

# **Scattering theory for graphs isomorphic to a homogeneous tree at infinity**

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# Summary

- The setup: graphs asymptotic to a homogeneous tree
- The case of a homogeneous tree  $\mathbb{T}_q$ 
  - the spectral decomposition of the adjacency matrix
  - the Fourier-Helgason transform
- A scattering problem for a Schrödinger operator with a compactly supported non local potential
  - Existence and unicity of the generalised eigenfunctions
  - The deformed Fourier-Helgason transform
  - Correlation of scattered plane waves
  - The S-matrix and the asymptotics of the sc. pl. waves
  - Computation of the transmission coefficients
- The spectral theory for a graph asymptotic to  $\mathbb{T}_q$

**Joint work with Y. Colin de Verdière, Grenoble**

## The setup

- $\Gamma = (V_\Gamma, E_\Gamma)$  : a connected graph
  - $V_\Gamma$  : the set of **vertices**,  $E_\Gamma$  : the set of **edges**
  - We write  $x \sim y$  for  $\{x, y\} \in E_\Gamma$ .
- $q \geq 2$  : fixed integer.  $\Gamma$  is **asymptotic to a hom. tree of degree  $q + 1$**   
 $\Leftrightarrow \exists$  a finite sub-graph  $\Gamma_0$  of  $\Gamma$  s.t.
  - $\Gamma' := \Gamma \setminus \Gamma_0$  is a disjoint union of a finite number of trees  $T_l$ ,  $l = 1, \dots, L$ , rooted at a vertex  $x_l$  linked to  $\Gamma_0$
  - all vertices of  $T_l$  different from  $x_l$  are of degree  $q + 1$ .
  - The trees  $T_l$ ,  $l = 1, \dots, L$ , are called the **ends** of  $\Gamma$ .

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  - The trees  $T_l$ ,  $l = 1, \dots, L$ , are called the **ends** of  $\Gamma$ .
- $\partial\Gamma_0 =$  the **boundary** of  $\Gamma_0$  : the set of edges of  $\Gamma$  connecting a vertex of  $\Gamma_0$  to a vertex of  $\Gamma'$ , (one of the  $x_l$ 's).  
 $|x|_{\Gamma_0}$  : the distance of  $x \in V_{\Gamma'}$  to  $\Gamma_0$ .

## The adjacency operator

- $C(\Gamma) = \{f : V_\Gamma \longrightarrow \mathbb{C}\}$
- $C_0(\Gamma)$  : the subspace of functions with finite support.
- $l^2(\Gamma) = \{f \in C(\Gamma); \sum_{x \in V_\Gamma} |f|^2(x) < \infty\}$ .  $\langle f, g \rangle = \sum_{x \in V_\Gamma} \overline{f(x)} \cdot g(x)$  .

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- On  $C_0(\Gamma)$ , we define the adjacency operator  $A_\Gamma$  by
$$(A_\Gamma f)(x) = \sum_{y \sim x} f(y)$$
  - $A_\Gamma$  is bounded on  $l^2(\Gamma) \Leftrightarrow$  the degree of the vertices of  $\Gamma$  is bounded. ( which is the case here.)
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  - In that case, the operator  $A_\Gamma$  is self-adjoint.
- Our goal : get an explicit spectral decomposition of the adjacency operator  $A_\Gamma$ .
  - get a S. D. for a Schrödinger operator with a compactly supported potential on a hom. tree
  - get a similar S. D. for the adjacency operator  $A_\Gamma$  via a combinatorial result

## The points at infinity on the tree $\mathbb{T}_q$

- $\mathbb{T}_q = (V_q, E_q)$  : homogeneous tree of degree  $q + 1$ 
  - choose an origin  $O$  ( a root)
  - $|x|$  : the combinatorial distance of the vertex  $x$  to  $O$  .
- $\Omega_O$  : the set of infinite simple paths starting from  $O$ .
  - a sequence  $y_n \in V_q$  tends to  $\omega \in \Omega_O$  iff for  $n$  large enough,  $y_n$  belongs to the path  $\omega$  and is going to infinity along that path.

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- $d\sigma_O$  : canonical probability measure on  $\Omega_O$
- **Busemann function**  $x \rightarrow b_\omega(x) := |x_\omega| - d(x, x_\omega)$ .  
(  $x_\omega$  the last point lying on  $\omega$  in the geodesic path joining  $O$  to  $x$ )
- level sets of  $b_\omega$  : **horocycles** associated to  $\omega$ .

