# Random repeated interaction quantum systems

#### Collaboration with A. Joye and M. Merkli

#### L. Bruneau

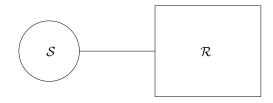
Univ. Cergy-Pontoise

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## Open Systems

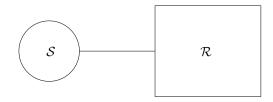
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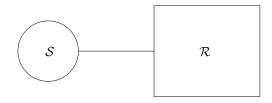
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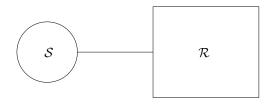
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2 approaches: Hamiltonian / Markovian

- Hamiltonian: full description, spectral analysis, scattering theory.
- Markovian: effective description of S, obtained by weak-coupling type limits or if S undergoes stochastic forces (Langevin equation).

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  - Quantum system governed by some hamiltonian  $H_S$  acting on  $H_S$ .

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Interactions:

• Interaction operators  $V_k$  acting on  $\mathcal{H}_S \otimes \mathcal{H}_{\mathcal{E}_k}$ .

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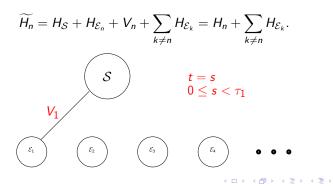
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$$\widetilde{H_n} = H_{\mathcal{S}} + H_{\mathcal{E}_n} + V_n + \sum_{k \neq n} H_{\mathcal{E}_k} = H_n + \sum_{k \neq n} H_{\mathcal{E}_k}.$$

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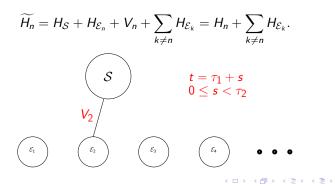
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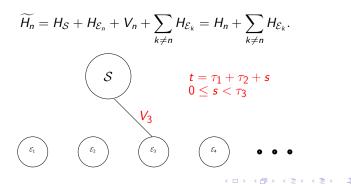
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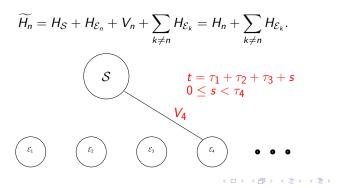
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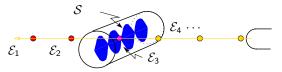
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## Motivation

Physics: One-atom maser (Walther et al '85, Haroche et al '92)



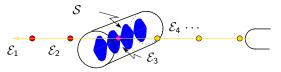
- $\mathcal{S}=$  one mode of the electromagnetic field in a cavity.
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ideal RIQS as simple models (Vogel et al '93, Wellens et al '00) random RIQS: some fluctuation in the various parameters (temperature, interaction time, etc).

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#### The repeated interaction dynamics.

Data:

• Full Hamiltonian:  $H_n = H_S \otimes \mathbb{1}_{\mathcal{E}_n} + \mathbb{1}_S \otimes H_{\mathcal{E}_n} + V_n$ .

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- Initial state of *E<sub>n</sub>*: *ρ<sub>E<sub>n</sub></sub>* = invariant state for the free dynamics of *E<sub>n</sub>*, e.g. Gibbs state at some inverse temperature *β<sub>n</sub>*.

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After 1 interaction, the state of the total system is

$$\rho_1^{\text{tot}} := \qquad \qquad e^{-i\tau_1 H_1} \left( \rho \otimes \bigotimes_{k \ge 1} \rho_{\mathcal{E}_k} \right) \, e^{i\tau_1 H_1}$$

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After 2 interactions, the state of the total system is

$$\rho_2^{\text{tot}} := e^{-i\tau_2 H_2} e^{-i\tau_1 H_1} \left( \rho \otimes \bigotimes_{k \ge 1} \rho_{\mathcal{E}_k} \right) e^{i\tau_1 H_1} e^{i\tau_2 H_2}$$

