

$p$ -adic zeta functions and quasimodular forms  
(Fonctions zêta  $p$ -adiques et formes  
quasimodulaires)

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# Main object: automorphic $L$ -functions and their $p$ -adic avatars

For an algebraic group  $G$  over a number field  $K$  these  $L$  functions (or simply zeta-functions in Shimura's terminology) are defined as certain Euler products.

Example ( $G = \mathrm{GL}(2)$ ,  $K = \mathbb{Q}$ ,  $L_f(s) = \sum_{n \geq 1} a_n n^{-s}$ ,  $s \in \mathbb{C}$ )

Here  $f(z) = \sum_{n \geq 0} a_n q^n$  is a modular form on the upper-half plane

$$H = \{z \in \mathbb{C} \mid \mathrm{Im}(z) > 0\} = \mathrm{SL}(2)/\mathrm{SO}(2), q = e^{2\pi iz}.$$

An Euler product has the form

$$L_f(s) = \prod_{p \text{ primes}} (1 - a_p p^{-s} + \psi_f(p) p^{k-1-2s})^{-1}$$

where  $k$  is the weight and  $\psi_f$  the Dirichlet character of  $f$ . It is defined iff the representation  $\pi_f$  attached to  $f$  is irreducible. Recall that  $\pi_f$  is generated by the lift  $\mathbf{f}$  of  $f$  to the group  $G(\mathbb{A})$ , where  $\mathbb{A}$  is the **ring of adeles**  $\mathbb{A} = \{x = (x_\infty, x_p) \mid x_\infty \in \mathbb{R}, x_p \in \mathbb{Q}_p \text{ such that } x_p \in \mathbb{Z}_p \text{ for all but a finite number of } p\}$ .

## A $p$ -adic avatar of $L_f(s)$ (Manin-Mazur)

is a  $p$ -adic analytic function  $L_{f,p}(s, \chi)$  of  $p$ -adic arguments  $s \in \mathbb{Z}_p, \chi \bmod p^r$  which interpolates algebraic numbers

$$L_f^*(s, \chi)/\omega^\pm \in \bar{\mathbb{Q}} \hookrightarrow \mathbb{C}_p = \hat{\hat{\mathbb{Q}}}_p \quad (\text{the Tate field})$$

for  $1 \leq s \leq k-1$ ,  $\omega^\pm$  are periods of  $f$  where the complex analytic  $L$  function of  $f$  is defined for all  $s \in \mathbb{C}$  so that in the absolutely convergent case  $\operatorname{Re}(s) > (k+1)/2$

$$L_f^*(s, \chi) = (2\pi)^{-s} \Gamma(s) \sum_{n \geq 1} \chi(n) a_n n^{-s}$$

which extends to holomorphic function with a functional equation. According to Manin and Shimura, this number is algebraic if the period is chosen according to the parity  $\chi(-1)(-1)^s = \pm 1$ .

# Constructions of $p$ -adic avatars

In general case of an irreducible automorphic representation of the adelic group  $G(\mathbb{A}_K)$  there is an  $L$ -function

$$L(s, \pi, r, \chi) = \prod_{\mathfrak{p} \text{ primes} \in K} \prod_{j=1}^m (1 - \beta_{j,\mathfrak{p}} N\mathfrak{p}^{-s})^{-1}$$

where

$$\prod_{j=1}^m (1 - \beta_{j,\mathfrak{p}} X) = \det(1_m - r(\text{diag}(\alpha_{i,\mathfrak{p}})_i X))$$

$\alpha_{i,\mathfrak{p}}$  are the Satake parameters of  $\pi = \bigotimes_v \pi_v$ ,  $v \in \Sigma_K$  (places in  $K$ ),  $\mathfrak{p} = \mathfrak{p}_v$ . Here  $h_v = \text{diag}(\alpha_{i,\mathfrak{p}_v})_i$  live in the Langlands group  ${}^L G(\mathbb{C})$ ,  $r : {}^L G(\mathbb{C}) \rightarrow \text{GL}_m(\mathbb{C})$  denotes its representation,  $\chi : \mathbb{A}_K^* / K^* \rightarrow \mathbb{C}^*$  is a character of finite order.

Constructions extend to rather general automorphic representations on Shimura varieties via

- ▶ Petersson products with a fixed automorphic form, or
- ▶ linear forms coming from the Fourier coefficients (or Whittaker functions), or through the
- ▶ CM-values (special points on Shimura varieties),

## Accessible cases: symplectic and unitary groups

$G = \mathrm{GL}_1$  over  $\mathbb{Q}$  (Kubota-Leopoldt-Mazur) for the Dirichlet  $L$ -function  $L(s, \chi)$ .

$G = \mathrm{GL}_1$  over a totally real field  $F$  (Deligne-Ribet, using algebraicity result by Klingen).

$G = \mathrm{GL}_1$  over a CM-field  $K$ , i.e. a totally imaginary extension of a totally real field  $F$  (N.Katz, Manin-Vishik).

$G = \mathrm{GSp}_n$  (the Siegel modular case) or the unitary groups over a CM-field  $K$

$$G = G(\varphi) = \{\alpha \in \mathrm{GL}_m(K) \mid \alpha \varphi^t \alpha^\rho = \nu(\alpha) \varphi\}, \nu(\alpha) \in F^*,$$

where  $\varphi = \eta_n = \begin{pmatrix} 0 & -1_n \\ 1_n & 0 \end{pmatrix}$  or  $\varphi = \begin{pmatrix} 1_n & 0 \\ 0 & -1_m \end{pmatrix}$ , see

C. Skinner and E. Urban, [MC] and Shimura G., [Sh00].

## A new method of constructing $p$ -adic zeta-functions

The combinatorial structure of the Fourier coefficients of the holomorphic forms used in these constructions is quite complicated. We present a method of simplification using nearly-holomorphic and general quasimodular forms, related to algebraic automorphic forms.

It gives a new method of constructing  $p$ -adic zeta-functions using general quasi-modular forms and their Fourier coefficients.

