

Character deformations of the zero's of Selberg's  
zeta fct. for  $\Gamma_0(4)$  via transfer operators

(M. Fraczek, D.M., DFG-project\*)

- Spectral properties of  $\gamma$ -automorphic Laplace-Beltrami operators
- Selberg's zeta fct. and the transfer operator for  $\Gamma_0(4)$  with Selberg's character  $\chi_\alpha$
- some numerical results

\* "Transfer operators approach to the Phillips-Sarnak Conjecture"

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# Spectral properties of $\chi$ -automorphic Laplacians

$\Gamma$  cofinite Fuchsian group,  $\Gamma \subset \text{PSL}(2, \mathbb{R})$

$$gz = \frac{az+b}{cz+d}, g \in \Gamma, g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, z \in \mathbb{H} = \{x+iy: y>0\}$$

$$ds^2 = \frac{dx^2 + dy^2}{y^2}, d\mu(z) = \frac{dx dy}{y^2}$$

$$\Delta_{LB} = -y^2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right)$$

$F_\Gamma$  fundamental domain,  $\mu(F_\Gamma) < \infty$

$\chi: \Gamma \rightarrow \mathbb{C}$  character (1-dim. unit. repr.)

Hilbert space of  $\chi$ -automorphic fct's:

$$\mathcal{H}_{\chi, \Gamma} = \left\{ f: \mathbb{H} \rightarrow \mathbb{C} : f(gz) = \chi(g)f(z) \forall g \in \Gamma \right. \\ \left. \int_F |f(z)|^2 d\mu(z) < \infty \right\}$$

$\sigma(\Delta_\chi)$  spectrum of  $\Delta$  on  $\mathcal{H}_{\chi, \Gamma}$

$z_1, \dots, z_k$  inequivalent cusps of  $\Gamma$

$T_i z_i = z_i$ ,  $T_i$  primitive parabolic element in  $\Gamma$

Def:  $\gamma$  singular in cusp  $z_i \iff \gamma(T_i) = 1$

$\kappa(\gamma) := \#\{i : \gamma(T_i) = 1\}$  degree of singularity of  $\gamma$ .

$\gamma$  non-singular in  $z_i \iff \gamma(T_i) \neq 1$   
("cusp  $z_i$  is closed")

If  $\gamma(T_i) \neq 1 \forall 1 \leq i \leq k \implies \Delta_\gamma$  has spectrum like cocompact case, only eigenvalues obeying

Weyl's law:  $N(\lambda, \gamma) = \#\{\lambda_i < \lambda\} \underset{\lambda \rightarrow \infty}{\sim} \frac{\mu(F)}{4\pi} \lambda^2$   
(Selberg)

If  $\kappa(\gamma) > 0 \implies \Delta_\gamma$  has continuous spectrum  $[\frac{1}{4}, \infty)$  of multiplicity  $\kappa(\gamma)$

generalized eigenfcts are the analytically continued Eisenstein-Maass series

$E_l(\beta, z, \gamma)$  for  $\beta = \frac{1}{2} + it, l = 1, \dots, \kappa(\gamma)$

Problem: do there exist eigenvalues and cusp forms for general cofinite  $\Gamma$ ?

- yes for congruence subgroups  $\Gamma \subseteq SL(2, \mathbb{Z})$  and  $\chi \equiv 1$  (Selberg), Weyl-law

Sarnak-Phillips conjecture: (trivial character)<sup>4</sup>  
Weyl law valid only for arithmetic groups  
(besides certain arithmetic non-congruence subgroups)

singular character:

studied only for special groups like congruence  
subgroups and special characters:

kernel of character again congruence subgroups

Sarnak-Phillips: non-singular perturbation  
in Teichmüller space

Wolpert: singular perturbation in T-space

Sarnak-Phillips: singular character deformation  
for  $T(2)$

Balser-Kuhor: singular and nonsingular  
character deformation for several  
congruence subgroups

Method: perturbation theory of automorphic  
Laplacian  
"Fermi's golden rule"

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# The Selberg zeta fun. via the transfer operator

$$Z_{\Gamma, \chi}(\beta) = \prod_{\gamma} \prod_{k=0}^{\infty} (1 - \chi(g_{\gamma}) e^{-(\beta+k)l(\gamma)}), \operatorname{Re} \beta > 1$$

$\gamma$  primitive closed orbit of geodesic flow on  $S \backslash \mathbb{H} / \Gamma$   
 $l(\gamma)$  period,  $g_{\gamma} \in \Gamma$  hyperbolic elem. with  $g_{\gamma}^j = \gamma$ .