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After n interactions, the state of the total system is

$$\rho_n^{\text{tot}} := \mathrm{e}^{-i\tau_n H_n} \cdots \mathrm{e}^{-i\tau_2 H_2} \mathrm{e}^{-i\tau_1 H_1} \left( \rho \otimes \bigotimes_{k \ge 1} \rho_{\mathcal{E}_k} \right) \, \mathrm{e}^{i\tau_1 H_1} \mathrm{e}^{i\tau_2 H_2} \cdots \mathrm{e}^{i\tau_n H_n}.$$

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### Some questions about RIQS

#### Long time behaviour:

• Existence of the limit  $\lim_{n \to +\infty} \operatorname{Tr}(\rho_n^{\mathrm{tot}}(A_{\mathcal{S}} \otimes \mathbb{1})) = \rho_+(A_{\mathcal{S}})?$ 

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If  ${\mathcal S}$  is in the state  $\rho$  before the n-th interaction, right after it it is in the state

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$$\forall n, \quad \rho_n = \mathcal{L}_n(\rho_{n-1}).$$

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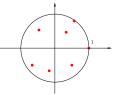
$$\forall n, \quad \rho_n = \mathcal{L}_n(\rho_{n-1}).$$

 $\implies$  We shall understand  $\mathcal{L}_n \circ \cdots \circ \mathcal{L}_1$  as  $n \to \infty$ .

## Spectrum of a RDM

The  $\mathcal{L}_n$  are completely positive and trace preserving maps on  $\mathcal{J}_1(\mathcal{H}_S)$ . General case:

 $\operatorname{Spec}(\mathcal{L}_n) \subset \{z \in \mathbb{C} \mid |z| \leq 1\},\ 1 \text{ is an eigenvalue.}$ 



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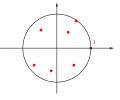
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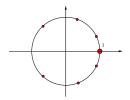
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m Spec}({\mathcal L}_n)\subset\{z\in{\mathbb C}\,|\,|z|\leq1\},\ 1\ ext{is an eigenvalue}.$$

Uncoupled case:

If 
$$V_n = 0$$
,  $\mathcal{L}_n(\cdot) = e^{-i\tau_n H_S} \cdot e^{i\tau_n H_S}$ ,  
 $\Rightarrow \operatorname{Spec}(\mathcal{L}_n) = \{ e^{i\tau_n (\lambda_k - \lambda_l)} \}, \lambda_k \in \operatorname{Spec}(\mathcal{H}_S),$   
1 is degenerate (dim( $\mathcal{H}_S$ ) times).



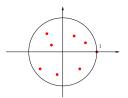


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## Ideal RIQS: $\mathcal{L}_n \equiv \mathcal{L}$

#### Assumption (E):

Spec $(\mathcal{L}_n) \cap \{z \in \mathbb{C} \mid |z| = 1\} = \{1\}, 1$  is a simple eigenvalue.



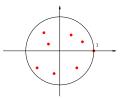
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#### Theorem

If (E) is satisfied, there exist  $C, \alpha > 0$  s.t. for any initial state  $\rho$ 

$$\|\mathcal{L}^n(\rho) - \rho_+\|_1 \le C \mathrm{e}^{-\alpha n}, \qquad \forall n \in \mathbb{N},$$

where  $\rho_+$  is the (unique) invariant state of  $\mathcal{L}$ .

Note that  $\rho_+$  does not depend on the initial state of S.

• S and  $\mathcal{E}_n$  are 2-level systems, i.e.  $\mathcal{H}_S = \mathcal{H}_{\mathcal{E}_n} \equiv \mathcal{H}_{\mathcal{E}} = \mathbb{C}^2$ , with energy levels  $\{0, E_S\}$ , resp.  $\{0, E_{\mathcal{E}}\}$ , i.e.  $H_{\#} = \begin{pmatrix} 0 & 0 \\ 0 & E_{\#} \end{pmatrix}$ .