The symmetric space

$$\mathcal{H} = G(\mathbb{R})/(\text{maximal-compact subgroup } \mathcal{K} \times \text{Center})$$

parametrizes certain families of abelian varieties  $A_z (z \in \mathcal{H})$  so that  $F \subset \text{End}(A_z) \otimes \mathbb{Q}$ .

The CM-points  $z$  correspond to a maximal multiplication ring  $\text{End}(A_z)$ .

For  $GL(2)$ , N.Katz used arithmetical elements (real-analytic and  $p$ -adic)

instead of holomorphic forms in these representation spaces.

These elements correspond also to **quasimodular forms** coming from derivatives which can be defined in general using Shimura's arithmeticity and the Maass-Shimura operators.

A relation **real-analytic**  $\leftrightarrow$   **$p$ -adic** modular forms comes from the notion of  $p$ -adic modular forms invented by J.-P.Serre [Se73] as  $p$ -adic limits of  $q$ -expansions of modular forms with rational coefficients for  $\Gamma = SL_2(\mathbb{Z})$ .

The present method of constructing  $p$ -adic automorphic  $L$ -functions uses general quasimodular forms, and their link to algebraic  $p$ -adic modular forms.

## Real-analytic and $p$ -adic modular forms

In Serre's case for  $\Gamma = \mathrm{SL}_2(\mathbb{Z})$ , the ring  $\mathcal{M}_p$  of  $p$ -adic modular forms contains  $\mathcal{M} = \bigoplus_{k \geq 0} \mathcal{M}_k(\Gamma, \mathbb{Z}) = \mathbb{Z}[E_4, E_6]$ , and it contains  $E_2 = 1 - 24 \sum_{n \geq 1} \sigma_1(n) q^n$ . On the other hand,

$$\tilde{E}_2 = -\frac{3}{\pi y} + E_2 = -12S + E_2, \text{ where } S = \frac{1}{4\pi y},$$

is a **nearly holomorphic modular form** (its coefficients are polynomials of  $S$  over  $\mathbb{Q}$ ). Let  $\mathcal{N}$  be the ring of such forms. Then

$$\tilde{E}_2|_{S=0} = E_2,$$

and it was proved by J.-P.Serre that  $E_2$  is a  $p$ -adic modular form. Elements of the ring  $\mathcal{M}^\sharp = \mathcal{N}|_{S=0}$  are **quasimodular forms**. These phenomena are quite general and can be used in computations and proofs.

In June 2014 in a talk in Grenoble, S.Boecherer extended these results to the Siegel modular case.



Given a quasimodular form  $f$ , how to find a nearly holomorphic form  $\tilde{f}$  such that  $\tilde{f}|_{S=0} = f$ ?

**The first method:** use the structure theorem as the polynomial ring [MaRo5],

$$\mathcal{N} = \mathbb{Q}[\tilde{E}_2, E_4, E_6], \quad \mathcal{M}^\sharp = \mathbb{Q}[E_2, E_4, E_6],$$

the answer is  $\tilde{f} = P(\tilde{E}_2, E_4, E_6)$  for any  $f = P(E_2, E_4, E_6)$ .

**The second method:**  $\tilde{f}$  can be recovered from the transformation law of  $f$  (see [MaRo5]): if for all  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ ,

$$(cz + d)^{-k} f(\gamma z) = \sum_{t=0}^k \left( \frac{c}{cz + d} \right)^t f_t(z) = P_{z,f}(X) \text{ then}$$

$$\tilde{f} = P_{z,f}\left(\frac{1}{2iy}\right) = P_{z,f}(-2i\pi S), \text{ where } S = \frac{1}{4\pi y}, y = \frac{1}{4\pi S}.$$

**Remark:** the derivative  $D = \frac{1}{2\pi i} \frac{d}{dz} = q \frac{d}{dq}$ , acts on  $\mathcal{M}^\sharp$ .

It corresponds to Shimura's differential operator  $\delta$  on  $\mathcal{N}$ .

Examples : (a) Serre's  $p$ -adic modular form  $E_2$  is quasi-modular in the following sense

The transformation law of  $f = E_2$  is: for all  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ ,

$$(cz + d)^{-2} E_2(\gamma(z)) = E_2(z) + \frac{6}{i\pi} \left( \frac{c}{cz + d} \right) = P_{z,E_2} \left( \frac{c}{cz + d} \right),$$

where  $P_{z,E_2}(X) = E_2(z) + \frac{6}{i\pi} X$  is a polynomial with holomorphic coefficients for  $X = \frac{c}{cz + d}$ .

The same polynomial  $P_{z,E_2}(X)$  gives the corresponding nearly holomorphic function  $\tilde{E}_2$  as its value at  $X = -2i\pi S$ :

$$\tilde{E}_2 = -\frac{3}{\pi y} + E_2 = -12S + E_2 = P_{z,E_2}\left(\frac{1}{2iy}\right) = P_{z,E_2}(-2i\pi S).$$

This function has rational coefficients of  $q$  and  $S$ , so it is "algebraic".

Examples : (b) Derivative of a modular form is not a modular form, but it is quasi-modular (Don Zagier, 1994)

If  $f = D^r g$  where  $g \in \mathcal{M}_l(\Gamma)$  is a holomorphic modular form of weight  $\ell$ , then the transformation law of weight  $k = \ell + 2r$  is

$$(cz+d)^{-k} D^r g(\gamma z) = \sum_{t=0}^r \binom{r}{t} \frac{\Gamma(r+\ell)}{\Gamma(t+\ell)} \left( \frac{1}{2\pi i} \cdot \frac{c}{cz+d} \right)^{r-t} D^t g(z).$$

In this way we get SHIMURA's differential operator

$$\begin{aligned} \delta_\ell^r g &= \widetilde{D^r g} = \sum_{t=0}^r \binom{r}{t} \frac{\Gamma(r+\ell)}{\Gamma(r-t+\ell)} (2\pi i)^{-t} (-2\pi i S)^t D^{r-t} g(z) \\ &= \sum_{t=0}^r \binom{r}{t} \frac{\Gamma(r+\ell)}{\Gamma(r-t+\ell)} (-S)^t D^{r-t} g(z), \text{ where } S = \frac{1}{4\pi y}, \end{aligned}$$

which preserves the rationality of the coefficients of  $S$  and  $q$ . It comes from the above transformation law of  $D^r g$  with  $X = \frac{c}{cz+d}$  replaced by  $-2\pi i S$ :

$$P_{z,D^r g}(X) = \sum_{t=0}^r \binom{r}{t} \frac{\Gamma(r+\ell)}{\Gamma(t+\ell)} (X/2\pi i)^{r-t} D^t g(z).$$