Zero's of  $Z_{\Gamma, \chi}(\beta)$ :

- trivial zeros (or poles) at  $s = 0, -1, -2, \dots$
- non-trivial zero's on  $\operatorname{Re} \beta = \frac{1}{2}$  with  $\lambda = \beta(1-\beta)$   
EV of  $-\Delta_{\chi}$  corr. to cusp form
- non-trivial zero's in  $\operatorname{Re} \beta < \frac{1}{2}$   
resonances = complex poles of scattering determinant
- finitely many zero's in  $(\frac{1}{2}, 1]$   
small eigenvalues of  $-\Delta_{\chi}$ , residues of poles of Eisenstein series in  $(\frac{1}{2}, 1)$
- poles at  $\beta = \frac{1}{2}, -\frac{1}{2}, -\frac{3}{2}, \dots$  order  $\kappa(\gamma)$ .

$$\Gamma = \Gamma_0(4) = \left\{ g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z}) : c \equiv 0 \pmod{4} \right\} \quad (6)$$

$$[SL(2, \mathbb{Z}) : \Gamma_0(4)] = 6, \quad \Gamma_0(4) \sim \Gamma(2) \text{ princ. c. subgr.}$$

generators of  $\Gamma_0(4)$ : parabolic

$$A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad B = \begin{pmatrix} 1 & 0 \\ -4 & 1 \end{pmatrix}, \quad S = \begin{pmatrix} 1 & -1 \\ 4 & -3 \end{pmatrix}$$

$$\text{relation: } ABS = 1$$

$$\text{inequiv. cusps: } z_1 = \infty, z_3 = \frac{1}{2}, z_2 = 0$$

$$Az_1 = z_1, \quad Bz_2 = z_2, \quad Sz_3 = z_3$$

$\Gamma_0(4)$  freely generated by  $A, B$

$$\Gamma_0(4) \ni g = A^{n_1} B^{m_1} \dots A^{n_k} B^{m_k}, \quad n_i, m_i \in \mathbb{Z} \neq 0$$

Selberg's character  $\chi_\alpha$  for  $\Gamma_0(4)$ ,  $0 \leq \alpha \leq 1$

$$\chi_\alpha(g) := e^{2\pi i \alpha P_A(g)}$$

$$P_A(g) = \sum_{i=1}^k n_i$$

$$\chi_\alpha(A) = e^{2\pi i \alpha}, \quad \chi_\alpha(B) = 1, \quad \chi_\alpha(S) = e^{-2\pi i \alpha}$$

$$\Rightarrow \kappa(\chi_0) = 3 \rightarrow \kappa(\chi_\alpha) = 1 \text{ for } 0 < \alpha < 1$$

$$\alpha = 0 \rightarrow \alpha > 0$$

multipl. of cont. spectrum:  $3 \rightarrow 1$

Selby: • zeros of  $Z_{\Gamma_0(4), \chi_\alpha}$  with  $\text{Re} \beta < \frac{1}{2}$  accumulate at  $\text{Re} \beta = \frac{1}{2}$  (resonances accumulate at cont. spectrum) for  $\alpha \rightarrow 0$ !  
multiplicity changes from 3 to 1: Selby zero's should appear in pairs

• for  $\alpha \rightarrow \frac{1}{4} - \varepsilon$  some zero's tend to  $-\infty$   
on the other hand (Phillips-Sarnak, Balslev-Deift)

for  $\alpha_j = \frac{j}{8}$ ,  $j = 0, 1, \dots, 7$  is  $\chi_{\alpha_j}$  arithmetic

$\Rightarrow$  all nontrivial zero's of  $Z_{\Gamma_0(4), \chi_{\alpha_j}}$   
we on  $\text{Re} \beta = \frac{1}{2}$ ,  $\text{Re} \beta = \frac{1}{4}$  (Riemann)  
and  $\text{Re} \beta = 0$ .

$$Z_{\Gamma_8}(\beta) = \prod_{i=0}^7 Z_{(\Gamma_0(4), \chi_{\frac{i}{8}})}(\beta)$$

$\Gamma_8 \subset \Gamma_0(4)$  congruence subgroup of  $SL(2, \mathbb{Z})$   
normal in  $\Gamma_0(4)$  with  $[\Gamma_0(4) : \Gamma_8] = 8$ .

$$\Gamma_8 = \{ g \in \Gamma_0(4) : 8 \mid P_A(g) \}$$

$$\Gamma_0(4) / \Gamma_8 = \{ 1, A, A^2, \dots, A^7 \} \text{ finite, cyclic group}$$