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- $V_n = \lambda (a_S \otimes a_n^* + a_S^* \otimes a_n)$  where  $a_{\#} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ .
- $\rho_{\mathcal{E}_n}$  is a Gibbs state, i.e.  $\rho_{\mathcal{E}_n} = \rho_{\beta_n,\mathcal{E}} := e^{-\beta_n H_{\mathcal{E}}} / \text{Tr}(e^{-\beta_n H_{\mathcal{E}}})$  with  $\beta_n \equiv \beta$ .

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Explicit computation:  $\mathcal{L}$  satisfies (E) iff  $\tau \notin T\mathbb{N}$  with  $T = 2\pi/\sqrt{(E_{\mathcal{S}} - E_{\mathcal{E}})^2 + 4\lambda^2}$  (non-resonance condition).

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#### Proposition

If  $\tau \notin T\mathbb{N}$ ,  $\lim_{n\to\infty} \operatorname{Tr}(\rho_n A_S) = \rho_{\beta^*,S}(A_S)$  (exponentially fast) where  $\beta^* = \beta E_{\mathcal{E}} / E_S$ .

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## Random RIQS: $\mathcal{L} = \mathcal{L}(\omega)$

Fluctuations w.r.t. ideal situation:  $\mathcal{L} = \mathcal{L}(\omega_0)$  random variable with values in RDM (CP, trace preserving maps on  $\mathcal{H}_S$ ) over a probability space  $(\Omega_0, \mathcal{F}, p)$ .

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Product of i.i.d. RDMs:  $\Omega = \Omega_0^{\mathbb{N}^*}$ ,  $d\mathbb{P} = \prod_{n \ge 1} dp$  and  $\omega = (\omega_n)_{n \ge 1}$ .  $\Rightarrow$  Understand  $\Phi(n, \omega) = \mathcal{L}(\omega_n) \circ \cdots \circ \mathcal{L}(\omega_1)$ .

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 $\Rightarrow$  For any *n*,  $\rho_n^{\omega} = (\Phi(n, \omega))(\rho) = \rho_+(\omega_n).$ 

Consequence: unless  $\rho_+(\omega_0) \equiv \rho_+$ , no convergence in the usual sense (local fluctuations), but in the ergodic mean

$$\lim_{N\to\infty}\frac{1}{N}\sum_{n=1}^{N}\rho_{n}^{\omega}=\mathbb{E}(\rho_{+}),\quad a.e.\ \omega.$$

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# Random RIQS: $\mathcal{L} = \mathcal{L}(\omega)$

#### Theorem

If  $p(\mathcal{L}(\omega_0) \text{ satisfies } (E)) > 0$ , then

•  $\mathbb{E}(\mathcal{L})$  satisfies (E),

• For any 
$$\rho \in \mathcal{J}_1(\mathcal{H}_S)$$
,  $\lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} (\Phi(n, \omega))(\rho) = \rho_+$ , a.e.  $\omega \in \Omega$ ,  
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#### Theorem

If  $p(\mathcal{L}(\omega_0) \text{ satisfies } (E)) > 0$  and there exists  $\rho_+$  s.t.  $\mathcal{L}(\omega_0)(\rho_+) = \rho_+$  for a.e.  $\omega_0$ , i.e. there is a deterministic invariant state, then

- $\mathbb{E}(\mathcal{L})$  satisfies (E),
- There exists α > 0 s.t. for any ρ ∈ J<sub>1</sub>(H<sub>S</sub>) and for a.e. ω ∈ Ω, there exists C(ω) > 0

 $\|(\Phi(n,\omega))(\rho)-\rho_+\|_1 \leq C(\omega)e^{-\alpha n}, \quad \forall n \in \mathbb{N}.$ 

Recall:

- $\mathcal{L}$  satisfies (E) iff  $\tau \notin T\mathbb{N}$  with  $T = 2\pi/\sqrt{(E_S E_{\mathcal{E}})^2 + 4\lambda^2}$ ,
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We consider 2 situations:

- the interaction time is random:  $\tau_n = \tau(\omega_n)$ ,
- **2** the temperature of the  $\mathcal{E}_n$  is random:  $\beta_n = \beta(\omega_n)$ .