# Using algebraic and $p$ -adic modular forms

There are several methods to compute various  $L$ -values starting from the constant term of the Eisenstein series in [Se73],

$$G_k(z) = \frac{\zeta(1-k)}{2} + \sum_{n=1}^{\infty} \sigma_{k-1}(n) q^n = \frac{\Gamma(k)}{(2\pi i)^k} \sum'_{(c,d)} (cz+d)^{-k},$$

and using Petersson products of nearly-holomorphic Siegel modular forms and arithmetical automorphic forms as in [Sh00]:

the Rankin-Selberg method,

the doubling method (pull-back method).

A known example is the standard zeta function  $D(s, f, \chi)$  of a Siegel cusp eigenform  $f \in \mathcal{S}_k^n(\Gamma)$  of genus  $n$  (with local factors of degree  $2n+1$ ) and  $\chi$  a Dirichlet character.

**Theorem** (the case of even genus  $n$  (Courtieu-A.P.), via the Rankin-Selberg method) gives a  $p$ -adic interpolation of the normalized critical values  $D^*(s, f, \chi)$  using Andrianov-Kalinin integral representation of these values  $1+n-k \leq s \leq k-n$  through the Petersson product  $\langle f, \theta_{T_0} \delta^r E \rangle$  where  $\delta^r$  is a certain composition of Maass-Shimura differential operators,  $\theta_{T_0}$  a theta-series of weight  $n/2$ , attached to a fixed  $n \times n$  matrix  $T_0$ .

**Theorem** (the case of odd genus (Boecherer-Schmidt) and Anh-Tuan Do (non-ordinary case, PhD Thesis of March 2014)), via the doubling method) uses Boecherer-Garrett-Shimura identity (a pull-back formula):

## A pull-back formula

allows to compute the critical values through certain double Petersson product by integrating over  $z \in \mathbb{H}_n$  the identity:

$$\Lambda(l + 2s, \chi) D(l + 2s - n, f, \chi) f = \langle f(w), E_{l, \nu, \chi, s}^{2n}(\text{diag}[z, w]) \rangle_w.$$

Here  $k = l + \nu$ ,  $\nu \geq 0$ ,  $\Lambda(l + 2s, \chi)$  is a product of special values of Dirichlet  $L$ -functions and  $\Gamma$ -functions,  $E_{l, \nu, \chi, s}^{2n}$  a higher twist of a Siegel-Eisenstein series on  $(z, w) \in \mathbb{H}_n \times \mathbb{H}_n$  (see [Boe85], [Boe-Schm]).

A  $p$ -adic construction uses congruences for the  $L$ -values, expressed through the Fourier coefficients of the Siegel modular forms and nearly-modular forms.

In the present approach of computing the Petersson products and  $L$ -values, an injection of algebraic nearly holomorphic modular forms into  $p$ -adic modular forms is used.

Applications to families of Siegel modular forms are constructed.

As a consequence, explicit two-parameter families are constructed.

A recent discovery by Takashi Ichikawa (Saga University), [Ich12], J. reine angew. Math., [Ich13]

allows to inject nearly-holomorphic arithmetical (vector valued) Siegel modular forms into  **$p$ -adic modular forms**.

Via the Fourier expansions, the image of this injection is represented by certain **quasimodular holomorphic forms** like

$$E_2 = 1 - 24 \sum_{n \geq 1} \sigma_1(n) q^n, \text{ with algebraic Fourier expansions.}$$

This description provides many advantages, both computational and theoretical, in the study of algebraic parts of Petersson products and  $L$ -values, which we would like to develop here.

This work is related to a recent preprint [BoeNa13] by S. Boecherer and Shoyu Nagaoka where it is shown that Siegel modular forms of level  $\Gamma_0(p^m)$  are  $p$ -adic modular forms. Moreover they show that derivatives of such Siegel modular forms are  $p$ -adic. Parts of these results are also valid for vector-valued modular forms.

## Arithmetical nearly-holomorphic Siegel modular forms

Nearly-holomorphic Siegel modular forms over a subfield  $k$  of  $\mathbb{C}$  are certain  $\mathbb{C}^d$ -valued smooth functions  $f$  of  $Z = X + \sqrt{-1}Y \in \mathbb{H}_n$  given by the following expression

$$f(Z) = \sum_T P_T(S) q^T,$$

where  $T$  runs through the set  $B_n$  of all half-integral semi-positive matrices,  $S = (4\pi Y)^{-1}$  a symmetric matrix,  $q^T = \exp(2\pi\sqrt{-1}\operatorname{tr}(TZ))$ ,  $P_T(S)$  are vectors of degree  $d$  whose entries are polynomials over  $k$  of the entries of  $S$ .

## Review of the algebraic theory

Following [Ha81], consider the columns  $Z_1, Z_2, \dots, Z_n$  of  $Z$  and the  $\mathbb{Z}$ -lattice  $L_Z$  in  $\mathbb{C}^n$  generated by  $\{E_1, \dots, E_n, Z_1, \dots, Z_n\}$ , where  $E_1, \dots, E_n$  are the columns of the identity matrix  $E$ . The torus  $\mathcal{A}_Z = \mathbb{C}^n / L_Z$  is an abelian variety, and there is an analytic family  $\mathcal{A} \rightarrow \mathbb{H}_n$  whose fiber over the point  $Z$  is  $\mathcal{A}_Z$ .