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**Theorem**  $A_0$  : the adjacency operator on  $\mathbb{T}_q$ . The spectrum of  $A_0$  is the interval  $I_q = [-2\sqrt{q}, +2\sqrt{q}]$ . Set  $e_0(x, \omega, s) := q^{(1/2-is)b_\omega(x)}$  , and  $\lambda_s = q^{\frac{1}{2}+is} + q^{\frac{1}{2}-is}$  . Then  $\forall s \in S^0$ ,  $A_0 e_0(\omega, s) = \lambda_s e_0(\omega, s)$ .

## The spectral Riemann surface

- $S := \mathbb{R}/\tau\mathbb{Z} \times i\mathbb{R}$ ,  $\tau = 2\pi/\log q$ 
  - $s \rightarrow \lambda_s$  holomorphic function defined on  $S$  by  $\lambda_s = q^{\frac{1}{2}+is} + q^{\frac{1}{2}-is}$ .
  - $S^+ := \{s \in S \mid \Im s > 0\}$  is mapped bijectively onto  $\mathbb{C} \setminus I_q$ .
  - $S^0 := \mathbb{R}/\tau\mathbb{Z}$  : the circle  $\Im s = 0$ .

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$G_0$  : the Green's function on  $\mathbb{T}_q$ .

### • Theorem

- The Green's function of the tree  $\mathbb{T}_q$  is given, for  $s \in S^+$  by
 
$$G_0(\lambda_s, x, y) = \frac{q^{(-\frac{1}{2}+is)d(x,y)}}{q^{\frac{1}{2}-is} - q^{-\frac{1}{2}+is}} .$$
- $G_0$  extends merom. to  $S$  with two poles  $-i/2$  and  $-i/2 + \tau/2$ .
- for any  $x \in V_q$  and any  $y$  belonging to the path  $\omega$ ,

$$G_0(\lambda_s, x, y) = C(s)q^{(-\frac{1}{2}+is)|y|}q^{(\frac{1}{2}-is)b_\omega(x)},$$

## The density of states

- $\phi : \mathbb{R} \rightarrow \mathbb{R}$  given continuous function
- $\phi(A_\Gamma)$ : operator on  $l^2(\Gamma)$ , (associated matrix  $[\phi(A_\Gamma)](x, x')$ )
- Consider for any  $x \in V_\Gamma$ , the linear form on  $C(\mathbb{R}, \mathbb{R})$

$$L_x(\phi) = [\phi(A_\Gamma)](x, x) .$$

$L_x$  is positive and verifies  $L_x(1) = 1$ , so we have  $L_x(\phi) = \int_{\mathbb{R}} \phi de_x$  where  $de_x$  is a probability measure on  $\mathbb{R}$ , supported by the spectrum of  $A_\Gamma$ .

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- **Theorem** The spectral measure  $de_x$  of  $\mathbb{T}_q$  is independent of the vertex  $x$  and is given by

$$de_x(\lambda) := de(\lambda) = \frac{(q+1)\sqrt{4q-\lambda^2}}{2\pi((q+1)^2-\lambda^2)}d\lambda$$

# The Fourier-Helgason transform

- **Definition** The **Fourier-Helgason transform**  $\mathcal{FH} : f \rightarrow \hat{f}(\omega, s)$  of  $f \in C_0(\mathbb{T}_q)$ , where  $\omega \in \Omega_O$  and  $s \in S$ , is given by

$$\hat{f}(\omega, s) = \sum_{x \in V_q} f(x) q^{(1/2+is)b_\omega(x)} .$$

- **Remark** If  $s \in S^0$ , then

$$\hat{f}(\omega, s) = \langle e_0(\omega, s), f \rangle = \sum_{x \in V_\Gamma} f(x) \overline{e_0(x, \omega, s)} .$$

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Completeness of the set  $\{e_0(\omega, s), s \in S^0, \omega \in \Omega\}$  :

- **Theorem (inversion formula)**

- For any  $f \in C_0(\mathbb{T}_q)$ , we have

$$f(x) = \int_{S^0} \int_{\Omega} e_0(x, \omega, s) \hat{f}(\omega, s) d\sigma_O(\omega) d\mu(s)$$

$$\text{where } d\mu(s) = \frac{(q+1) \log q}{\pi} \frac{\sin^2(s \log q)}{q+q^{-1}-2 \cos(2s \log q)} |ds| .$$

- $\mathcal{FH}$  extends to a u. map from  $l^2(\mathbb{T}_q)$  into  $L^2(\Omega \times S^0, d\sigma_O \otimes d\mu)$ .