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1) Suppose  $\beta_n \equiv \beta$  and  $\tau(\omega_0) > 0$  is a random variable satisfying  $p(\tau(\omega_0) \notin T\mathbb{N}) > 0$ . Then there exists  $\alpha > 0$  s.t. for any  $\rho \in \mathcal{J}_1(\mathcal{H}_S)$  and for a.e.  $\omega \in \Omega$ , there exists  $C(\omega) > 0$ 

$$\|\rho_n^{\omega}-\rho_{\beta^*,\mathcal{S}}\|_1\leq C(\omega)\mathrm{e}^{-\alpha n},\quad\forall n\in\mathbb{N}.$$

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2) Suppose  $\tau_n \equiv \tau \notin T\mathbb{N}$  and  $\beta(\omega)$  is a random variable. Then for any  $\rho \in \mathcal{J}_1(\mathcal{H}_S)$ ,

$$\lim_{N\to\infty}\sum_{n=1}^N\rho_n^{\omega}=\mathbb{E}(\rho_{\beta^*(\omega),\mathcal{S}}).$$

During the *n*-th interaction the energy is constant, formally given by

$$\operatorname{Tr}\left(\rho_{n-1}^{\operatorname{tot}}H_{n}\right)=\operatorname{Tr}\left(\rho_{n}^{\operatorname{tot}}H_{n}\right).$$

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When one switches from interaction n to interaction n + 1, there is an energy jump:

$$\delta E_n = \operatorname{Tr} \left( \rho_n^{\operatorname{tot}} H_{n+1} \right) - \operatorname{Tr} \left( \rho_n^{\operatorname{tot}} H_n \right)$$

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In the ideal case, this rewrites

$$\delta E_n = \operatorname{Tr}_{\mathcal{S},\mathcal{E}} \left( (\mathcal{L}^n(\rho) \otimes \rho_{\mathcal{E}}) V \right) - \operatorname{Tr}_{\mathcal{S},\mathcal{E}} \left( (\mathcal{L}^{n-1}(\rho) \otimes \rho_{\mathcal{E}}) (\mathrm{e}^{i\tau H} V \mathrm{e}^{-i\tau H}) \right).$$

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In the ideal case, one easily gets

#### Proposition

If Assumption (E) is satisfied,

$$\mathrm{d} E_{+} := \lim_{n \to \infty} \delta E_{n} = \mathrm{Tr}_{\mathcal{S}, \mathcal{E}} \left( \rho_{+} \otimes \rho_{\mathcal{E}} \left( V - \mathrm{e}^{i\tau H} V \mathrm{e}^{-i\tau H} \right) \right)$$

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In the random case we have, using

$$\begin{split} \delta E_n &= \operatorname{Tr}_{\mathcal{S}, \mathcal{E}_{n+1}} \left( (\mathcal{L}_n \circ \cdots \circ \mathcal{L}_1(\rho) \otimes \rho_{\mathcal{E}_{n+1}}) V_{n+1} \right) \\ &- \operatorname{Tr}_{\mathcal{S}, \mathcal{E}_n} \left( (\mathcal{L}_{n-1} \circ \cdots \circ \mathcal{L}_1(\rho) \otimes \rho_{\mathcal{E}_n}) (\mathrm{e}^{i\tau_n H_n} V_n \mathrm{e}^{-i\tau_n H_n}) \right), \end{split}$$

#### Proposition

If 
$$p(\mathcal{L}(\omega_0) \text{ satisfies } (E)) > 0$$
, then  
 $dE_+ := \lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^N \delta E_n = \mathbb{E} \left( \operatorname{Tr}_{\mathcal{S},\mathcal{E}} \left( \rho_+ \otimes \rho_{\mathcal{E}} \left( V - e^{i\tau H} V e^{-i\tau H} \right) \right) \right),$   
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## Entropy production

We assume that the  $\rho_{\mathcal{E}_n}$  are Gibbs states at inverse temperature  $\beta_n$ .