Let us consider the quotient space  $\mathbb{H}_n / \Gamma(N)$  of the Siegel upper half space  $\mathbb{H}_n$  of degree  $n$  by the integral symplectic group

$$\Gamma(N) = \left\{ \gamma = \begin{pmatrix} A_\gamma & B_\gamma \\ C_\gamma & D_\gamma \end{pmatrix} \mid \begin{array}{l} A_\gamma \equiv D_\gamma \equiv 1_n \\ B_\gamma \equiv C_\gamma \equiv 0_n \end{array} \right\}$$

If  $N > 3$ ,  $\Gamma(N)$  acts without fixed points on  $\mathcal{A} = \mathcal{A}_n$  and the quotient is a smooth algebraic family  $\mathcal{A}_{n,N}$  of abelian varieties with level  $N$  structure over the quasi-projective variety  $\mathcal{H}_{n,N}(\mathbb{C}) = \mathbb{H}_n / \Gamma(N)$  defined over  $\mathbb{Q}(\zeta_N)$ , where  $\zeta_N$  is a primitive  $N$ -th root of 1.

For positive integers  $n$  and  $N$ ,  $\mathcal{H}_{n,N}$  is the moduli space classifying principally polarized abelian schemes of relative dimension  $n$  with symplectic level  $N$  structure.



# De Rham and Hodge vector bundles

The fiber varieties  $\mathcal{A}$  and  $\mathcal{A}_{n,N}$  give rise to a series of vector bundles over  $\mathbb{H}_n$  and  $\mathcal{H}_{n,N}(\mathbb{C})$ .

## Notations

- ▶  $\mathcal{H}_{DR}^1(\mathcal{A}/\mathbb{H}_n)$  and  $\mathcal{H}_{DR}^1(\mathcal{A}_{n,N}/\mathcal{H}_{n,N})$   
the relative algebraic De Rham cohomology bundles of dimension  $2n$  over  $\mathbb{H}_n$  and  $\mathcal{H}_{n,N}$  respectively. Their fibers at  $Z \in \mathbb{H}_n$  are  $H^1 := \text{Hom}_{\mathbb{C}}(L_Z \otimes \mathbb{C}, \mathbb{C})$  generated by  $\alpha_j, \beta_j$ :

$$\alpha_i(\sum_j a_j E_j + b_j Z_j) = a_i, \quad \beta_i(\sum_j a_j E_j + b_j Z_j) = b_i \quad (i = 1, \dots, n).$$

- ▶  $\mathcal{H}_{\infty}^1$  the  $C^{\infty}$  vector bundle associated to  $\mathcal{H}_{DR}^1$  (over  $\mathbb{H}_n$  and  $\mathcal{H}_{n,N}$ ). It splits as a direct sum  $\mathcal{H}_{\infty}^1 = \mathcal{H}_{\infty}^{1,0} \oplus \mathcal{H}_{\infty}^{0,1}$  and induces the Hodge decomposition on the De Rham cohomology of each fiber.
- ▶ The summand  $\omega = \mathcal{H}_{\infty}^{1,0}$  is the bundle of relative 1-forms for either  $\mathcal{A}/\mathbb{H}_n$  or  $\mathcal{A}_{n,N}/\mathcal{H}_{n,N}$ . Let us denote by  $\pi : \mathcal{A}_{n,N} \rightarrow \mathcal{H}_{n,N}$  the universal abelian scheme with 0-section  $s$ , and by the Hodge bundle of rank  $n$  defined as

$$\mathbb{E} = \pi_*(\Omega_{\mathcal{A}_{n,N}/\mathcal{H}_{n,N}}^1) = s^*(\Omega_{\mathcal{A}_{n,N}/\mathcal{H}_{n,N}}^1)$$

- ▶ The bundle of holomorphic 1-forms on the base  $\mathbb{H}_n$  or on  $\mathcal{H}_{n,N}$ , is denoted  $\Omega$ .

# Algebraic Siegel modular forms

are defined as global sections of  $\mathbb{E}_\rho$ , the locally free sheaf on  $\mathcal{H}_{n,N} \otimes R$  obtained from twisting the Hodge bundle  $\mathbb{E}$  by  $\rho$ .

**Definition.** Let  $R$  be a  $\mathbb{Z}[1/N, \zeta_N]$ -algebra. For an algebra homomorphism  $\rho : \mathrm{GL}_n \rightarrow \mathrm{GL}_d$  over  $R$ , define **algebraic Siegel modular forms** over  $R$  as elements of  $\mathcal{M}_\rho(R) = H_0(\mathcal{H}_{n,N} \otimes R, \mathbb{E}_\rho)$ , called of weight  $\rho$ , degree  $n$ , level  $N$ .

If  $\rho = \det^{\otimes k} : \mathrm{GL}_n \rightarrow \mathbb{G}_m$ , then elements of  $\mathcal{M}_k(R) = \mathcal{M}_{\det^{\otimes k}}(R)$  are called of weight  $k$ . For  $R = \mathbb{C}$ , each  $Z \in \mathbb{H}_n$ , let  $\mathcal{A}_Z = \mathbb{C}^n / (\mathbb{Z}^n + \mathbb{Z}^n \cdot Z)$  be the corresponding abelian variety over  $\mathbb{C}$ , and  $(u_1, \dots, u_n)$  be the natural coordinates on the universal cover  $\mathbb{C}^n$  of  $\mathcal{A}_Z$ . Then  $\mathbb{E}$  is trivialized over  $\mathbb{H}_n$  by  $du_1, \dots, du_n$ , and  $f \in \mathcal{M}_\rho(\mathbb{C})$  is a complex analytic section of  $\mathbb{E}_\rho$  on  $\mathcal{H}_{n,N}(\mathbb{C}) = \mathbb{H}_n / \Gamma(N)$ . Hence, **an element  $f \in \mathcal{M}_\rho(\mathbb{C})$  is a  $\mathbb{C}^d$ -valued holomorphic function on  $\mathbb{H}_n$  satisfying the  $\rho$ -automorphic condition:**

$$f(Z) = \rho(C_\gamma Z + D_\gamma)^{-1} \cdot f(\gamma(Z)) \left( Z \in \mathbb{H}_n, \gamma = \begin{pmatrix} A_\gamma & B_\gamma \\ C_\gamma & D_\gamma \end{pmatrix} \right),$$

because  $\mathcal{A}_Z \xrightarrow{\sim} \mathcal{A}_{\gamma(Z)}; {}^t(u_1, \dots, u_n) \mapsto (CZ + D)^{-1} \cdot {}^t(u_1, \dots, u_n)$ , and  $\gamma$  acts equivariantly on the trivialization of  $\mathbb{E}$  over  $\mathbb{H}_n$  as the left multiplication by  $(CZ + D)^{-1}$ .