- its range is the subsp. of the f.  $F$  of  $L^2(\Omega \times S^0, d\sigma_O \otimes d\mu)$  s.t.

$$\int_{\Omega} e_0(x, \omega, s) F(\omega, s) d\sigma_O(\omega) = \int_{\Omega} e_0(x, \omega, -s) F(\omega, -s) d\sigma_O(\omega) .$$

- **Spectral resolution of  $A_0$** : if  $\phi : \mathbb{R} \rightarrow \mathbb{R}$  is continuous,

$$\phi(A_0) = (\mathcal{FH})^{-1} \phi(\lambda_s) \mathcal{FH} .$$

## Scattering on $\mathbb{T}_q$ between $A_0$ and the Schrödinger operator $A = A_0 + W$

- the Hermitian matrix (also denoted  $W$ ) assoc. to this potential is supported by  $K \times K$  ( $K$  : a finite part of  $V_q$ )
- $K$  is chosen minimal, so that:  $K = \{x \in V_q \mid \exists y \in V_q \text{ with } W_{x,y} \neq 0\}$  .
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- $A$  is a finite rank perturbation of  $A_0$  .
- **Proposition**  $l^2(\mathbb{T}_q) = \mathcal{H}_{ac} \oplus \mathcal{H}_{pp}$ 
  - $\mathcal{H}_{ac}$  is the isometric image of  $l^2(\mathbb{T}_q)$  by the **wave operator**  $\Omega^+ = s - \lim_{t \rightarrow -\infty} e^{itA} e^{-itA_0}$  . We have  $A|_{\mathcal{H}_{ac}} = \Omega^+ A_0 (\Omega^+)^*$   $\implies$  the corresponding part of the S.D. is isomorphic to that of  $A_0$  which is an a. c. spectrum on  $I_q$ .
  - The space  $\mathcal{H}_{pp}$  is finite dimensional, admits an o.b. of  $l^2$  eigenv. associated to a finite set of eigenv. ( *Some of them can be embedded in the continuous spectrum  $I_q$ .* )

## Formal derivation of the Lippmann-Schwinger equation

- We look for generalised eigenfunctions of  $A$ .

- they are particular solutions of

$$(\lambda_s - A)e(., \omega, s) = 0 ,$$

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If  $e(\omega, s)$  is the image of  $e_0(\omega, s)$  by  $\Omega^+$  in some sense (they are not in  $l^2!$ ), then we should have **formally**  $e_0(\omega, s) = \lim_{t \rightarrow -\infty} e^{itA_0} e^{-itA} e(\omega, s)$

$$\begin{aligned} &= \lim_{t \rightarrow -\infty} [e(\omega, s) - i \int_0^t e^{iuA_0} W e^{-iuA} e(\omega, s) du] \\ &= e(\omega, s) - i \lim_{\varepsilon \rightarrow 0} \int_0^{-\infty} e^{iuA_0} W e^{-iu\lambda_s} e^{\varepsilon u} e(\omega, s) du \\ &= e(\omega, s) + \lim_{\varepsilon \rightarrow 0} [(A_0 - (\lambda_s + i\varepsilon))^{-1} W e](\omega, s) . \end{aligned}$$