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The transfer operator for  $\Gamma_0^1(4)$  with character  $\chi_\alpha$

$$\bullet Z_{(\Gamma_0^1(4), \chi_\alpha)}^{(\beta)} = Z_{(SL(2, \mathbb{Z}), \chi_\alpha^{\text{ind}})}^{(\beta)}$$

$\chi_\alpha^{\text{ind}}$  is repres. of  $SL(2, \mathbb{Z})$  induced from character  $\chi_\alpha$  of  $\Gamma_0^1(4)$

$$[SL(2, \mathbb{Z}) : \Gamma_0^1(4)] = 6$$

$$\chi_\alpha^{\text{ind}} : SL(2, \mathbb{Z}) \rightarrow \text{end}(\mathbb{C}^6)$$

$$SL(2, \mathbb{Z}) = \bigcup_{i=1}^6 \Gamma_0^1(4) g_i$$

$$(U_\alpha(g))_{ij} = \delta_{\Gamma_0^1(4)}(g_i g g_j^{-1}) \chi_\alpha(g_i g g_j^{-1}), 1 \leq i, j \leq 6$$

$\alpha = 0$  permutation matrix

$\alpha > 0$  monomial matrix

$$L_{\beta, \alpha} := L_{\beta, \chi_\alpha^{\text{ind}}} = \begin{pmatrix} 0 & \alpha_{\beta, \alpha}^{(+)} \\ \alpha_{\beta, \alpha}^{(-)} & 0 \end{pmatrix}$$

$$(L_{\beta, \alpha}^{(\varepsilon)} f)(z) = \sum_{k=0}^{\infty} \sum_{m=1}^{\infty} \frac{U_\alpha(ST^{m\varepsilon})}{f^{2\beta+k}}$$

$$\bullet \sum_M (U_\alpha(T^{p\varepsilon}), 2\beta+k, \frac{z+M}{p}) \frac{f^{(k)}(0)}{k!}$$



(9)

with  $\zeta_M(A, \beta, z) := \sum_{n=0}^{\infty} A^n (z+n)^{-\beta}$ ,  $A$  unitary,  
 matrix valued Lerch zeta fct. ( $\text{Re } \beta > 1$ )  
 has meromorphic contin. to entire  $\beta$ -plane  
 with pole at  $\beta=1$ .

$\Rightarrow$  when defined on appropriate  $B$ -space of  
 vector valued holom. fcts is  $\mathcal{L}_{\beta, \alpha}^{(\mathbb{E})}$  mero-  
 morphic, nuclear operator in  $\beta$ -plane

$$Z_{(\Gamma_0(4), \chi_\alpha)}(\beta) = \det(1 - \mathcal{L}_{\beta, \alpha})$$

$$\text{Since } Z_{(\Gamma_0(4), \chi_\alpha)} = Z_{(\Gamma_0(4), \chi_{1-\alpha})}$$

restrict to  $0 \leq \alpha \leq \frac{1}{2}$

Numerical calculation of  $Z_{\Gamma_0(4), \chi_\alpha}$  for  $\beta$ 's with  $\text{Im} \beta$  small

Approximation of transfer-operator  $L_{\beta, \alpha}$ :

Truncate Taylor expansion at  $N$ -th term leads to  $2\mu N \times 2\mu N$  matrix, whose EV have to be determined: ( $\mu=6$ )

$$\left( \left( M_{\beta, \alpha}^{(\epsilon)} \right)_{s, k} \right)_{i, j} = \frac{(-1)^s}{s!} \prod_{p=0}^{s-1} (2\beta + k + p) 4^{-(2\beta + k + s)}$$

$$\cdot \sum_{m=1}^4 \left( U_\alpha (S T^{m\epsilon}) \right)_{i, j} \zeta_L \left( P_A \left( q_i T^{4\epsilon-1}, q_j^{-1} \right), 2\beta + k + s, \frac{m}{4} \right)$$

$1 \leq s \leq N, 1 \leq i, j \leq 6 = [SL(2, \mathbb{Z}) : \Gamma_0(4)]$

with  $\zeta_L(2, \beta, z) = \sum_{n=0}^{\infty} e^{2\pi i \beta n} \left( \frac{1}{z+n} \right)^s$

$$L_{\beta, \alpha} \sim \begin{pmatrix} 0 & M_{\beta, \alpha}^{(+)} \\ M_{\beta, \alpha}^{(-)} & 0 \end{pmatrix}$$

$$Z_{(\Gamma_0(4), \chi_\alpha)}(\beta) \sim \det \left( 1 - \begin{pmatrix} 0 & M_{\beta, \alpha}^{(+)} \\ M_{\beta, \alpha}^{(-)} & 0 \end{pmatrix} \right)$$