## Algebraic Fourier expansion

can be defined algebraically using an algebraic **test object** over the ring  $\mathcal{R}_n = \mathbb{Z}[[q_{11}, \dots, q_{nn}]] [q_{ij}, q_{ij}^{-1}]_{i,j=1, \dots, n}$ , where  $q_{i,j} (1 \leq i, j \leq n)$  are variables with symmetry  $q_{i,j} = q_{j,i}$ .

Mumford constructs in [Mu72] an object represented over  $\mathcal{R}_n$  as

$$(\mathbb{G}_m)^n / \langle (q_{i,j})_{i=1, \dots, n} \mid 1 \leq j \leq n \rangle, (\mathbb{G}_m)^n = \text{Spec}(\mathbb{Z}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]).$$

For the level  $N$ , at each 0-dimensional cusp  $c$  on  $\mathcal{H}_{n,N}^*$  (Satake's minimal compactification of  $\mathcal{H}_{n,N}$ ), this construction gives an abelian variety **over the formal power series ring**

$$\mathcal{R}_{n,N} = \mathbb{Z}[1/N, \zeta_N] [[q_{11}^{1/N}, \dots, q_{nn}^{1/N}] [q_{ij}^{\pm 1/N}]_{i,j=1, \dots, n}.$$

with a symplectic level  $N$  structure, and  $\omega_i = dx_i/x_i$  ( $1 \leq i \leq n$ ) form a basis of regular 1-forms.

We may view algebraically Siegel modular forms as certain sections of vector bundles over  $\mathcal{H}_{n,N}$ . Using the morphism

$\text{Spec}(\mathcal{R}_{n,N}) \rightarrow \mathcal{H}_{n,N}$ ,  **$\mathbb{E}$  becomes**  $(\mathcal{R}_{n,N} \otimes R)^n$  in the basis  $\omega_i = dx_i/x_i$  ( $1 \leq i \leq n$ ) of regular 1-forms.

## Fourier expansion map and $q$ -expansion principle

For an algebraic representation  $\rho : \mathrm{GL}_n \rightarrow \mathrm{GL}_d$ ,  $\mathbb{E}_\rho$  becomes in the above basis  $\omega_i$


$$\mathbb{E}_\rho \times_{\mathcal{H}_{n,N} \otimes R} \mathrm{Spec}(\mathcal{R}_{n,N} \otimes R) = (\mathcal{R}_{n,N} \otimes R)^d.$$

For an  $R$ -module  $M$ , the space of Siegel modular forms with coefficients in  $M$  of weight  $\rho$  is defined as

$\mathcal{M}_\rho(M) = H^0(\mathcal{H}_{n,N} \otimes R, \mathbb{E}_\rho \otimes_R M)$ . Then the evaluation on Mumford's abelian scheme gives a homomorphism

$$F_c : \mathcal{M}_\rho(M) \rightarrow (\mathcal{R}_{n,N} \otimes_{\mathbb{Z}[1/N, \zeta_N]} M)^d$$

which is called the Fourier expansion map associated with  $c$ . According to [Ich13], Theorem 2,  $F_c$  satisfies the following  $q$ -expansion principle:

If  $M'$  is a sub  $R$ -module of  $M$  and  $f \in \mathcal{M}_\rho(M)$  satisfies that  $F_c(f) \in (\mathcal{R}_{n,N} \otimes_{\mathbb{Z}[1/N, \zeta_N]} M')^d$ , then  $f \in \mathcal{M}_\rho(M')$ . 

# Algebraic nearly holomorphic forms as formal Fourier expansions over a commutative ring $A$

Algebraically we use the notation

$$q^T = \prod_{i=1}^n q_{ii}^{T_{ii}} \prod_{i < j} q_{ij}^{2T_{ij}} \in A[[q_{11}, \dots, q_{nn}]] [q_{ij}, q_{ij}^{-1}]_{i,j=1, \dots, n}$$

(with  $q^T = \exp(2\pi i \operatorname{tr}(TZ))$ ,  $q_{ij} = \exp(2\pi(\sqrt{-1}Z_{i,j}))$  for  $A = \mathbb{C}$ ).

The elements  $q^T$  form a multiplicative semi-group so that  $q^{T_1} \cdot q^{T_2} = q^{T_1+T_2}$ , and one may consider  $f$  as a formal  $q$ -expansion over an arbitrary ring  $A$  via elements of the semi-group algebra  $A[[q^{B_n}]]$ .

**Algebraic definition of arithmetical nearly holomorphic forms, see [Sh00]**  $f \in S_e(\operatorname{Sym}^2(A^n), A[[q^{B_n}]]^d)$ , where  $S_e$  denotes the  $A$ -polynomial mappings of degree  $e$  on symmetric matrices  $S \in \operatorname{Sym}^2(A^n)$  of order  $n$  with vector values in  $A[[q^{B_n}]]^d$ .

Notation:  $f = \sum_T a_T(S) q^T \in \mathcal{N}(A)$

**General quasimodular forms.** For all  $f = \sum_T a_T(S) q^T \in \mathcal{N}(A)$  define general quasimodular forms as elements of the form

$$\kappa(f) = \sum_T a_T(0) q^T = f|_{S=0}.$$

Notation:  $\kappa(f) \in \mathcal{M}^\sharp(A)$

## Computing the Petersson products

The Petersson product of a given modular form

$f(Z) = \sum_T a_T q^T \in \mathcal{M} \subset \mathcal{M}_\rho(\bar{\mathbb{Q}})$  by another modular form

$h(Z) = \sum_T b_T q^T \in \mathcal{M} \subset \mathcal{M}_{\rho^*}(\bar{\mathbb{Q}})$  produces a linear form

$$\ell_f : h \mapsto \frac{\langle f, h \rangle}{\langle f, f \rangle}$$

defined over a subfield  $k \subset \bar{\mathbb{Q}}$ . Thus  $\ell_f$  can be expressed through the Fourier coefficients of  $h$  in the case when there is a finite basis of the dual space consisting of certain Fourier coefficients:

$$\ell_{T_i} : h \mapsto b_{T_i} \quad (i = 1, \dots, n).$$

It follows that  $\ell_f(h) = \sum_i \gamma_i b_{T_i}$ , where  $\gamma_i \in k$ .