So  $e(\omega, s)$  should obey the following "Lippmann-Schwinger-type" equation

$$e(\omega, s) = e_0(\omega, s) + G_0(\lambda_s) W e(\omega, s) .$$

- $\chi \in C_0(\mathbb{T}_q)$  be a compactly supported real-valued function s. t.  
 $W\chi = \chi W = W$
- If  $e(\omega, s)$  obeys (LSE) and  $a(\omega, s) = \chi e(\omega, s)$ , then  $a$  obeys (MLSE):  
 $a(\omega, s) = \chi e_0(\omega, s) + \chi G_0(\lambda_s) W a(\omega, s)$ .
- $K_s$  : the finite rank op. on  $l^2(\mathbb{T}_q)$  defined by  $K_s = \chi G_0(\lambda_s) W$ . The map  $s \rightarrow K_s$  extends holom. to  $\Im s > -\frac{1}{2}$
- **analytic Fredholm theorem**  $\implies \exists$  a finite subset  $\hat{\mathcal{E}}$  of  $S^0$ , defined by  $\hat{\mathcal{E}} =: \{s \in S^0; \ker(\text{Id} - K_s) \neq 0\}$ , so that (MLSE) has a unique solution  $a(\omega, s) \in C_0(\mathbb{T}_q)$  whenever  $s \notin \hat{\mathcal{E}}$ .

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For  $s \notin \hat{\mathcal{E}}$ , the function  $e(\omega, s) = e_0(\omega, s) + G_0(\lambda_s) W a(\omega, s)$  is the unique solution of (LSE).

# The set $\hat{\mathcal{E}}$ and the pure point spectrum

## Propositions

- The set  $\hat{\mathcal{E}}$  is independent of the choice of  $\chi$  with  $W\chi = \chi W = W$ .
- If  $(A - \lambda)f = 0$  with  $\lambda \in I_q$  and  $f \in l^2(\mathbb{T}_q)$ , then  $\text{Supp}(f) \subset \hat{K}$   
 $\hat{K}$  : the smallest subset of  $V_q$  s. t.  $\text{Supp}(W) \subset \hat{K} \times \hat{K}$  and all connected components of  $\mathbb{T}_q \setminus \hat{K}$  are infinite.
- **Consequence**  $\#\{\sigma_{\text{pp}}(A) \cap I_q\} \leq \#\hat{K}$ .
- If  $s \in S^0$ ,  $(A - \lambda_s)f = 0$  and  $f \in l^2(\mathbb{T}_q) \setminus 0$ , then  $s \in \hat{\mathcal{E}}$ .
- Conversely, if  $s \in \hat{\mathcal{E}} \subset S^0$ ,  $\exists f \neq 0$  s. t.  $(A - \lambda_s)f = 0$  and  $f(x) = O(q^{-|x|/2})$ .

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- Conversely, if  $s \in \hat{\mathcal{E}} \subset S^0$ ,  $\exists f \neq 0$  s. t.  $(A - \lambda_s)f = 0$  and  $f(x) = O(q^{-|x|/2})$ .

**Theorem** The pure point spectrum  $\sigma_{\text{pp}}(A)$  of  $A$  splits into 3 parts

$$\sigma_{\text{pp}}(A) = \sigma_{\text{pp}}^-(A) \cup \sigma_{\text{pp}}^+(A) \cup \sigma_{\text{pp}}^0(A)$$

where  $\sigma_{\text{pp}}^-(A) = \sigma_{\text{pp}}(A) \cap ]-\infty, -2\sqrt{q}[$ ,  $\sigma_{\text{pp}}^+(A) = \sigma_{\text{pp}}(A) \cap ]2\sqrt{q}, +\infty[$ , and

$$\sigma_{\text{pp}}^0(A) = \sigma_{\text{pp}}(A) \cap I_q.$$

We have  $\#\sigma_{\text{pp}}^\pm(A) \leq \#\text{Supp}(W)$  and  $\#\sigma_{\text{pp}}^0(A) \leq \#\hat{K}$ .

## The deformed Fourier-Helgason transform

- **Definition** The **deformed Fourier-Helgason transform**  $\mathcal{FH}_{sc}$  of  $f \in C_0(\mathbb{T}_q)$  is the function  $\hat{f}_{sc}$  on  $\Omega \times (S^0 \setminus \hat{\mathcal{E}})$  defined by

$$\hat{f}_{sc}(\omega, s) = \langle e(\omega, s), f \rangle = \sum_{x \in V_{\Gamma}} f(x) \overline{e(x, \omega, s)}.$$

- **Remark** Since  $K_s = K_{-s}$ , the subset  $\hat{\mathcal{E}}$  is invariant by  $s \rightarrow -s$  and consequently is the inverse image by  $s \rightarrow \lambda_s$  of a subset of  $I_q$  which we denote by  $\mathcal{E}$ .

