2 \cdot Y = 0]$ belongs to the system. One verifies that there is a 2-fold base point on X, namely at the intersection of F_1 and the strict transform of Q_3 . Since this point does not lie on the branch locus, $\varrho^*|G|$ only has two base points outside the branch locus and $|\varrho *G|$ has no base points. This ends the proof of Corollary (3.3).

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