# Applications to constructions of $p$ -adic $L$ -functions

There exist two kinds of  $L$ -functions

- ▶ Complex  $L$ -functions (Euler products) on  $\mathbb{C} = \text{Hom}(\mathbb{R}_+^*, \mathbb{C}^*)$ .
- ▶  $p$ -adic  $L$ -functions on the  $\mathbb{C}_p$ -analytic group  $\text{Hom}_{\text{cont}}(\mathbb{Z}_p^*, \mathbb{C}_p^*)$  (Mellin transforms  $L_\mu$  of  $p$ -adic measures  $\mu$  on  $\mathbb{Z}_p^*$ ).

Both are used in order to obtain a number ( $L$ -value) from an automorphic form. Such a number can be algebraic (after normalization) via the embeddings,

$$\overline{\mathbb{Q}} \hookrightarrow \mathbb{C}, \quad \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}_p = \widehat{\overline{\mathbb{Q}}}_p.$$

and we may compare the complex and  $p$ -adic  $L$ -values at many points **How to define and to compute  $p$ -adic  $L$ -functions?**

The Mellin transform of a  $p$ -adic distribution  $\mu$  on  $\mathbb{Z}_p^*$  gives an analytic function on the group of  $p$ -adic characters

$$x \mapsto L_\mu(x) = \int_{\mathbb{Z}_p^*} x(y) d\mu, \quad x \in X_{\mathbb{Z}_p^*} = \text{Hom}_{\text{cont}}(\mathbb{Z}_p^*, \mathbb{C}_p^*).$$

A general idea is to construct  $p$ -adic measures **directly from Fourier coefficients** of modular forms proving Kummer-type congruences for  $L$ -values. **Here we present a new method to construct  $p$ -adic  $L$ -functions via quasimodular forms:**

# How to prove Kummer-type congruences using the Fourier coefficients?

Suppose that we are given some  $L$ -function  $L_f^*(s, \chi)$  attached to a Siegel modular form  $f$  and assume that for infinitely many "critical pairs"  $(s_j, \chi_j)$  one has an integral representation

$L_f^*(s_j, \chi_j) = \langle f, h_j \rangle$  with all  $h_j = \sum_T b_{j,T} q^T \in \mathcal{M}$  in a certain finite-dimensional space  $\mathcal{M}$  containing  $f$  and defined over  $\bar{\mathbb{Q}}$ .

We want to prove the following **Kummer-type congruences**:

$$\forall x \in \mathbb{Z}_p^* \sum_j \beta_j \chi_j x^{k_j} \equiv 0 \pmod{p^N} \implies \sum_j \beta_j \frac{L_f^*(s_j, \chi_j)}{\langle f, f \rangle} \equiv 0 \pmod{p^N}.$$

for any choice of  $\beta_j \in \bar{\mathbb{Q}}$ ,  $k_j = \begin{cases} s_j - s_0 & \text{if } s_0 = \min_j s_j \text{ or} \\ k_j = s_0 - s_j & \text{if } s_0 = \max_j s_j. \end{cases}$

Using the above expression for  $\ell_f(h_j) = \sum_i \gamma_{i,j} b_{j,T_i}$ , the above congruences reduce to

$$\sum_{i,j} \gamma_{i,j} \beta_j b_{j,T_i} \equiv 0 \pmod{p^N}.$$



## Reduction to a finite dimensional case

In order to prove the congruences

$$\sum_{i,j} \gamma_{i,j} \beta_j b_{j,T_i} \equiv 0 \pmod{p^N}.$$

in general we use the functions  $h_j$  which belong only to a certain infinite dimensional  $\overline{\mathbb{Q}}$ -vector space  $\mathcal{M} = \mathcal{M}(\overline{\mathbb{Q}})$

$$\mathcal{M}(\overline{\mathbb{Q}}) := \bigcup_{m \geq 0} \mathcal{M}_{\rho^*}(Np^m, \overline{\mathbb{Q}}).$$

Starting from the functions  $h_j$ , we use their characteristic projection  $\pi = \pi^\alpha$  on the characteristic subspace  $\mathcal{M}^\alpha$  (of generalized eigenvectors) associated to a non-zero eigenvalue  $\alpha$  Atkin's  $U$ -operator on  $f$  which turns out to be of fixed finite dimension so that for all  $j$ ,  $\pi^\alpha(h_j) \in \mathcal{M}^\alpha$ .

# From holomorphic to nearly holomorphic and $p$ -adic modular forms

Next we explain, how to treat the functions  $h_j$  which belong to a certain infinite dimensional  $\overline{\mathbb{Q}}$ -vector space  $\mathcal{N} \subset \mathcal{N}_p(\overline{\mathbb{Q}})$  (of *nearly holomorphic modular forms*).

Usually,  $h_j$  can be expressed through the functions  $\delta^{kj}(\varphi_0(\chi_j))$  for a certain non-negative power  $k_j$  of the Maass-Shimura-type differential operator applied to a holomorphic form  $\varphi_0(\chi_j)$ .

Then the idea is to proceed in two steps:

1) to pass from the infinite dimensional  $\overline{\mathbb{Q}}$ -vector space  $\mathcal{N} = \mathcal{N}(\overline{\mathbb{Q}})$  of *nearly holomorphic modular forms*,

$$\mathcal{N}(\overline{\mathbb{Q}}) := \bigcup_{m \geq 0} \mathcal{N}_{k,r}(Np^m, \overline{\mathbb{Q}}) \text{ (of the depth } r).$$

to a fixed finite dimensional characteristic subspace  $\mathcal{N}^\alpha \subset \mathcal{N}(Np)$  of  $U_p$  in the same way as for the holomorphic forms.