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- **Theorem (inversion formula)**

- $f \in C_0(\mathbb{T}_q)$ ,  $J \subset I_q \setminus \mathcal{E}$  any closed interval
- denote by  $\hat{J}$  the inverse image of  $J$  by  $s \rightarrow \lambda_s$ ,
- then the following inverse transform holds

$$P_J f(x) = \int_{\hat{J}} \int_{\Omega} e(x, \omega, s) \hat{f}_{sc}(\omega, s) d\sigma_{\Omega}(\omega) d\mu(s) .$$

- Moreover  $f \rightarrow \hat{f}_{sc}$  extends to an isometry from  $\mathcal{H}_{ac}$  onto  $L^2_{\text{even}}(\Omega \times S^0, d\sigma_{\Omega} \otimes d\mu)$ .

## Correlation of scattered plane waves

- **Motivation:** passive imaging in seismology (M. Campillo's seismology group in Grenoble).

*For a scattering problem in  $\mathbb{R}^d$  the point-to-point correlations of the plane waves can be computed in terms of the Green's function (Y. C.d.V, '09): for a fixed spectral parameter, plane waves are viewed as **random waves** parametrised by the direction of their incoming part.*

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- Consider the plane wave  $e(x, \omega, s(\lambda))$  as a **random wave**
- Define the point-to-point correlation  $C_\lambda^{sc}(x, y)$  of such a **random wave** in the usual way:

$$C_\lambda^{sc}(x, y) = \int_{\Omega} \overline{e(x, \omega, s(\lambda))} e(y, \omega, s(\lambda)) d\sigma(\omega) .$$

- **Theorem** For any  $\lambda \in I_q$  and any vertices  $x, y$

$$C_\lambda^{sc}(x, y) = -\frac{2(q^2 + 2q + 1 - \lambda^2)}{(q + 1)\sqrt{4q - \lambda^2}} \Im G(\lambda + i0, x, y) .$$

## The S-matrix and the asymptotics of the deformed plane waves

The Lippmann-Schwinger eigenfunctions  $e(x, \omega, s)$  are especially useful to describe the so-called  $S$ -matrix ( $S = (\Omega^-)^* \Omega^+$ ).

- For any  $f$  and  $g \in C_0(\mathbb{T}_q)$

$$(f, (S - I)g) = -2\pi i \int_{S^0 \times S^0} \int_{\Omega \times \Omega} T(\omega, s; \omega', s') \overline{\hat{f}(\omega, s)} \delta(\lambda_s - \lambda_{s'}) \hat{g}(\omega', s') d\Sigma$$

- $d\Sigma = d\sigma_O(\omega) d\mu(s) d\sigma_O(\omega') d\mu(s')$

- $T(\omega, s; \omega', s') = \langle e(\omega', s'), W e_0(\omega, s) \rangle = \sum_{(x,y)} e(x, \omega', s') \overline{W(x, y) e_0(y, \omega, s)} .$

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$$S(\omega, s; \omega', s') = \delta(s - s') - 2\pi i T(\omega, s; \omega', s') \delta(\lambda_s - \lambda_{s'}) .$$

- There exist “transmission coefficients”  $\tau(s, \omega, \omega')$  so that

$$e(x; \omega, s) = e_0(x; \omega, s) + \tau(s, \omega, \omega') q^{(-\frac{1}{2} + is)|x|}$$

for any  $x$  close enough to  $\omega'$ ,  $\tau(s, \omega, \omega') = -\frac{C(s)}{2i\pi} S(\omega', -s; \omega, s)$

with  $C(s)^{-1} = q^{\frac{1}{2} - is} - q^{-\frac{1}{2} + is}$ .

## Computation of the transmission coefficients in terms of the Dirichlet-to-Neumann operator

- The functions  $b_\omega(y)$  and  $b_{\omega'}(y)$  are equal if  $\omega$  and  $\omega'$  belong to the same end of  $\mathbb{T}_q \setminus K$ .
- $\implies$  the function  $\omega' \rightarrow \tau(s, \omega, \omega')$  is constant in each end of  $\mathbb{T}_q \setminus K$
- $\implies$  the tr. coeff.  $\tau(s, \omega, \omega')$  can be written as a function  $\tau(s, \omega, l)$ .