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Relative entropy  $\operatorname{Ent}(\rho|\rho_0) = \operatorname{Tr}(\rho \log \rho - \rho \log \rho_0) \ge 0.$ 

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Relative entropy  $\operatorname{Ent}(\rho|\rho_{0}) = \operatorname{Tr}(\rho \log \rho - \rho \log \rho_{0}) \ge 0.$ 

#### Theorem

1) Ideal case: if (E) is satisfied, then

$$\mathrm{d}S_{+} := \lim_{n \to \infty} \mathrm{Ent}(\rho_{n+1}^{\mathrm{tot}} | \rho_{0}) - \mathrm{Ent}(\rho_{n}^{\mathrm{tot}} | \rho_{0}) = \beta \mathrm{d}E_{+}$$

## Entropy production

We assume that the  $\rho_{\mathcal{E}_n}$  are Gibbs states at inverse temperature  $\beta_n$ . Fix a reference state  $\rho_S$  for S (e.g. the tracial state) and let  $\rho_0 = \rho_S \otimes \bigotimes_{k>1} \rho_{\mathcal{E}_k}$ .

Relative entropy  $\operatorname{Ent}(\rho|\rho_0) = \operatorname{Tr}(\rho\log\rho - \rho\log\rho_0) \ge 0.$ 

#### Theorem

1) Ideal case: if (E) is satisfied, then

$$\mathrm{d}S_{+} := \lim_{n \to \infty} \mathrm{Ent}(\rho_{n+1}^{\mathrm{tot}} | \rho_{0}) - \mathrm{Ent}(\rho_{n}^{\mathrm{tot}} | \rho_{0}) = \beta \mathrm{d}E_{+}.$$

2) Random case: if  $p(\mathcal{L}(\omega_0) \text{ satisfies } (E)) > 0$ , then

$$\begin{split} \mathrm{d}S_{+} &:= \lim_{n \to \infty} \frac{\mathrm{Ent}(\rho_{n}^{\mathrm{tot}} | \rho_{0}) - \mathrm{Ent}(\rho | \rho_{0})}{n} \\ &= \mathbb{E}\left(\beta \operatorname{Tr}_{\mathcal{S},\mathcal{E}}\left(\rho_{+} \otimes \rho_{\mathcal{E}}\left(V - \mathrm{e}^{i\tau H} V \mathrm{e}^{-i\tau H}\right)\right)\right). \end{split}$$

In particular, if  $\beta$  is not random we still have  $dS_+ = \beta dE_+$ .

Thermodynamics of the spin-spin example

Recall 
$$T = 2\pi/\sqrt{(E_S - E_E)^2 + 4\lambda^2}$$
, and let  $\kappa := \frac{16\pi^2\lambda^2 E_E}{T^2}\sin^2\left(\frac{\pi\tau}{T}\right)$ .

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$$dE_{+} = \mathbb{E}\left(\frac{1}{1 + e^{-\beta E_{\mathcal{E}}}}\right)^{-1} \times \operatorname{Cov}\left(\kappa, \frac{1}{1 + e^{-\beta E_{\mathcal{E}}}}\right),$$
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In particular,

- if only au is random,  $dE_+ = dS_+ = 0$ ,
- **2** if only  $\beta$  is random,  $dE_+ = 0$  while

$$\mathrm{d}S_{+} = \kappa \mathbb{E}\left(\frac{1}{1 + \mathrm{e}^{-\beta E_{\mathcal{E}}}}\right)^{-1} \times \mathrm{Cov}\left(\beta, \frac{1}{1 + \mathrm{e}^{-\beta E_{\mathcal{E}}}}\right) \geq 0$$

and vanishes iff  $\beta(\omega) \equiv \beta$  a.s.