This step controls *Petersson products* using conjugate  $f^0$  of an eigenfunction  $f_0$  of  $U(p)$ :

$$\langle f^0, h \rangle = \alpha^{-m} \langle f^0, |U(p)^m h \rangle = \langle f^0, \pi^\alpha(h) \rangle.$$

## From holomorphic to nearly holomorphic and $p$ -adic modular forms (continued)

2) To apply Ichikawa's mapping  $\iota_p : \mathcal{N}(Np) \rightarrow \mathcal{M}_p(Np)$  to a certain space  $\mathcal{M}_p(Np)$  of  $p$ -adic Siegel modular forms. Assume algebraically,

$$h_j = \sum_T b_{j,T}(S) q^T \mapsto \kappa(h_j) = \sum_T b_{j,T}(0) q^T,$$

which is also a certain Siegel quasi-modular form. Under this mapping, computation become much easier, as the action of  $\delta^{kj}$  becomes simply a  $k_j$ -power of the Ramanujan  $\Theta$ -operator

$$\Theta : \sum_T b_T q^T \mapsto \sum_T \det(T) b_T q^T,$$

in the scalar-valued case. In the vector-valued case such operators were studied in [BoeNa13].

After this step, proving the Kummer-type congruences reduces to those for the Fourier coefficients the quasimodular forms  $\kappa(h_j(\chi_j))$  which can be explicitly evaluated using the  $\Theta$ -operator.

## Computing with Siegel modular forms over a ring $A$

There are several types of Siegel modular forms (vector-valued, nearly-holomorphic, quasi-modular,  $p$ -adic). Consider modular forms over a ring  $A = \mathbb{C}, \mathbb{C}_p, \Lambda = \mathbb{Z}_p[[T]], \dots$  as certain formal Fourier expansions over  $A$ .

Let us fix the congruence subgroup  $\Gamma$  of a nearly holomorphic modular form  $f \in \mathcal{N}_\rho$  and its depth  $r$  as the maximal  $S$ -degree of the polynomial Fourier coefficients  $a_T(S)$  of a nearly holomorphic form

$$f = \sum_T a_T(S) q^T \in \mathcal{N}_\rho(A),$$

over  $R$ , and denote by  $\mathcal{N}_{\rho,r}(\Gamma, A)$  the  $A$ -module of all such forms. This module is often locally-free of finite rank, that is, it becomes a finite-dimensional  $F$ -vector space over the fraction field  $F = \text{Frac}(A)$ .

# Types of modular forms

- ▶  $\mathcal{M}_\rho$  (holomorphic vector-valued Siegel modular forms attached to an algebraic representation  $\rho : \mathrm{GL}_n \rightarrow \mathrm{GL}_d$ )
- ▶  $\mathcal{N}_\rho$  (nearly holomorphic vector-valued Siegel modular forms attached to  $\rho$  over a number field  $k \subset \bar{\mathbb{Q}} \hookrightarrow \mathbb{C}$ )
- ▶  $\mathcal{M}_\rho^\sharp$  (quasi-modular vector-valued forms attached to  $\rho$ )
- ▶  $\mathcal{M}_\rho^b$  (algebraic  $p$ -adic vector-valued forms attached to  $\rho$  over a number field  $k \subset \bar{\mathbb{Q}} \hookrightarrow \mathbb{C}_p$ )

Definitions and interrelations:

- ▶  $\mathcal{M}_{\rho,r}^\sharp = \kappa(\mathcal{N}_\rho) \subset \mathcal{R}_{n,\infty}^d$ , where  $\kappa : f \mapsto f|_{S=0} = \sum_T P_T(0) q^T$ , where  $\mathcal{R}_{n,\infty} = \mathbb{C}[[q_{11}, \dots, q_{nn}]] [q_{ij}, q_{ij}^{-1}]_{i,j=1, \dots, n}$ .
- ▶  $\mathcal{M}_{\rho,r}^b(R, \Gamma) = F_c(\iota_p(\mathcal{N}_{\rho,r}(R, \Gamma))) \subset \mathcal{R}_{n,p}^d$ , where  $\mathcal{R}_{n,p} = \mathbb{C}_p[[q_{11}, \dots, q_{nn}]] [q_{ij}, q_{ij}^{-1}]_{i,j=1, \dots, n}$ .

Let us fix the level  $\Gamma$ , the depth  $r$ , and a subring  $R$  of  $\bar{\mathbb{Q}}$ , then all the  $R$ -modules  $\mathcal{M}_\rho(R, \Gamma)$ ,  $\mathcal{N}_{\rho,r}(R, \Gamma)$ ,  $\mathcal{M}_{\rho,r}^\sharp(R, \Gamma)$ ,  $\mathcal{M}_{\rho,r}^b(R, \Gamma)$  are then locally free of finite rank.

In interesting cases, there is an inclusion  $\mathcal{M}_{\rho,r}^\sharp(R, \Gamma) \hookrightarrow \mathcal{M}_{\rho,r}^b(R, \Gamma)$ . If  $\Gamma = \mathrm{SL}_2(\mathbb{Z})$ ,  $k = 2$ ,  $P = E_2$  is a  $p$ -adic modular form, see [Se73], p.211.

**Question:** Prove it in general! (after discussions with S.Boecherer and T.Ichikawa)

# Computing with families of Siegel modular forms

Let  $\Lambda = \mathbb{Z}_p[[T]]$  be the Iwasawa algebra, and consider Serre's ring

$$\mathcal{R}_{n,\Lambda} = \Lambda[[q_{11}, \dots, q_{nn}]] [q_{ij}^{\pm 1}]_{i,j=1, \dots, n}.$$

For any pair  $(k, \chi)$  as above consider the homomorphisms:

$$\kappa_{k,\chi} : \Lambda \rightarrow \mathbb{C}_p, \mathcal{R}_{n,\Lambda}^d \mapsto \mathcal{R}_{n,\mathbb{C}_p}^d, \text{ where } T \mapsto \chi(1+p)(1+p)^k - 1.$$

## Definition (families of Siegel modular forms)

Let  $\mathbf{f} \in \mathcal{R}_{n,\Lambda}^d$  such that for infinitely many pairs  $(k, \chi)$  as above,

$$\kappa_{k,\chi}(\mathbf{f}) \in \mathcal{M}_{\rho_k}((i_p(\bar{\mathbb{Q}}))) \xrightarrow{F_c} \mathcal{R}_{n,\mathbb{C}_p}^d$$

is the Fourier expansion at  $c$  of a Siegel modular form over  $\bar{\mathbb{Q}}$ .