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- $\implies$  the tr. coeff.  $\tau(s, \omega, \omega')$  can be written as a function  $\tau(s, \omega, l)$ .
- Moreover the reduced Lippmann-Schwinger equation depends only on the restriction of  $e_0$  to  $K$
- $\implies$  the function  $\omega \rightarrow \tau(s, \omega, l)$  is also constant in each end of  $\mathbb{T}_q \setminus K$ .
- Finally, we get an  $L \times L$  matrix depending on  $s$ , denoted by

$$\tilde{S}(s) = (S(l', -s, l, s))_{l, l'} = -\frac{2i\pi}{C(s)} (\tau(s, l, l'))_{l, l'}$$

## Theorem

Consider  $n$  : the integer so that  $B_{n-2}$  is the smallest ball containing the finite graph  $K$ .

Set  $\Gamma = B_n$ ,  $\partial\Gamma = \{x_{l'}, 1 \leq l' \leq L\}$ .

Set  $\widehat{A}_n$  : the restriction of  $A$  to  $B_n$  ( $\widehat{A}_n = (A_{x,y})_{(x,y) \in B_n}$ )

define  $I_n$  in the same way,

set  $B = \widehat{A}_n - \lambda_s I_n$

Consider  $\mathcal{DN}_s$  : the corresponding Dirichlet-to Neumann operator .

Then  $\mathcal{DN}_s$  and the transmission vector

$\overrightarrow{\tau(s, l)} := (\tau(s, l, 1), \dots, \tau(s, l, l'), \dots, \tau(s, l, L))$  exist for any

$$s \notin \mathcal{E}_0 = \{s \in S^0, \lambda_s \in \sigma(\widehat{A}_{n-1})\}$$

and

$$(\tau(s, l, l')) = -\alpha^{-2n} \left[ \frac{1}{C(s)} \left( \mathcal{DN}_s + q^{1/2+is} I \right)^{-1} + \mathcal{A} \right],$$

with  $\widehat{A}_{n-1} = (A_{x,y})_{(x,y) \in B_{n-1}}$ ,  $\mathcal{A} = (\mathcal{A}_{l,l'}) = (\alpha^{d(x_l, x_{l'})})$ ,  $\alpha = q^{-1/2+is}$ .

# The Dirichlet-to Neumann operator $\mathcal{DN}$ on a finite graph

$\Gamma = (V, E)$  : a connected finite graph

$\partial\Gamma$  : a subset of  $V$  called the "boundary of  $\Gamma$ ".

$B = (b_{i,j}) : \mathbb{R}^V \rightarrow \mathbb{R}^V$  : a sym. matrix assoc. to  $\Gamma$ , namely

$$b_{i,j} = 0 \quad \text{if } i \neq j \text{ and } \{i, j\} \notin E.$$

Set  $V_0 = V \setminus \partial\Gamma$ , define  $B_0 : \mathbb{R}^{V_0} \rightarrow \mathbb{R}^{V_0}$  as the restriction of  $B$  to the functions which vanish on  $\partial\Gamma$ .

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## Lemma

Assume  $B_0$  invertible. Then,  $\forall f \in C(\partial\Gamma)$ ,  $\exists$  a unique solution  $F \in C(\Gamma)$  of the Dirichlet problem

$$(D_f) : F|_{\partial\Gamma} = f \text{ and } BF(l) = 0 \text{ if } l \in V_0 .$$

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The **Dirichlet-to Neumann** operator  $\mathcal{DN}$  associated to  $B$  is the linear operator from  $C(\partial\Gamma)$  to  $C(\partial\Gamma)$  defined as follows:

if  $l \in \partial\Gamma$ ,

$$\mathcal{DN}(f)(l) = \sum_{i=1}^m b_{l,i} F(i) (= BF(l)) .$$

# The spectral theory for a graph asymptotic to an homogeneous tree

Some combinatorics

Theorem 1

If  $\Gamma$  is asymptotic to a homogeneous tree of degree  $q + 1$ , then  $\Gamma$  is isomorphic to a connected component of a graph  $\hat{\Gamma}$  which can be obtained from  $\mathbb{T}_q$  by adding and removing a finite number of edges.