All such  $\mathbf{f}$  generate the  $\Lambda$ -submodule  $\mathcal{M}_{\rho_k}(\Lambda) \subset \mathcal{R}_{n,\Lambda}^d$  of  $\Lambda$ -adic Siegel modular forms of weight  $\rho$ .

In the same way, the  $\Lambda$ -submodule  $\mathcal{M}_{\rho_k}^\sharp(\Lambda) \subset \mathcal{R}_{n,\Lambda}$  of  $\Lambda$ -adic Siegel quasi-modular forms is defined.

## Examples of families of Siegel modular forms

can be constructed via differential operators of **Maass**

$\Delta = \det(\frac{1+\delta_{ij}}{2} \frac{\partial}{\partial z_{ij}})$ , so that  $\Delta q^T = \det(T) q^T$ . **Shimura's operator**

$\delta_k f(Z) = (-4\pi)^{-n} \det(Z - \bar{Z})^{\frac{1+n}{2}-k} \Delta(\det(Z - \bar{Z})^{k-\frac{1+n}{2}+1} f(Z)$   
acts on  $q^T$  using  $\rho_r : \mathrm{GL}_n(\mathbb{C}) \rightarrow \mathrm{GL}(\wedge^r \mathbb{C}^n)$  and its adjoint  $\rho_r^*$ :

$$\delta_k(q^T) = \sum_{l=0}^n (-1)^{n-l} c_{n-l}(k+1 - \frac{1+n}{2}) \mathrm{tr}({}^t \rho_{n-l}(S) \rho_l^*(T)) q^T,$$

where  $c_{n-l}(s) = s(s - \frac{1}{2}) \cdots (s - \frac{n-l-1}{2})$ ,  $S = (2\pi i(\bar{z} - z))^{-1}$ .

- Nearly holomorphic  $\Lambda$ -adic Siegel-Eisenstein series as in [PaSE] can be produced from the pairs  $(-s, \chi)$ : if  $s$  is a nonpositive integer such that  $k + 2s > n + 1$ ,

$$E_k(Z, s, \chi) = \prod_{i=0}^{-s-1} c_n(k + 2s + 2i)^{-1} \delta_{k+2s}^{(-s)}(E_{k+2s}(Z, 0, \chi)).$$

## Examples of families of Siegel modular forms (continued)

- ▶ Ichikawa's construction: quasi-holomorphic (and  $p$ -adic) Siegel - Eisenstein series obtained in [Ich13] using the injection  $\iota_p$

$$\iota_p(\pi^{ns} E_k(Z, s, \chi)) = \prod_{i=0}^{-s-1} c_n(k+2s+2i)^{-1} \sum_T \det(T)^{-s} b_{k+2s}(T) q^T,$$

where

$$E_{k+2s}(Z, 0, \chi) = \sum_T b_{k+2s}(T) q^T, k+2s > n+1, s \in \mathbb{Z}.$$

- ▶ A two-variable family is for the parameters  $(k+2s, s)$ ,  $k+2s > n+1, s \in \mathbb{Z}$  will be now constructed.



## Normalized Siegel-Eisenstein series of two variables

Let us start with an explicit family described in [Ike01], [PaSE], [Pa91] as follows

$$\mathcal{E}_k^n = E_k^n(z) 2^{n/2} \zeta(1-k) \prod_{i=1}^{[n/2]} \zeta(1-2k+2i) = \sum_T a_T(\mathcal{E}_k^n) q^T,$$

where for any non-degenerate matrix  $T$  of quadratic character  $\psi_T$ :

$$a_T(\mathcal{E}_k^n) = 2^{-\frac{n}{2}} \det T^{k-\frac{n+1}{2}} M_T(k) \times \begin{cases} L(1-k+\frac{n}{2}, \psi_T) C_T^{\frac{n}{2}-k+(1/2)}, & n \text{ even,} \\ 1, & n \text{ odd,} \end{cases}$$

( $C_T = \text{cond}(\psi_T)$ ,  $M_T(k)$  a finite Euler product over  $\ell \mid \det(2T)$ ).

Starting from the holomorphic series of weight  $k > n+1$  and  $s=0$ , let us move to all points  $(k+2s, s)$ ,  $k+2s > n+1$ ,  $s \in \mathbb{Z}$ ,  $s \leq 0$ .

Then Ichikawa's construction is applicable and it provides a two-variable family.

## Examples of families of Siegel modular forms (continued)

- ▶ Ikeda-type families of cusp forms of even genus [Palsr11] (reported in Luminy, May 2011). Start from a  $p$ -adic family






$$\varphi = \{\varphi_{2k}\} : 2k \mapsto \varphi_{2k} = \sum_{n=1}^{\infty} a_n(2k)q^n \in \overline{\mathbb{Q}}[[q]] \subset \mathbb{C}_p[[q]],$$

where the Fourier coefficients  $a_n(2k)$  of the normalized cusp Hecke eigenform  $\varphi_{2k}$  and one of the Satake  $p$ -parameters  $\alpha(2k) := \alpha_p(2k)$  are given by certain  $p$ -adic analytic functions  $k \mapsto a_n(2k)$  for  $(n, p) = 1$ . The Fourier expansions of the modular forms  $F = F_{2n}(\varphi_{2k})$  can be explicitly evaluated where  $L(F_{2n}(\varphi), St, s) = \zeta(s) \prod_{i=1}^{2n} L(\varphi, s + k + n - i)$ . This sequence provide an example of a  $p$ -adic family of Siegel modular forms.

- ▶ Ikeda-Myawaki-type families of cusp forms of  $n = 3$ , [Palsr11] (reported in Luminy, May 2011).
- ▶ Families of Klingen-Eisenstein series extended in [JA13] from  $n = 2$  to a general case (reported in Journées Arithmétiques, Grenoble, July 2013).

Thank you!

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