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### Tools

- a combinatorial analogue of the reg. total curvature of a Riem. surface  $S$

$$\nu(\Gamma) = \sum_{x \in V_\Gamma} (q + 1 - d(x)) + 2b_1 ,$$

$d(x)$  : the degree of  $x$ ,  $b_1$  : the first Betti number of  $\Gamma$

- **Lemma 1** If, for  $r \geq 2$ ,  $B_r = \{x \in V_\Gamma \mid |x|_{\Gamma_0} \leq r\}$ , then

$$\nu(\Gamma) = (q - 1)m - M + 2 ,$$

(  $m$  : number of inner vertices of  $B_r$ ,  $M$  : number of boundary vertices)

 **Lemma 2**

$F$  : a finite tree whose all vertices are of degree  $q + 1$  except the ends which are of degree 1.

$M$  number of ends,  $m$  the number of inner vertices.

We have

$$M = 2 + (q - 1)m . \quad (1)$$

Conversely, for each choice of  $(m, M)$  satisfying Equation (1), there exists such a tree  $F$ .

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Conversely, for each choice of  $(m, M)$  satisfying Equation (1), there exists such a tree  $F$ .

● **Some modifications of  $\Gamma$  in order to get a new graph  $\hat{\Gamma}$  with  $\nu(\hat{\Gamma}) = 0$ .**

**Lemma 3**

If  $\Gamma' = M_1(\Gamma)$  is defined by adding to  $\Gamma$  a vertex and an edge connecting that vertex to a vertex of  $\Gamma_0$ , then

$$\nu(\Gamma') = \nu(\Gamma) + q - 1 .$$

If  $\Gamma' = M_2(\Gamma)$  is defined by adding to  $\Gamma$  a tree whose root  $x$  is of degree  $q$  and all other vertices of degree  $q + 1$  and connecting  $x$  by an edge to a vertex of  $\Gamma_0$ ,  $\Gamma'$  is asymptotic to an homogeneous tree of degree  $q + 1$  and

$$\nu(\Gamma') = \nu(\Gamma) - 1 .$$

## The spectral theory of $\Gamma$

- Theorem (1)  $\implies$  existence of a Hilbert space  $\mathcal{H}$  so that
  - $l^2(\hat{\Gamma}) = l^2(\Gamma) \oplus \mathcal{H}$
  - this decomposition is invariant by  $A_{\hat{\Gamma}}$ .
- Moreover  $A_{\hat{\Gamma}}$  is a finite rank perturbation of  $A_0 = A_{\mathbb{T}_q}$ .  $\implies$  this gives the spectral theory of  $A_{\Gamma}$  by using the results of the preceding section .

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- Moreover  $A_{\hat{\Gamma}}$  is a finite rank perturbation of  $A_0 = A_{\mathbb{T}_q}$ .  $\implies$  this gives the spectral theory of  $A_{\Gamma}$  by using the results of the preceding section .
- **Lemma 4** Let  $A_{\hat{\Gamma}} = A_{\mathbb{T}_q} + W$  with  $\text{Support}(W) \subset K \times K$  and  $K$  finite. Let  $\Gamma$  be an unbounded connected component of  $\hat{\Gamma}$  and  $\omega$  a point at infinity of  $\Gamma$ . Then, for any  $s \notin \hat{\mathcal{E}}$ , we have

$$\text{support}(e(\cdot; s, \omega)) \subset V_{\Gamma} .$$

Conversely, if  $\omega'$  is a point at infinity of  $\hat{\Gamma}$  which is not a point at infinity of  $\Gamma$  then

$$\text{support}(e(\cdot; s, \omega')) \cap V_{\Gamma} = \emptyset .$$

- **Theorem 2** The Hilbert space  $l^2(\Gamma)$  splits into a finite dimensional part  $\mathcal{H}_{pp}$  and an absolutely continuous part  $\mathcal{H}_{ac}$ . This decomposition is preserved by  $A_{\Gamma}$ . If  $f \in C_0(\Gamma)$  and, for  $\omega \in \Omega$ ,  $\hat{f}_{sc}(s, \omega) = \langle f | e(\cdot; s, \omega) \rangle$ , then the map  $f \rightarrow \hat{f}_{sc}$  extends to an isometry from  $\mathcal{H}_{ac}$  onto  $L^2_{\text{even}}(S_0 \times \Omega, d\sigma_0 \otimes d\mu)$  which **intertwines the action of  $A_{\Gamma}$  with the multiplication by  $\lambda_s$ .**